

RE 3/30/09

MAY. 12. 2009 12:19PM
Assignment

(2) - FISH & RICHARDSON_6175428906

NO. 8338 P. 3
Page 1 of 7

Corrective Coversheet

05-15-2009



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SUBMISSION TYPE:		CORRECTIVE ASSIGNMENT	
NATURE OF CONVEYANCE:		Corrective Assignment to correct the Assignee name previously recorded on Reel 022460 Frame 0697. Assignor(s) hereby confirms the Assignee should be Hoffmann-La Roche Inc.	
CONVEYING PARTY DATA			
		Name	Execution Date
		Arius Research Inc.	11/24/2008
RECEIVING PARTY DATA			
Name:	Hoffmann-La Roche Inc.		
Street Address:	340 Kingsland Street		
City:	Nutley		
State/Country:	NEW JERSEY		
Postal Code:	07110		
PROPERTY NUMBERS Total: 144			
Property Type	Number		
Patent Number:	6180357		
Application Number:	09727370		
Application Number:	10226716		
Application Number:	11774293		
Application Number:	09727369		
Application Number:	10226756		
Application Number:	11240787		
Application Number:	60548531		
Application Number:	12052495		
Application Number:	60965165		

Application Number:	61026584
Application Number:	61124019
Application Number:	12228682
Application Number:	60992592
Application Number:	12342986
Patent Number:	7189397
Application Number:	10810165
Application Number:	11592516
Application Number:	11716216
Application Number:	11786165
Application Number:	11807887
Application Number:	11879676
Application Number:	11975897
Application Number:	12082649
Application Number:	11364013
Application Number:	12217279
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Application Number:	11807681
Patent Number:	7399835
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Application Number:	11975781
Application Number:	12082659
Application Number:	11361153
Application Number:	12220362
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Application Number:	60642057
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Application Number:	12284137

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Patent Number:	7420039
Application Number:	12201023
Patent Number:	7009040
Application Number:	10743451
Patent Number:	7488475
Application Number:	10603006
Patent Number:	7361342
Application Number:	12102662
Patent Number:	7175846
Patent Number:	7361343
Application Number:	12102983
Application Number:	11493407
Application Number:	60833431
Application Number:	11880619
Application Number:	60705073
Application Number:	60705221
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Application Number:	60776466
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Application Number:	12082647
Application Number:	12229203
Patent Number:	7420041
Application Number:	12229187
Patent Number:	7456259
Application Number:	60704685
Application Number:	60705242
Patent Number:	7442776
Patent Number:	7419792
Application Number:	12217191
Patent Number:	7247476
Patent Number:	6657048
Patent Number:	7258271
Application Number:	10846266
Patent Number:	6071933
Application Number:	60977215
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Application Number:	61125856
Application Number:	61125818
Application Number:	60865473
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Application Number:	12114090
Application Number:	60968176
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Application Number:	60971004
Application Number:	12196350
Application Number:	60949935
Application Number:	12172801
Application Number:	60949942
Application Number:	12172626
Application Number:	60949947
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Application Number:	61024074
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Application Number:	61045840
Application Number:	61054341
Application Number:	61045844
Application Number:	61054372
Application Number:	61081553
Application Number:	60886150
Application Number:	12017827
Application Number:	60886146
Application Number:	60886145

	12017855
Application Number:	60886144
Application Number:	12017886
Patent Number:	7252821
Patent Number:	7186808
Application Number:	12356980
Application Number:	12357031
Application Number:	12357012
Patent Number:	7494648
Patent Number:	7348413

CORRESPONDENCE DATA

Fax Number: (617)542-8906

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: (617) 542-5070

Email: cgrace@fr.com

Correspondent Name: Todd E. Garcia

Address Line 1: PO Box 1022

Address Line 4: Minneapolis, MINNESOTA 55440-1022

ATTORNEY DOCKET NUMBER:	24525-0001001
NAME OF SUBMITTER:	Todd E. Garcia
Signature:	/Todd E. Garcia, Reg. No. 54,112/
Date:	05/08/2009

Total Attachments: 23

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RECEIPT INFORMATION

EPAS ID: PAT873428
Receipt Date: 05/08/2009
Fee Amount: \$5760

ORIGINAL
COVERSHEET

TO: TODD E. GARCIA COMPANY: FISH & RICHARDSON P.C.

PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

03/30/2009
500820917

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	Asset Purchase Agreement
CONVEYING PARTY DATA	
Name	Execution Date
Arius Research Inc.	11/24/2008
RECEIVING PARTY DATA	
Name:	Hoffman-La Roche Inc.
Street Address:	340 Kingsland Street
City:	Nutley
State/Country:	NEW JERSEY
Postal Code:	07110
PROPERTY NUMBERS Total: 144	
Property Type	Number
Patent Number:	6180357
Application Number:	09727370
Application Number:	10226716
Application Number:	11774283
Application Number:	09727369
Application Number:	10226756
Application Number:	11240787
Application Number:	80548531
Application Number:	12052495
Application Number:	60965165
Application Number:	61026584
Application Number:	61124019
Application Number:	12226682
Application Number:	60992592
Application Number:	12342966

CH \$5760.00 6180357

TO:TODD E. GARCIA COMPANY:FISH & RICHARDSON P.C.

Patent Number:	7189397
Application Number:	10810165
Application Number:	11592516
Application Number:	11716216
Application Number:	11786165
Application Number:	11807887
Application Number:	11879676
Application Number:	11975897
Application Number:	12082648
Application Number:	11364013
Application Number:	12217279
Application Number:	60548667
Application Number:	11807661
Patent Number:	7399835
Patent Number:	7195764
Patent Number:	6794494
Application Number:	11975781
Application Number:	12082659
Application Number:	11361153
Application Number:	12220362
Patent Number:	7256272
Application Number:	11777551
Application Number:	60642057
Patent Number:	7431923
Patent Number:	7442777
Application Number:	12284137
Application Number:	11367798
Application Number:	11387007
Patent Number:	7420039
Application Number:	12201023
Patent Number:	7009040
Application Number:	10743451
Patent Number:	7488475
Application Number:	10603006
Patent Number:	7361342

TO:TODD E. GARCIA COMPANY:FISH & RICHARDSON P.C.

Application Number:	12102882
Patent Number:	7175848
Patent Number:	7381343
Application Number:	12102983
Application Number:	11493407
Application Number:	80833431
Application Number:	11880619
Application Number:	80705073
Application Number:	60705221
Patent Number:	7452978
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Application Number:	60704847
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Application Number:	11807837
Application Number:	11975896
Application Number:	12082647
Application Number:	12229203
Patent Number:	7420041
Application Number:	12229187

TO:TODD E. GARCIA COMPANY:FISH & RICHARDSON P.C.

Application Number:	60704685
Patent Number:	7456259
Application Number:	60705242
Patent Number:	7442776
Patent Number:	7419792
Application Number:	12217191
Patent Number:	7247476
Patent Number:	6657048
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Application Number:	60949935
Application Number:	12172601
Application Number:	80949942
Application Number:	12172626
Application Number:	60849947

TO:TODD E. GARCIA COMPANY:FISH & RICHARDSON P.C.

Application Number:	12172645
Application Number:	61024074
Application Number:	61024085
Application Number:	61024056
Application Number:	61043847
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Application Number:	61054372
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Application Number:	60886150
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Application Number:	12357031
Application Number:	12357012
Patent Number:	7494648
Patent Number:	7348413

CORRESPONDENCE DATA

Fax Number: (617)542-8906

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Phone: 617-542-5070

Email: cgrace@fr.com

Correspondent Name: Todd E. Garcia

Address Line 1: FISH & RICHARDSON P.C.

Address Line 2: PO BOX 1022

Address Line 4: Minneapolis, MINNESOTA 55440-1022

ATTORNEY DOCKET NUMBER: 24525-0001001

NAME OF SUBMITTER: Todd E. Garcia

TO:TODD E. GARCIA COMPANY:FISH & RICHARDSON P.C.

