


Client Code: CALTE.011A

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

To the Director, U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies): (List using letters or numbers for multiple parties)</p> <p>1. Irvin S. Y. Chen 2. Dong Sung An</p> <p>Additional name(s) of conveying party(ies) attached?</p> <p>() Yes (X) No</p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: The Regents of the University of California</p> <p>Internal Address:</p> <p>Street Address: 1111 Franklin Street</p> <p>City: Oakland State: CA</p> <p>ZIP: 94607-5200</p> <p>Additional name(s) of receiving party(ies) attached?</p> <p>() Yes (X) No</p>
<p>3. Nature of conveyance:</p> <p>(X) Assignment () Security Agreement () Merger () Change of Name () Other:</p> <p>Execution Date: (List as in section 1 if multiple signatures)</p> <p>1. February 3, 2009 2. February 3, 2009</p>	<p>4. US or PCT Application number(s) or US Patent number(s):</p> <p>(X) Patent Application No.: 10/243,553 Filing Date: September 13, 2002</p> <p>Additional numbers attached?</p> <p>() Yes (X) No</p>
<p>5. Party to whom correspondence concerning document should be mailed:</p> <p>Customer No. 20,995</p> <p>Address: Knobbe, Martens, Olson & Bear, LLP 2040 Main Street, 14th Floor Irvine, CA 92614</p> <p>Return Fax: (949) 760-9502</p> <p>Attorney's Docket No.: CALTE.011A</p>	<p>6. Total number of applications and patents involved: 1</p>
<p>7. Total fee (37 CFR 1.21(h)): \$40</p> <p>(X) Authorized to be charged to deposit account</p>	<p>8. Deposit account number: 11-1410</p> <p>Please charge this account for any additional fees which may be required, or credit any overpayment to this account.</p>
<p>9. Statement and signature.</p> <p>To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.</p> <p><u>Bryan Wahl</u> Name of Person Signing</p> <p><u></u> Signature</p> <p><u>5/11/09</u> Date</p> <p>58,878 Registration No.</p> <p>Total number of pages including cover sheet, attachments and document: 2</p>	

Documents transmitted via Facsimile to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services
Director, U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
Facsimile Number: (571) 273-0140

7106674/dp
050709

700407826

PATENT
REEL: 022670 FRAME: 0137

CH \$40.00 111410 10243553

ASSIGNMENT

UC. Case No. 2004-286

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

Irvin S Y Chen, Dong Sung An

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

METHOD FOR EXPRESSION OF SMALL ANTIVIRAL RNA MOLECULES WITHIN A CELL
and which is found in:

- X U.S. Provisional Application Serial No. 60/322,031, filed 9/13/01 and listing the above named persons as inventors
- X U.S. Provisional Application Serial No. 60/347,782, filed 1/9/02 and listing the above named persons as inventors
- X U.S. Provisional Application Serial No. 60/389,592, filed 6/18/02 and listing the above named persons as inventors
- X U.S. Provisional Application Serial No. 60/406,436, filed 8/27/02 and listing the above named persons as inventors
- X U.S. Patent Application Serial No. 10/243,553, filed 9/13/02 and listing the above named persons as inventors
- X U.S. Patent Application Serial No. 10/319,341, filed 12/12/2002 and listing the above named persons as inventors
- X U.S. Patent Application Serial No. 11/689,407, filed 3/21/2007 and listing the above named persons as inventors
- X U.S. Patent Application Serial No. 11/683,962, filed 3/08/2007 and listing the above named persons as inventors
- _____ U.S. Patent No. _____, issued _____
and listing the above named persons as inventors
- _____ International Patent Application Serial No. _____, filed _____ and
listing the above named persons as inventors

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

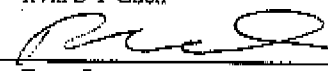
executed this

Signature of Inventor(s)

03 day of February, 2009


Irvin S Y Chen

03 day of February, 2009


Dong Sung An

assign.doc