## PATENT ASSIGNMENT

# Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:			NEW ASSIGNMENT				
NATURE OF CONVEYANCE:			ASSIGNMENT				
CONVEYING PARTY DATA							
Ν			lame	Execution Date			
Raytheon Company				03/09/2009			
RECEIVING PARTY DATA							
Name: Surmet, Corp							
Street Address: 31B Street							
City:	Burlington						
State/Country:	MASSACHUSETTS						
Postal Code: 01803							
PROPERTY NUMBERS Total: 6							
Property Type		Number					
Patent Number:		4481300					
Patent Number:		4520116					
Patent Number:		4686070			4481300		
Patent Number:		4720362			00		
Patent Number:		5135814			\$240.00		
Application Number:		09618741			сн СН		
CORRESPONDENCE DATA							
Fax Number: (508)447-7234							
Correspondence will be sent via US Mail when the fax attempt is unsuccessful.							
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		y@comcast.net					
Correspondent Name: Richard M.I S							
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ATTORNEY DOCKET NUMBER:			SURMET				
				PATENT			

# REEL: 022597 FRAME: 0258

NAME OF SUBMITTER:	Richard M. Sharkansky
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#### INTELLECTUAL PROPERTY ASSIGNMENT

This INTELLECTUAL PROPERTY ASSIGNMENT is from Raytheon Company, a corporation organized under the laws of the State of Delaware and located at 870 Winter Street, Waltham, MA 02451 (the "<u>Assignor</u>") to Surmet, Corp., a corporation organized under the laws of the Commonwealth of Massachusetts and located at 31 B Street, Burlington, MA 01803 (the "<u>Assignee</u>").

## WITNESSETH:

Whereas, for good and valuable consideration and pursuant to a certain Agreement dated as of March 9, 2009 (the "P&S Agreement") by and among the Assignor, Assignee, Surmet Business Trust, a Massachusetts business trust, and Suri A. Sastri, and upon the terms and conditions set forth below, Assignor desires to assign its rights, title, and interest with respect to certain intellectual property described on Schedule A attached hereto (the "<u>Intellectual Property</u>") to Assignee, and Assignee desires to accept such assignment.

NOW, THEREFORE, in consideration of the transactions contemplated by the Agreement and of other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the parties agree as follows:

1. <u>Assignment</u>. Subject to certain licenses granted to Assignor and its affiliates as set forth in the P&S Agreement, Assignor hereby assigns and transfers unto Assignee, its successors, heirs and assigns all of Assignor's right, title and interest in and to the Intellectual Property

2. <u>Further Assurances</u>. Assignor will execute any all additional documents that may be reasonably necessary for Assignee to perfect the assignment of rights set forth herein and to record the assignment provided for herein in applicable government offices.

3. <u>Governing Law</u>. All questions concerning the construction, validity and interpretation of this assignment and the performance of the obligations imposed by this assignment shall be governed by the internal law, not the law of conflicts, of the Commonwealth of Massachusetts.

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IN WITNESS WHEREOF, Assignor has caused this assignment to be executed by its duly authorized representative as of the date set forth below.

## **RAYTHEON COMPANY**

By Stan HAR

Name: F. Kinsey Haffner Title: Vice President, Intellectual Property & Licensing

Commonwealth of Massachusetts \_\_\_\_\_}ss. County of Middlesex \_\_\_\_\_

On this <u>9<sup>th</sup></u> day of <u>March</u>, <u>2009</u>, before me Day Month Year

Brooke M. Bartleson, the undersigned Notary Public Name of Notary Public

personally appeared \_\_\_\_\_ F. Kinsey Haffner

Name(s) of Signer(s)

proved to me through satisfactory evidence of identity, which was

BROOKE M. BARTLESON

Notary Public Commonwealth of Massachusetts ly Commission Expires Jul 3, 2009

personal knowledge Description of Evidence of Identity

to be the person whose name was signed to the preceding or attached document in my presence, and who swore or affirmed to me that the contents of the document are truthful and accurate to the best of his knowledge and belief.