Total Attachments: 23

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TO: TODD E. GARCIA COMPANY: PO BOX 1022

Asset Purchase Agreement

between

Arius Research Inc.
55 York Street, 18th Floor
Toronto, Ontario
M5J 1R7
("Arius")

and

F. Hoffmann-La Roche AG
Grenzacherstrasse 12A
CH-4070 Basel
Switzerland
("FHLR")

and

Hoffmann-La Roche Inc.
840 Kingsland Street
Nutley, NJ 07110
USA
("Nutley")

(collectively, the "Acquirers")

The parties hereto agree as follows:

1. Assets being sold

- 1.1 Effective as of November 25, 2008 (the "Effective Date"), Arius hereby sells, assigns, delivers and transfers all of the rights, title and interest to all its intellectual property, including without limitation all patents and patent applications, copyrights, trademarks and trademark applications and domain names set forth in

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TO: TODD E. GARCIA COMPANY: PO BOX 1022

Schedule 1 hereto (the "Assets") and the Acquirers hereby purchase and assume all rights, title and interest of Arius in the Assets.

- 1.2 Nutley purchases and assumes all assets related to the United States. FHLR purchases and assumes all assets related to the geographic markets outside the United States.

TO:TODD E. GARCIA COMPANY:PO BOX 1022

Toronto, Nov 24/08

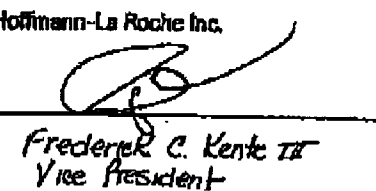

Aris Research Inc.

Basel

F.Hoffmann-La Roche AG

P. Lesler

Nutley,

Hoffmann-La Roche Inc.

Frederick C. Kente Jr
Vice President

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TO:TODD E. GARCIA COMPANY:PO BOX 1022

Schedule 1

to the Asset Purchase Agreement between Arius Research Inc., Toronto, Canada, and
F.Hoffmann-La Roche AG, Basel, Switzerland and Hoffmann-La Roche Inc. Nutley, USA

Assata (Art. 1)

See following pages (excerpt from Disclosure Letter to the Arrangement Agreement dated
July 22, 2006)

TO:TODD E. GARCIA COMPANY:PO BOX 1022

Schedule 3.1.15(a)(i) to (vii)
 Intellectual Property

Country	App. No.	App. Date	Pub. No.	Pub. Date	Pub. No.
US	Nov 13 '04	10713,642	Grants Aug 14 '07	7,254,332	
US CON	Jul 13 '07	10777,301	Pending		

Country	App. No.	App. Date	Pub. No.	Pub. Date	Pub. No.
US Prov	Jan 03 '03	60562,657	Converted		
US	Dec 29 '05	11321,624	ALLOWED	(2006)21497(A)	
PCT	Dec 29 '05	PCT/CA000000005	(Jul 13 '06)	(W02006/21166)	
AU	Dec 29 '07	200534859	Pending		
CA	Dec 29 '05	2,354,143	Pending		
CN	Dec 29 '05	2005307430A.0	Pending		
EP	Dec 29 '05	0582300.6	(Oct 10 '07)	(1,441,385)	
IL	Dec 29 '05	184322	Pending		
JP	Dec 29 '05	2007-54869	Pending		
MX	Dec 29 '05	MX/a/2007/008121	Pending		
NZ	Dec 29 '05	542077	Pending		
ZA	Dec 29 '05	200700339	Pending		
TV	Jan 03 '06	95100153	(Nov 01 '06)	(2006)7815	

Country	App. No.	App. Date	Pub. No.	Pub. Date	Pub. No.
US	Feb 24 '06	11242,438	ALLOWED	(2007)02012(A)	
PCT	Feb 22 '07	PCT/CA000000034	(Aug 20 '07)	(W02007/00729)	

Country	App. No.	App. Date	Pub. No.	Pub. Date	Pub. No.
US	Mar 23 '06	11267,798	Converted	(2006)16474(A)	
US CON	Mar 23 '06	11182,407	Pending		

Country	App. No.	App. Date	Pub. No.	Pub. Date	Pub. No.
US Prov	Mar 23 '06	11307,215	(Oct 26 '06)	(2006)24002(A)	

Country	App. No.	App. Date	Pub. No.	Pub. Date	Pub. No.
US	Jan 21 '03	10244,231	Grants Mar 07 '06	7,099,340	
US Con1	Dec 19 '05	10776,431	Abandoned	(2006)14079(A)	
US Con2	Mar 07 '06	11370,206	Exp 28 '06	(2006)02162(A)	
PCT	Mar 05 '07	PCT/CA000000049	(Sep 13 '07)	(W02007/01351)	

