# Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT
NATURE OF CONVEYANCE:	Corrective Assignment to correct the Name and Address of the Assignee previously recorded on Reel 014562 Frame 0619. Assignor(s) hereby confirms the Assignee to NESTEC S.A. from the previously recorded EMS-CHEMIE AG.

#### **CONVEYING PARTY DATA**

Name	Execution Date
Markus Baur	08/28/2003
Lionel Breton	08/10/2003
Francois Couzy	07/26/2003
Audrey Gueniche	08/01/2003

#### **RECEIVING PARTY DATA**

Name:	NESTEC S.A.
Street Address:	Avenue Nestle 55
City:	Vevey
State/Country:	SWITZERLAND
Postal Code:	CH-1800

#### PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	10381911

### CORRESPONDENCE DATA

Fax Number: (312)827-8185

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

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Email: chicago.patents@klgates.com

Correspondent Name: Robert M. Barrett Address Line 1: **K&L GATES LLP** Address Line 2: P.O. Box 1135

Address Line 4: Chicago, ILLINOIS 60690-1135

ATTORNEY DOCKET NUMBER:	0112701-836
NAME OF SUBMITTER:	Robert M. Barrett

500857572 **REEL: 022670 FRAME: 0337** 





## UNITED STATES PATENT AND TRADEMARK OFFICE

Under Secretary of Commerce for Intellectual Property and DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 04, 2004

PTAS

BELL, BOYD & LLOYD LLC ROBERT M. BARRETT

P.O. BOX 1135 CHICAGO, IL 60690-1135

> UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/07/2003

REEL/FRAME: 014562/0619

NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BAUR, MARKUS

DOC DATE: 08/28/2003

ASSIGNOR:

BRETON, LIONEL

DOC DATE: 08/10/2003

ASSIGNOR:

COUZY, FRANCOIS

DOC DATE: 07/26/2003

ASSIGNOR:

GUENICHE, AUDREY

DOC DATE: 08/01/2003

**ASSIGNEE:** 

-EMS-CHEMIE AG

<del>REICHENAUERSTRASS</del>E

CH-7013 DOMAT/EMS, SWITZERLAND

NESTEC S.A.

WENUE NESTLE 55

CH-1800 VEVEY, SWITZERLAND

MAY 1 1 2004

RECEIVED

BELL, BOYD & LLOYD

INTELLECTUAL PROPERTY DOCKET

P.O. Box 1450, Alexandria, Virginia 22313-1450 - www.uspto.gov

**PATENT** 

REEL: 022670 FRAME: 0339

014562/0619 PAGE 2

SERIAL NUMBER: 10381911

PATENT NUMBER:

FILING DATE: 08/12/2003

ISSUE DATE:

SHAREILL COLES, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS



Docket No: 112843-052

Form **PTO-1595** 

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

RE(

102571609 PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks:	Please record the attached original documents or copy thereof.	
1. Name of conveying party(ies): /0-7-03	2. Name and address of receiving party(ies):	
Markus Baur	Name: EMS-CHEMIE AG	
Lionel Breton	Internal Address:	
Francois Couzy		
Audrey Gueniche  Additional name(s) of conveying party(ies) attached? Yes X No	<u> </u>	
3. Nature of conveyance:	Street Address: Reichenauerstrasse	
X Assignment _ Merger	CH-7013 Domat/EMS	
_ Security Agreement _ Change of Name	City:State:Zip:	
_ Other	Country: Switzerland	
Execution Date(s): 8/28/03; 8/10/03; 7/26/03; 8/1/03	Additional name(s) & address(es) attached? Yes X No	
4. Application number(s) or patent number(s):	S	
If this document is being filed together with a new applica	tion, the execution date of the application is:	
A. Patent Application No.(s)	B. Patent No.(s)	
10/381,911	= -	
	# # T	
Additional numbers att	ached? Yes X No	
5. Name and address of party to whom correspondence	6. Total number of applications and patents involved: $\underline{\underline{1}}$	
concerning document should be mailed:	7. Total fee (37 CFR 3.41)\$ 40.00	
Robert M. Barrett	X Enclosed	
Bell, Boyd & Lloyd LLC P.O. Box 1135	_ Authorized to be charged to deposit account	
Chicago, Illinois 60690-1135	8. Deposit account number: 02-1818	
	(Attach duplicate copy of this page if paying by deposit account)	
DO NOT USE THIS SPACE		
9. Statement and signature.		
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.		
Robert M. Barrett (30,142)	October 3, 2003	
Name of Person Signing	Signature Date	
Total number of pages including cover sheet, attachments, and documents: 6		

