

Form PTO-1595 (Rev. 08/08)
OMB No. 0651-0027 (exp. 8/31/2008)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

- Nagesh R. Basavanahally; Marc Scott Hodes;
- Paul Robert Kolodner; Avinoam Komblit;
- Thomas Nikita Krupenkin; Wonsuck Lee;
- Alan Michael Lyons; Todd Richard Salamon; Brijesh Vyas

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 7/7/08 - 5/11/09

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Lucent Technologies Inc.

Internal Address: _____

Street Address: 600 Mountain Ave.

City: Murray Hill

State: New Jersey

Country: USA Zip: 07974-0636

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

12/080,408

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Jay M. Brown

Internal Address: _____

Street Address: 6409 Fayetteville Rd., #120-306

City: Durham

State: North Carolina Zip: 27713

Phone Number: (919) 522-0312

Fax Number: (919) 651-0211

Email Address: jaybrownlaw@gmail.com

6. Total number of applications and patents involved: One

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40

- ☒ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers 9255
Expiration Date 05/2012

b. Deposit Account Number _____

Authorized User Name _____

9. Signature:



Signature

May 13, 2009

Date

JAY M. BROWN
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

11

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

OP \$40.00 12080408

Basavanhally 43-22-30-26-55-5-39-7-27
Docket No.: LU07012USU

ASSIGNMENT AND AGREEMENT

For value received, we Nagesh R. Basavanhally, of 21 Lowry Court, Skillman, New Jersey 08558; Marc Scott Hodes, of 6 Flemings Hall, 15-16 Flemings Place, Dublin 15, Ireland; Paul Robert Kolodner, of 1025 Bloomfield Street, Hoboken, New Jersey 07030; Avinoam Kornblit, of 432 Dennison Street, Highland Park, New Jersey 08904; Thomas Nikita Krupenkin, of 3548 Valley Ridge Road, Middleton, Wisconsin 53562; Lee Wonsuck, of 50 Mayflower Drive, Basking Ridge, New Jersey 07920; Alan Michael Lyons, of 28 Morehouse Place, New Providence, New Jersey 07974; Todd Richard Salamon, of 5 Center Street, Apt. 5, Chatham, New Jersey 07928; and Brijesh Vyas, of 4 Tall Oaks Drive, Warren, New Jersey 07059; hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey, 07974-0636, U.S.A. and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to THERMAL ENERGY TRANSFER DEVICE, described in an application for Letters Patent of the United States filed as application serial no. 12/080,408 on March 31, 2008, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

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We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.


Nagesh R. Basavanhally

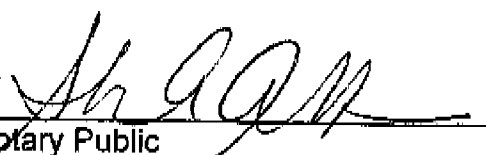
Date: July 16, 2008

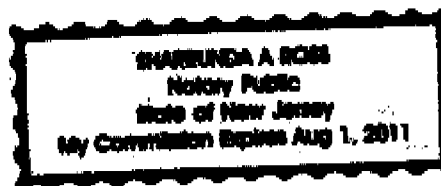
United States of America)

State of New Jersey) ss.:

County of Union)

On this 16 day of July, 2008, before me personally came Nagesh R. Basavanhally, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public



Basavanhally 43-22-30-26-55-5-39-7-27

Docket No.: LU07012USU



Marc Scott Hodes

Date: July 10th 2008

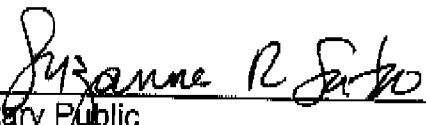
Basavanhally 43-22-30-26-55-5-39-7-27
Docket No.: LU07012USU


Paul Robert Kolodner

Date: 7/7/08

United States of America)
State of New Jersey) ss.:
County of Union)

On this 7th day of July, 20 08, before
me personally came Paul Robert Kolodner, to me known to be the individual
described in and who executed the foregoing instrument, and acknowledged
execution of the same.