TO:TODD E. GARCIA COMPANY:PO BOX 1022

Country	App. No.	App. No.	App. No.	App. No.
US	Jun 28 '02	10403.856	Dom 08 '04	(2004018316)
US CIP CON	Apr 14 '06	12/102.688	Pending	
PCT	Jun 21 '04	PCT/CA04/000559	Not examined	(WO2004065422)
AU	Jun 27 '04	2004201585	Pending	
CA	Jun 21 '04	2,313,772	Pending	
CN	Jun 21 '04	20040007518.4	Pending	
EP	Jun 21 '04	04705738.7	(Oct 26 '07)	(1,987,336)
HK	Jun 21 '04	05711671.9	Pending	
JP	Jun 21 '04	2005-090434	(Apr 23 '07)	(2007-523814)
NZ	Jun 21 '04	541366	Pending	
NZ DIV 1*	Jun 14 '06	565077	Pending	
NZ DIV 2*	Jun 14 '06	565076	Pending	

Country	App. No.	App. No.	App. No.	App. No.
US	Aug 08 '06	11,468,082	Grant Feb 13 '07	1,174,346
PCT	Nov 17 '06	PCT/CA06/001879	(Feb 07 '08)	(WO2006014985)

Country	App. No.	App. No.	App. No.	App. No.
US	Mar 26 '04	10418.731	Grant Apr 23 '08	7,341,548
US CON	Apr 14 '08	12/102.590	Pending	
PCT	Mar 23 '05	PCT/CA05/000443	Not examined	(WO200508277)
AU	Mar 21 '05	2005228786	Pending	
CA	Mar 23 '05	2,348,864	Pending	
CN	Mar 23 '05	20050031694.8	(May 09 '07)	(CN1987714A)
CN DIV	Dec 29 '07	200716300361.6	Pending	
EP	Mar 23 '05	05714875.0	(Dec 20 '06)	(1,732,603)
HK	Mar 08 '07		Pending	
IL	Mar 23 '05	17323	Pending	
JP	Mar 23 '05	2007-504227	(Nov 01 '07)	(2007-50458)
MX	Mar 23 '05	PA/02006810834	Pending	
NZ	Mar 23 '05	530219	Pending	
ZA	Mar 23 '05	2006/07920	Pending	

Country	App. No.	App. No.	App. No.	App. No.
US	Jul 26 '06	11,468,407	(Nov 20 '06)	Grant 08/14
PCT	Jul 26 '07	PCT/CA07/001317	(Jan 31 '08)	(WO2008011710)

Country	App. No.	App. No.	App. No.	App. No.
US Prov	July 24 '06	60933.63	Converted	
US	July 23 '07	11,768,616	(Apr 17 '08)	(2008000197)
PCT	July 24 '07	PCT/CA07/001318	(Jan 31 '08)	(WO2008011711)

TO:TODD E. GARCIA COMPANY:PO BOX 1022

Country	App. No.	Pub. No.	Pub. Date	Pub. No.
US	Jul 15 '94	10791,822	Granted Mar 04 '97	7,122,806

Country	App. No.	Pub. No.	Pub. Date	Pub. No.
US	Mar 28 '94	10710,153	(Sep 16 '94)	(2004/18024A)
US CIP	Mar 14 '95	11078,549	ALLOWED	(2005/24189A)
US CIP DIV			To be filed	
PCT	Mar 29 '95	PCT/CAN2000/004	Notified	(WO2000/02104A)
AD	Mar 29 '95	2000225472	Pending	
CA	Mar 29 '95	2,561,182	Pending	
CN	Mar 29 '95	20003801403.8	(Aug 22 '97)	(CN101023164A)
EP	Mar 29 '95	05728971.1	(Dec 27 '98)	(1,714,437)
HK	Nov 06 '97	07113781.9	Pending	
JP	Mar 29 '95	2007-104299	(Nov 1 '97)	(2007-330460)
NZ	Mar 29 '95	280044	Pending	
TW	Feb 07 '96	25104004	Pending	