Broks M. Bailisson

Brooke M. Bartleson Printed Name of Notary

My Commission Expires July 3, 2007

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## <u>SCHEDULE A</u> <u>To</u> <u>Intellectual Property Assignment From Raytheon Company ("Raytheon") to Surmet.</u> <u>Corp. ("Surmet")</u>

## A. Patents

- 1. U.S. Patent No. 4,481,300; issued November 6, 1984; Aluminum Oxynitride having improved characteristics and method of manufacture; T. Harnett, R. Gentilman, E. Maguire. Raytheon Docket #84E020.
- 2. U.S. Patent No. 4,520,116; issued May 28, 1985; Transparent Aluminum Oxynitride and method of manufacture; R. Gentilman, E. Maguire, L. Dolhert. Raytheon Docket #84E019.
- 3. U.S. Patent No. 4,686,070; issued August 11, 1987; method of Producing Aluminum Oxynitride having improved optical characteristics; E. Maguire, T. Harnett, R. Gentilman. Raytheon Docket #84E021.
- 4. U.S. Patent No. 4,720,362; issued January 19, 1988, Transparent Aluminum Oxynitride and method of manufacture; R. Gentilman, E. Maguire, L. Dolhert. Raytheon Docket #84E019A.
- 5. U.S. Patent No. 5,135,814, issued August 4, 1992, Articles and method of strengthening Aluminum Oxynitride; Dekosky. Raytheon Docket #09E033.
- 6. Patents derived from the patent application or invention disclosures listed below.
- B. Patent Application

U.S. Patent Application Serial No. 09/618,741; filed July 18, 2000; Method of making Aluminum Oxynitride, including foreign counterparts consisting of Canadian patent application No. 2,415,948; Japanese patent application No. 2002-512064; and European patent application No. 01927465.3 (having designated countries of Switzerland with Liechtenstein, Germany, France, United Kingdom and Sweden and now issued as European Patent No. 1305259) based on WPO Counterpart Application PCT/US01/40614 filed 4/26/01. Raytheon Docket #99E142.

C. Invention Disclosures

02E048 - Ceramic Armor with Improved Multi-Hit Capability (ALON);

99E139 - Nitrogen Rich ALON

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99E140 – Synthesis of Aluminum Oxynitride Using Methane as a Carbon Source (ALON)

99E143 – ALON-Coated Graphite Containers and Methods for Making These Containers

02E049 – Improved Method of Heat Treat Aluminum Oxynitride Ceramic Bodies (ALON)

02E050 - Microwave Synthesis of ALON Powder

99E002 – Fabrication and Use of Aluminum Oxynitride (ALON) for Transparent Armor Applications

99E004 - Aluminum Oxynitride (ALON) Polishing Laps

99E005 – Fabrication and Use of Aluminum Oxynitride (ALON) for Non-Transparent, Ceramic Armor Applications

99E141 – Repeated Hip'ing (Hot Isostatic Pressing) of ALON to Reduce Porosity.

#### D. <u>Technical Data</u>

Such technical information, data, know-how, manufacturing procedures, specifications, formulations, processing techniques, improvements, modification and the like (including the disclosures of same contained in the patent application and/or invention disclosures listed above) which (i) were owned by Raytheon as of July 25, 2002, (ii) were disclosed to Surmet pursuant to or in connection with THE LICENSE AND ASSET PURCHASE AGREEMENT among Raytheon Company; Surmet, Corp.; and Surmet Business Trust dated July 25, 2002 ("LAPA"), (iii) Raytheon was free to license without accounting to others as of July 25, 2002 and (iv) relate primarily to the manufacture, processing, composition, properties and/or applications of aluminum oxynitride powder and/or of aluminum oxynitride based materials.

#### E. <u>Copyrights</u>

Copyrights which (i) were owned by Raytheon as of July 25, 2002, (ii) Raytheon was free to license without obligation to others as of July 25, 2002, and (iii) apply to documents containing the Technical Data described above and which were provided to Surmet pursuant to the LAPA.

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## F. <u>Trademarks</u>

Such rights, if any, which Raytheon may have with respect to the use of the term "ALON" as a trademark, servicemark or tradename, including U.S. Trademark Registration No. 2,554,362; Registration Date of March 26, 2002.

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# RECORDED: 04/28/2009

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