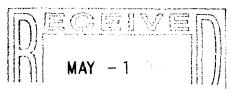
OMB No. 0651-0027 (exp. 6/30/2008)



05-04-2009

U.S. DEPARTMENT OF COMMERCE United States Patent and Trademark Office

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To the Director of the U.S. Patent and 10355	cuments or the new address(es) below.
1. Name of conveying party(ies)	2. Name and address of receiving party(ies)
Roy K. Greenberg David E. Hartley	Name: William Cook Australia Pty. Ltd.
Michael Lawrence-Brown	Internal Address:
Additional name(s) of conveying party(ies) attached?  Yes ✓ No	
3. Nature of conveyance/Execution Date(s):	Street Address: 12 Electronics Street, Brisbane
Execution Date(s) March 10, 2009	Technology Park, Eight Mile Plains
Assignment Merger	
Security Agreement Change of Name	City: Brisbane
Joint Research Agreement	State: Queensland
Government Interest Assignment	Country: AU Zip:4113
Executive Order 9424, Confirmatory License	Zip.4110
Other	Additional name(s) & address(es) attached? ✓ Yes ☐ No
4. Application or patent number(s):  A. Patent Application No.(s)  11/788,285	document is being filed together with a new application. B. Patent No.(s)
Additional numbers att	ached?
Additional numbers att  5. Name and address to whom correspondence concerning document should be mailed:	ached?  Yes ✓ No  6. Total number of applications and patents involved: 1
5. Name and address to whom correspondence	6. Total number of applications and patents involved: 1
5. Name and address to whom correspondence concerning document should be mailed:  Name: Richard J. Godlewski	6. Total number of applications and patents involved: 1  7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00
5. Name and address to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 1  7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00  Authorized to be charged by credit card
5. Name and address to whom correspondence concerning document should be mailed:  Name: Richard J. Godlewski	6. Total number of applications and patents involved: 1  7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00  Authorized to be charged by credit card
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5. Name and address to whom correspondence concerning document should be mailed:  Name: Richard J. Godlewski  Internal Address:	6. Total number of applications and patents involved: 1  7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00  Authorized to be charged by credit card  ✓ Authorized to be charged to deposit account  Enclosed  None required (government interest not affecting title)  8. Payment Information
5. Name and address to whom correspondence concerning document should be mailed:  Name: Richard J. Godlewski  Internal Address:  Street Address: P.O. Box 2269	6. Total number of applications and patents involved: 1  7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00  Authorized to be charged by credit card  ✓ Authorized to be charged to deposit account  Enclosed  None required (government interest not affecting title)
5. Name and address to whom correspondence concerning document should be mailed:  Name: Richard J. Godlewski  Internal Address:  Street Address: P.O. Box 2269  City: Bloomington	6. Total number of applications and patents involved: 1  7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00  Authorized to be charged by credit card  ✓ Authorized to be charged to deposit account  Enclosed  None required (government interest not affecting title)  8. Payment Information  a. Credit Card Last 4 Numbers
5. Name and address to whom correspondence concerning document should be mailed:  Name: Richard J. Godlewski  Internal Address:  Street Address: P.O. Box 2269  City: Bloomington  State: IN Zip:47402	6. Total number of applications and patents involved: 1  7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00  Authorized to be charged by credit card  ✓ Authorized to be charged to deposit account  Enclosed  None required (government interest not affecting title)  8. Payment Information  a. Credit Card Last 4 Numbers
5. Name and address to whom correspondence concerning document should be mailed:  Name: Richard J. Godlewski  Internal Address:  Street Address: P.O. Box 2269  City: Bloomington  State: IN Zip:47402  Phone Number: 812-330-1824	6. Total number of applications and patents involved: 1  7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00  Authorized to be charged by credit card  ✓ Authorized to be charged to deposit account  Enclosed  None required (government interest not affecting title)  8. Payment Information  a. Credit Card Last 4 Numbers  Expiration Date  b. Deposit Account Number 13-2528  Authorized User Name Richard J. Godlewski
5. Name and address to whom correspondence concerning document should be mailed:  Name: Richard J. Godlewski  Internal Address:  Street Address: P.O. Box 2269  City: Bloomington  State: IN Zip:47402  Phone Number: 812-330-1824  Fax Number: 812-330-9049  Email Address:  9. Signatures  Joulleure	6. Total number of applications and patents involved: 1  7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00  Authorized to be charged by credit card  Authorized to be charged to deposit account  Enclosed  None required (government interest not affecting title)  8. Payment Information  a. Credit Card Last 4 Numbers  Expiration Date  b. Deposit Account Number 13-2528  Authorized User Name Richard J. Godlewski
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Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:

Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

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**PATENT REEL: 022646 FRAME: 0656**  Serial No. 11/788,285

Recordation Form Cover Sheet

Continuation of Information Item 2 – Name and Address of Receiving Parties:

Roy K. Greenberg

The Cleveland Clinic Foundation

9500 Euclid Avenue Cleveland, OH 44195

David E. Hartley Michael Lawrence-Brown Cook Incorporated 750 N. Daniels Way Bloomington, IN 47404