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Intellectual Property

Agency	Event Date	Event Time	Event Location	Event Status
US	Oct 18 '99	08:41:27	Great Jan 30 '01	1,188,135
FCI	Nov 08 '00	PC/10/00/000000	National Land	(W202010/000000)
ATF	Nov 08 '00	2001/000000	Firearm	
CA	Nov 08 '00	2,436,007	Firearm	
BP	Nov 08 '00	000000115	Great May 07 '00	1,188,135
BP Div	Oct 22 '07	0701/03315	(May 19 '00)	(1,188,135)
BP	Aug '00		Firearm	
BP	Nov 08 '00	2001-573000	Firearm	
UN Div	Nov 29 '00	0000000000	Abandoned	(0000000000)
US Div Con	Aug 22 '01	10,225,704	Abandoned	(0000000000)
UN Div Con	Jul 06 '07	11,774,200	Firearm	
UN Div	Nov 29 '00	0000000000	Abandoned	(0000000000)
US Div Con	Aug 22 '01	10,225,704	Abandoned	(0000000000)
UN Div Con	May 30 '05	11,774,200	Abandoned	(0000000000)

[illegible]

EC	Dec 21 '81	FCR/CAM/1148	10/25/82	(W2001105515)
CA	Dec 21 '81	2,477,326	10/25/82	
CF	Dec 21 '81	11/25/82	(Dec 13 '81)	(1,211,115)
EC	Dec 21 '81	10/25/82	(Dec 25 '87)	(1,187,305)
EC	Dec 21 '81	10/25/82	(Dec 25 '87)	(1,187,305)
EC	Dec 21 '81	10/25/82	(Dec 25 '87)	(1,187,305)

UN Prot	Feb 24 '91	0294431	Completed	
UN	Feb 25 '91	11067350	Complete After 20 '91	7342,413
UN Con	May 28 '91	11066498	Pending	
ECT	Feb 24 '91	PCTR-AD5000000	Notified	(V02854193)
AIJ	Feb 24 '91	200217077	Pending	
CA	Feb 28 '91	2397,081	Pending	
CN	Feb 28 '91	200300050181	Order 21 '97	(CN19540474)
EP	Feb 28 '91	057145437	Nov 91 '95	(1718,726)
HR	Aug 23 '97		Pending	
J	Feb 28 '91	2007-500024	Pending	
NZ	Feb 28 '91	549408	Pending	
TF	Feb 24 '91	95108220	Done 01 '95	(100540438)

TO:TODD E. GARCIA COMPANY:PO BOX 1022

Country	App No.	App No.	App No.	App No.
US PROV	Apr 17 '07	60/941,463	Pending	
US PROV 2	Feb 04 '08	61/226,984	Pending	
US PROV 3	Apr 11 '04	61/124,819	Pending	

Country	App No.	App No.	App No.	App No.
US PROV	Dec 03 '07	60/982,792	Pending	

Country	App No.	App No.	App No.	App No.
US	Jan 23 '03	10,583,810	Granted Aug 07 '97	7,332,501
PCT	Jan 05 '04	PCT/CA04/000446	Not published	(WO/2004/11836)
AU	Jan 04 '04	2004/248,000	Pending	
CA	Jan 05 '04	2,334,214	Pending	
CN	Jan 04 '04	2004/200,000.8	(Oct 18 '05)	(CN160736A)
EP	Jan 04 '04	05/277,654	(Oct 22 '05)	(1,635,887)
HK	Jan 05 '04	0718722.9	(Jan 11 '07)	(1,866,464)
JP	Jan 04 '04	2004-314,961	(Aug 23 '07)	(2007-523851)
NZ	Jan 05 '04	544,440	Pending	

Country	App No.	App No.	App No.	App No.
US	Aug 28 '03	10,641,811	Granted Mar 13 '07	7,188,397
PCT	Aug 28 '04	PCT/CA04/001596	Not published	(WO/2004/01857)
AU	Aug 28 '04	2004/266,000	Pending	
CA	Aug 28 '04	2,334,210	Pending	
CN	Aug 28 '04	2004/200,000.8	(Oct 24 '07)	(CN1901936A)
EP	Aug 28 '04	04/271,183	(Oct 14 '05)	(1,687,710)
HK	Aug 24 '07		Pending	
JP	Aug 28 '04	2004-623,900	Pending	
NZ	Aug 28 '04	545,477	Pending	