Notary Public

Suzanne R. Sabo
Notary Public - State of NJ
Commission No. 2362056
My Commission Expires 7/12/2012

Basavanhally 43-22-30-26-55-5-39-7-27
Docket No.: LU07012USU

Avinoam Kornblit
Avinoam Kornblit

Date: 7/11/2008

United States of America)

State of New Jersey) ss.:

County of Middlesex)

On this 11th day of July, 20 08, before
me personally came Avinoam Kornblit, to me known to be the individual
described in and who executed the foregoing instrument, and acknowledged
execution of the same.

Valerie Austin
Notary Public

VALARIE AUSTIN
Notary Public of New Jersey
My Commission Expires March 24, 2011

Basavanhally 43-22-30-26-55-5-39-7-27
Docket No.: LU07012USU

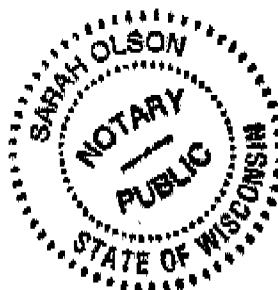

Thomas Nikita Krupenkin

Date: 07/29/08

United States of America)

State of Wisconsin) ss.:


County of Dane)



On this 29 day of July, 20 08, before
me personally came Thomas Nikita Krupenkin, to me known to be the individual
described in and who executed the foregoing instrument, and acknowledged
execution of the same.


Notary Public

Basavanhally 43-22-30-26-55-5-39-7-27
Docket No.: LU07012USU


Wonsuck Lee

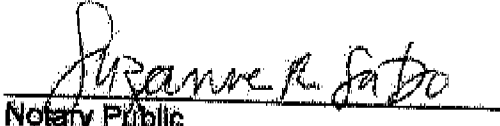
Date: 05/11/2009

United States of America)

State of New Jersey) ss:

County of Union)

On this 11th day of MAY, 2009, before
me personally came Wonsuck Lee, to me known to be the individual described in
and who executed the foregoing instrument, and acknowledged execution of the
same.


Notary Public

Suzanne R. Sabo
Notary Public - State of NJ
Commission No. 2362056
My Commission Expires 7/12/2012

Basavanhally 43-22-30-26-55-5-39-7-27

Docket No.: LU07012USU

Alan Michael Lyons
Alan Michael Lyons

Date: Aug 4 2008

United States of America)

State of New Jersey) ss.:County of Union)

On this 4 day of August, 2008, before
me personally came Alan Michael Lyons, to me known to be the individual
described in and who executed the foregoing instrument, and acknowledged
execution of the same.

Diane C. Thomas
Notary Public

Diane C. Thomas
Notary Public
of New Jersey
My Commission
Expires July 11, 2011

Basavanhally 43-22-30-26-55-5-39-7-27
Docket No.: LU07012USU



Todd Richard Salamon

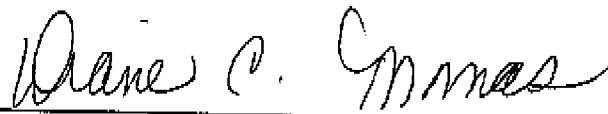
Date: July 8, 2008

United States of America)

State of New Jersey) ss.:

County of Union)

On this 8 day of July, 2008, before
me personally came Todd Richard Salamon, to me known to be the individual
described in and who executed the foregoing instrument, and acknowledged
execution of the same.



Notary Public

Diane C. Thomas
Notary Public
of New Jersey
My Commission
Expires July 11, 2011

Basavanhally 43-22-30-26-55-5-39-7-27
Docket No.: LU07012USU

Brijesh Vyas
Brijesh Vyas

Date: July 2, 2008

United States of America)

State of NEW JERSEY) ss.:

County of MORRIS)

On this 2 day of July, 2008, before me personally came Brijesh Vyas, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Miriam Feldman
Notary Public

MIRIAM FELDMAN
Notary Public, Morris County, New Jersey
My Commission Expires March 8, 2011

Alcatel-Lucent
Docket Administrator
600-700 Mountain Avenue
Murray Hill, NJ 07974-0636