Mail documents to be recorded with required cover sheet information to: Commissioner for Patents, Mail Stop Assignments, P.O. Box 1450, Alexandria, VA 22313-1450

10/08/2003 LHUELLER 00000097 10381911

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#### ASSIGNMENT

WHEREAS, the undersigned, to wit: <u>Markus Baur, Lionel Breton, Francois Couzy</u> and <u>Audrey Gueniche</u> (hereinafter "ASSIGNORS"), are the lawful owners of an invention known as <u>Docket No. 112843-52</u> and entitled: <u>USE OF PROBIOTIC LACTIC ACID BACTERIA FOR BALANCING THE SKIN'S IMMUNE SYSTEM</u>, for which a patent application of the United States was filed on <u>March 28, 2003</u> in the United States Patent and Trademark Office having Application Serial No. <u>10/381,911</u>;

AND WHEREAS, <u>NESTEC S.A.</u>, a corporation duly organized and existing under the laws of <u>Switzerland</u> and having its principle office and place of business at <u>Avenue Nestle 55</u>, <u>CH-1800 Vevey, Switzerland</u> (hereinafter "ASSIGNEE") desires to acquire the entire right, title, and interest therein;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, said ASSIGNORS hereby sell, assign, and transfer, and hereby set over unto ASSIGNEE, its successors, assigns and legal representatives, the full and exclusive right, title and interest to said invention in the United States of America and all foreign countries, including, without limitation, said invention as described in the aforesaid application, to be held and enjoyed by said ASSIGNEE, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by said ASSIGNORS had this assignment and sale not been made, including, without limitation, all rights to the aforesaid application and to any United States Letters Patent therefor, when issued together with all improvements thereon and betterments thereof, all related applications including, but not limited to, divisions, continuations, continuations-in-part, reissues and reexaminations thereof and substitutions of or for said application, and all foreign rights including the right to make application for Letters Patent for said inventions in any and all foreign countries and the right to claim priority as to the filing date under the International Convention on the basis for the aforesaid application for United States Letters Patent; and we hereby authorize and direct the Commissioner of Patents to issue the patent for said inventions, when granted, in accordance with this Assignment and sale, and ASSIGNORS hereby authorize and request the Commissioner of Patents to issue all Letters Patent issuing therefrom to ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives.

ASSIGNORS hereby agree to execute any papers, provide any information and testify in any interference or litigation at the request of ASSIGNEE, its successors, assigns and legal representatives, when deemed essential to ASSIGNEE, its successors, assigns and legal representatives full enjoyment, protection, enforcement and title in and to the invention and rights hereby transferred.

ASSIGNORS furthermore agree upon request of said ASSIGNEE, its successors, assigns and legal representatives, and without further remuneration, to execute any and all papers desired by said ASSIGNEE, its successors, assigns and legal representatives, for the filing and granting of foreign applications and the perfecting of title thereto in said ASSIGNEE, its successors, assigns and legal representatives.