Country	App No.	App No.	App No.	App No.
EP	Mar 26 '04	1,047,473	Abandoned	(2004/000,000)
US CON	Nov 03 '06	11,292,714	(Oct 01 '07)	(2007/000,000)
PCT	Mar 23 '05	PCT/CA05/000441	Not published	(WO/2005/02573)
AU	Mar 23 '05	2005/267,000	Pending	
CA	Mar 23 '05	2,330,189	Pending	
CN	Mar 23 '05	2005/200,000.8	(May 02 '07)	(CN1900734A)
CN DIV	Dec 28 '07	20071610266.2	Pending	
EP	Mar 23 '05	05/146,714	(Dec 26 '05)	(1,733,807)
HK	Nov 06 '07		Pending	
JP	Mar 23 '05	2007-304,225	Pending	
NZ	Mar 23 '05	590,045	Pending	

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Country	Filing Date	Pub. No.	Status	Pub. No.
US	Mar 08 '07	11/716,216	Re/issue of (Aug 14 '07)	(2007/116,216)
PCT			Converted to AIA PCT (A052 PCT)	

Country	Filing Date	Pub. No.	Status	Pub. No.
US	Apr 11 '07	11/786,165	(Oct 23 '07)	(2007/116,216)
PCT	Mar 10 '08		Pending	

Country	Filing Date	Pub. No.	Status	Pub. No.
US	Mar 30 '07	11/807,887	Pending	
PCT	Mar 29 '08		Pending	

Country	Filing Date	Pub. No.	Status	Pub. No.
US	Jul 18 '07	11/875,674	(June 01 '08)	(2008/118,756)
US CIP	Oct 23 '07	11/874,397	(May 28 '08)	(2008/118,756)
US CIP 2	Apr 11 '08	12/062,649	Pending	

Country	Filing Date	Pub. No.	Status	Pub. No.
US	Feb 28 '06	11/564,013	Abandoned	(2006/118,756)
US DIV	Apr '08		Pending	
PCT	Feb 28 '07	PC/CA/07/000211	(Sep 07 '07)	(2007/118,756)
TW	Mar 18 '08	95117643	Pending	

Country	Filing Date	Pub. No.	Status	Pub. No.
US	Apr 14 '04	10/431,737	Grant Jan 31 '06	6,794,484
PCT	Mar 31 '04	PC/CA/04/000403	Not issued	(2004/104,317)
AU	Mar 31 '04	2004228078	Pending	
CA	Mar 31 '04	2,521,375	Pending	
CN	Mar 31 '04	280490015671.7	(Jul 12 '06)	(CN1302327A)
EP*	Mar 31 '04	04734903.5	Grant Dec 18 '06	1,613,636
EP DIV	Dec 07 '06	06225326.7	(Feb 28 '07)	(1,757,620)
HK	Jul 02 '07	07105506.3	Pending	
JP	Mar 31 '04	2006-504081	Pending	
SE	Mar 31 '04	542827	Pending	

* Designated in EP, DE, CH, CY, DK, ES, FI, FR, GB, GR, IL, IT, JP, NL, PT, RO, SI, SK, TR

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Country	App. No.	App. No.	Status	Pub. No.
US	Nov 15 '04	7054466	Granted Mar 27 '07	7,181,764
PCT	Mar 19 '05	PCT/CA000894	Not published	(WO2006/02499)
AU	Mar 19 '05	2005204618	Pending	
CA	Mar 19 '05	2,578,800	Pending	
CN	Mar 19 '05	200580000121	Pending	
EP	Mar 19 '05	03714243	(Mar 20 '07)	(1,396,721)
HK	Mar 24 '05	08104004	Pending	
JP	Mar 19 '05	2007-005524	Pending	
NZ	Mar 19 '05	531835	Pending	