**PATENT REEL: 022646 FRAME: 0657** 

### CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: april 27, 2009

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

## **ASSIGNMENT AND AGREEMENT**

For value received, we, David E. Hartley, residing at 2 View Street, Subiaco, Western Australia 6008, AU and Michael Lawrence-Brown, residing at 77 Branksome Gardens, City Beach, Western Australia 6015, AU hereby sell, assign, and transfer to Cook Incorporated, a corporation of the State of Indiana, having an office at 750 N. Daniels Way, Bloomington, IN, 47404, U.S.A., and its successors, assigns, and legal representatives, hereinafter collectively "Cook," and to William A. Cook Australia Pty. Ltd., an Australian corporation, 12 Electronics Street, Brisbane Technology Park. Eight Mile Plains, Brisbane, Queensland 4113 Australia, and its successors, assigns, and legal representatives, hereinafter collectively "COOK AUSTRALIA" the entire right, title and interest, for the United States of "Twin Bifurcated Stent America, in and to certain inventions related to Graft "described in U.S. Provisional Application Serial No. 60/793,282, filed on April 19, 2006, and described in U.S. Application Serial No. 11/788,285 filed on April 19, 2007, and all the rights and privileges in said application and under any and all Letters Patent or any continuation, division, renewal, or substitute thereof, and any reissue or re-examination thereof that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Cook and Cook Australia, either jointly or individually, the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Cook and Cook Australia, either jointly or individually, to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any

- Page 1 of 4 -

and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Cook and Cook Australia, either jointly or individually, to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Cook and Cook Australia, either jointly or individually, in the United States and in all countries foreign to the United States, or to such nominees as Cook and Cook Australia, either jointly or individually, may designate.

We agree that, when requested, we shall, without charge to Cook and Cook Australia, either jointly or individually, but at their expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Date: 137" Jone 2007

In the presence of:

Date: 0// June /2107

In the presence of:

David E. Hartley, Inventor

Witness

Michael Lawrence-Brown, Inventor

Witness

7 Lew, N 1244 SEGALANDERS Signed for and on behalf of WILLIAM A. COOK AUSTRALIA PTY. LTD. this 204 day of June 2007

Director

In the presence of:

\_dO'Brien

Witness

Signed for and on behalf of COOK INCORPORATED this day of Tune 2007	Im Jawkins Kem Hawkins
In the presence of:  OR:	Witness
United States of America ) State of Indiana ) ss: County of Monroe )	
On this day of came <u>Kem Hawkins</u> , to me known to be t executed the foregoing instrument, and	
same.	Paula Bella 1000 Notary Public
My Commission Expires:	
	PAMELA B. GARWOOD, Notary Public Resident of Monroe County My commission expires 10/24/2007

#### CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: april 27, 2009

Uranc Sempsrott (Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

## **ASSIGNMENT AND AGREEMENT**

For value received, I, Roy K. Greenberg residing at 1 Shoreby Drive, Bratenahl, OH 44108, U.S.A., hereby sell, assign, and transfer to The Cleveland Clinic Foundation, a not-for-profit corporation of the State of Ohio, having an office at 9500 Euclid Avenue, Cleveland, OH, 44195, U.S.A., and its successors, assigns, and legal representatives, hereinafter collectively "Cleveland Clinic", the entire right, title and interest, for the United States of America, in and to certain inventions related to " BIFURCATED STENT GRAFT " described in U.S. Provisional Application Serial No. 60/793,282, filed on April 19, 2006, and described in U.S. Application Serial No.11/788,285 filed on April 19, 2007, and all the rights and privileges in said application and under any and all Letters Patent or any continuation, division, renewal, or substitute thereof, and any reissue or reexamination thereof that may be granted in the United States for said inventions; and I also concurrently hereby sell, assign and transfer to Cleveland Clinic, the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

I authorize Cleveland Clinic to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Cleveland Clinic to apply for patent or

- Page 1 of 3 -

other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

I request that any and all patents for said inventions be issued to Cleveland Clinic in the United States and in all countries foreign to the United States, or to such nominees as Cleveland Clinic may designate.

I agree that, when requested, I shall, without charge to Cleveland Clinic but at their expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Date: <u>MARCH 10, 2009</u>		Roy K. Gree	berg, Inventor
United States of America	)		
State of Ohio	) ss:		
On this	ireenberg, to	me known to	and acknowledged
My Commission Expires:	COLETTA VIDMAR, N State of Ohi y Commission Expires J	otary Public to anuary 26, <del>2969</del> ZO14	

(SEAL)

Signed for and on behalf of	
The Cleveland Clinic Foundation	1-12-61
this 1st day of april, 2006	Director
In the presence of:	Caul J. Forre
	OR:
United States of America )	
State of Ohio ) ss	:
County of <u>Cuyahoga</u> )	
Carparage	
On this day came Rowaw, to me k who executed the foregoing instru	of <u>Agul</u> , before me personally nown to be the individual described in and ment, and acknowledged execution of the
same.	<u>-</u>
	Notary Public
	JAMI BALAZŠ
My Commission Expires:	Notary Public - State of Ohio My Commission Expires April 15, 2013
	med Animerican medicing White 13, 8A19
	APPROVED AS TO FORM
	CCF - OFFICE OF GENERAL COUNSE
(SEAL)	BY Actor DATE Ward 74 7009 CMSI# COO - 34644