Signature	<u>Date Signed</u>
Collus Ce	28.802
Name: Markus Baur	
Address: König-Karl-Str. 53- MAIEN WE6 70372-Stuttgart, Germany	100 73
89081 UCM 113	
Before me personally appeared the person winstrument, and executed the foregoing instrument therein, by signing his/her name hereto.	
<u>Signature</u>	Date Signed 29.8.03
Witness	Millia Iffell
Signature	Date Signed
Name: Lionel Breton	
Address: 14 Rue Satory F-78000 Versailles, France	
1-70000 Versumes, Transco	
Before me personally appeared the person winstrument, and executed the foregoing instrument itherein, by signing his/her name hereto.	
Signature	Date Signed
Witness	

Page 2 of 3

Name: Markus Baur  Address: König-Karl-Str. 53 70372 Stuttgart, Germany  Before me personally appeared the person whose name is instrument, and executed the foregoing instrument in my present therein, by signing his/her name hereto.	ate Signed s subscribed to the forego
Address: König-Karl-Str. 53 70372 Stuttgart, Germany  Before me personally appeared the person whose name is instrument, and executed the foregoing instrument in my present therein, by signing his/her name hereto.  Signature  Display	s subscribed to the forego
Address: König-Karl-Str. 53 70372 Stuttgart, Germany  Before me personally appeared the person whose name is instrument, and executed the foregoing instrument in my present therein, by signing his/her name hereto.  Signature  Display	s subscribed to the forego
Before me personally appeared the person whose name is nstrument, and executed the foregoing instrument in my present herein, by signing his/her name hereto.  Signature  Display	s subscribed to the forego
nstrument, and executed the foregoing instrument in my present herein, by signing his/her name hereto.  Signature  Di	s subscribed to the forego
Instrument, and executed the foregoing instrument in my present therein, by signing his/her name hereto.  Signature  Different Difference of the foregoing instrument in my present the pr	s subscribed to the forego
	ice for the purpose contain
Witness	eate Signed
Signature Da	

Before me personally appeared the person whose name is subscribed to the foregoing instrument, and executed the foregoing instrument in my presence for the purpose contained therein, by signing his/her name hereto.

Signature

Address:

14 Rue Satory

F-78000 Versailles, France

Nyiam Allab-Witness (

Date Signed

August 18th 2003

<u>Signature</u>		Date Signed
if		July 26 lh 2003
Name: Fr	ancois Couzy	
Address:	Route Monts de Lavaux 498 CH-1090 La Croix/Lutry, Switzerland	
instrumen	efore me personally appeared the person t, and executed the foregoing instrument y signing his/her name hereto.	whose name is subscribed to the foregoing in my presence for the purpose contained
Signature	ANKE HILDEBRANDT Duhe Alildelmandh	Date Signed
	Duke Hildelmandh	26.7. 2003
Witness		
<u>Signature</u>		Date Signed
Name: Aı	udrey Gueniche	
Address:	29 rue Raymond Queneau F-92500 Rueil Malmaison, France	
instrumen	fore me personally appeared the person t, and executed the foregoing instrument signing his/her name hereto.	whose name is subscribed to the foregoing in my presence for the purpose contained
Signature		Date Signed
Witness		,

Page 3 of 3

Signature	Date Signed
<u> </u>	
Name: Francois Couzy	
Address: Route Monts de Lavaux 498 CH-1090 La Croix/Lutry, Switzerland	<u>1</u>
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instrument, and executed the foregoing instrum	son whose name is subscribed to the foregoing nent in my presence for the purpose contained
therein, by signing his/her name hereto.	
Signature	Date Signed
Witness	
Withess	
	Date Signed
Signature Juliu Che	1 stort 2003
Name: Audrey Gueniche	
Address: 29 rue Raymond Queneau F-92500 Rueil Malmaison, France	
Before me personally appeared the per instrument, and executed the foregoing instru- therein, by signing his/her name hereto.	rson whose name is subscribed to the foregoing ment in my presence for the purpose contained
Signature	<u>Date Signed</u>
Herri Pageon	08/01/03
Witness	

Page 3 of 3