Country	App. No.	App. No.	Status	Pub. No.
US Prov	Feb 26 '04	60541857	Continued	
US	Feb 26 '05	11,097,546	Granted Jul 18 '06	7,108,143
PCT	Feb 26 '05	PCT/CA000894	Not published	(WO2006/02499)
AU	Feb 26 '05	2005217004	Pending	
CA	Feb 26 '05	2,577,800	Pending	
CN	Feb 26 '05	200580000121	(Mar 21 '07)	(CN1950446)
EP	Feb 26 '05	03714243	(Nov 08 '06)	(1,718,337)
HK	Aug 13 '07	07100701	Pending	
JP	Feb 26 '05	2007-000025	Pending	
NZ	Feb 26 '05	549600	Pending	
TW	Feb 24 '06	95108225	(Dec 01 '06)	(200640489)

Country	App. No.	App. No.	Status	Pub. No.
US	May 30 '07	11,097,546	(Jan 31 '08)	(200602499)
US CP2	Oct 22 '07	11,097,546	Pending	
US CP2	Aug 11 '08	12,062,639	Pending	
PCT	Mar 22 '06		Pending	

Country	App. No.	App. No.	Status	Pub. No.
US	Feb 24 '05	11,091,133	(Dec 29 '05)	(WO2006/02499)
PCT	Mar 22 '07	PCT/CA000894	(Aug 30 '07)	(WO2006/02499)

Country	App. No.	App. No.	Status	Pub. No.
US	Nov 15 '04	7,077,348	Granted Aug 14 '07	7,262,211
US CON	Jul 19 '07	11,777,151	Pending	

Country	App. No.	App. No.	Status	Pub. No.
US Prov	Jan 18 '05	60541857	Continued	
US	Dec 29 '05	11,111,624	ALLOWED	(WO2006/02499)
PCT	Dec 29 '05	PCT/CA0001946	Oct 11 '06	(WO2006/02499)

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AU	Dec 30 '05	200502759	Pending	
CA	Dec 30 '05	2,184,149	Pending	
CN	Dec 30 '05	2005004308.0	Pending	
HP	Dec 30 '05	05625900.9	(Oct 10 '07)	(0,341,785)
IL	Dec 30 '05	1,813,002	Pending	
JP	Dec 30 '05	2007-048530	Pending	
MX	Dec 30 '05	100/12000000123	Pending	
NZ	Dec 30 '05	559247	Pending	
SA	Dec 30 '05	2007/03259	Pending	
TW	Dec 30 '05	99100132	(Nov 01 '06)	(200697875)

US	Feb 24 '06	11/382,452	ALLOWED	(200602315A1)
PCT	Feb 22 '07	PCT/CA07/002254	(Aug 30 '07)	(WO2007/082729)

US	Mar 05 '06	11/267,706	ALLOWED	(200611441A1)
US CIP	Mar 22 '06	11/317,871	Pending	

US Div	Mar 22 '06	11/327,115	(Oct 26 '06)	(200601087A1)
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US	Jun 21 '05	0244,211	Grant Mar 07 '06	1,831,836
US Cont	Dec 19 '05	10043,811	Abandoned	(200601177A1)
US Cont2	Mar 07 '05	11/378,248	(Sep 28 '06)	(200602187A1)
PCT	Mar 05 '07	PCT/CA07/002543	(Sep 13 '07)	(WO2007/082731)

US	Jun 21 '05	10060,005	Oct 05 '06	(200602011A)
US CIP	Jun 20 '04	10762,129	Grant Aug 22 '06	7,561,343
US CIP CON	Apr 14 '06	12/182,882	Pending	
PCT	Jun 21 '04	PCT/CA04/000059	National phase	(WO2004/045022)
AU	Jun 21 '04	2004205439	Pending	
CA	Jun 21 '04	2,513,772	Pending	
CN	Jun 21 '04	200482007395.4	Pending	
EP	Jun 21 '04	1076758.7	(Oct 26 '05)	(1,587,836)
HK	Jun 21 '04	061/11471.9	Pending	
JP	Jun 21 '04	2004-580434	(Aug 21 '07)	(2007-033834)
KR	Jun 21 '04	10/1166	Pending	
NZ Div 1*	Jun 15 '06	56077	Pending	
NZ Div 2*	Jun 15 '06	56078	Pending	
Canada*	Jun 21 '04	2,513,772	Pending	

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US	App No	Pub No	Grant Date	Pub No
US	Aug 01 '96	11/493,082	Grant Feb 23 '97	1,173,844
PGT	Nov 17 '06	PCT/CAN/001879	Feb 07 '08	(WO/2008/01498)

US	App No	Pub No	Grant Date	Pub No
US	Mar 26 '04	10/418,751	Grant Apr 23 '08	1,341,343
US CON	Apr 15 '08	12/102,983	Pending	
PGT	Mar 23 '06	PCT/CAN/000443	Not Published	(WO/2006/02277)
AU	Mar 21 '06	2006224780	Pending	
CA	Mar 21 '06	2,562,864	Pending	
CN	Mar 23 '06	200680714854.9	(Mar 09 '07)	(CN18607556A)
CN DIV	Dec 29 '07	200710281354.8	Pending	
EP	Mar 28 '06	03714673.8	(Dec 20 '06)	(1,732,600)
HK	Nov 06 '07		Pending	
IL	Mar 23 '06	110251	Pending	
JP	Mar 28 '06	2007-004227	(Nov 01 '07)	(2007-530458)
KR	Mar 23 '06	PA/2006/018236	Pending	
NZ	Mar 23 '06	658130	Pending	
ZA	Mar 23 '06	2006/07990	Pending	

US	App No	Pub No	Grant Date	Pub No
US	Jul 25 '06	11/493,082	(Nov 20 '06)	(2006/02031A1)
PGT	Jul 24 '07	PCT/CAN/001317	(Jan 31 '08)	(WO/2008/011710)

US Prov	App No	Pub No	Grant Date	Pub No
US Prov	July 25 '06	09/031,031	Converted	
US	July 23 '07	11/493,082	(Apr 17 '08)	(2007/008890)
PGT	July 24 '07	PCT/CAN/001318	(Jan 31 '08)	(WO/2008/011711)

US	App No	Pub No	Grant Date	Pub No
US	Jul 13 '04	10/491,348	Grant Mar 06 '07	1,184,000

US Prov	App No	Pub No	Grant Date	Pub No
US Prov	Aug 01 '06	09/706,078	Converted	
US	Jul 31 '06	11/493,082	(Feb 08 '07)	(2007/001428A1)
PGT	Aug 01 '06	PCT/CAN/001250	(Feb 08 '07)	(WO/2007/014499)
AU	Aug 01 '06		Pending	
CA	Aug 01 '06	2,617,458	Pending	
CN	Aug 01 '06	20068075732.X	Pending	
EP	Aug 01 '06	06730236.4	(June 11 '08)	(1,929,084)
JP	Aug 01 '06		Pending	
NZ	Aug 01 '06	568376	Pending	

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Country	Patent No.	App. No.	Status	App. No.
US Prov	Aug 02 '05	60704221	Converted	
US	Jul 31 '05	11486457	(Feb 08 '07)	(2007081425A1)
PCT	Aug 01 '06	PCT/CA06/001248	(Feb 08 '07)	(WO2007014457)
AU	Aug 01 '06		Pending	
CA	Aug 01 '06	2,600,959	Pending	
CN	Aug 01 '06	200600046722.6	Pending	
EP	Aug 01 '06	0679034.5	(Apr 30 '08)	(1915435)
JP	Aug 01 '06		Pending	
NZ	Aug 01 '06	565526	Pending	

Country	Patent No.	App. No.	Status	App. No.
US Prov	Aug 02 '05	60704221	Converted	
US	Jul 31 '05	11486457	(Feb 08 '07)	(2007081425A1)
PCT	Aug 01 '06	PCT/CA06/001248	(Feb 08 '07)	(WO2007014458)
AU	Aug 01 '06	2,602,726.1	Pending	
CA	Aug 01 '06	2,617,862	Pending	
CN	Aug 01 '06	200600046742.3	Pending	
EP	Aug 01 '06	0677903.1	(Apr 14 '08)	(1915935)
JP	Aug 01 '06		Pending	
NZ	Aug 01 '06	565527	Pending	

Country	Patent No.	App. No.	Status	App. No.
US Prov	Aug 02 '05	60704221	Converted	
US	Jul 31 '05	11486457	ALLOWED	08070831 (CHIA1)
US DIV	Aug '06		To be filed	
PCT	Aug 01 '06	PCT/CA06/001248	(Feb 08 '07)	(WO2007014459)
AU	Aug 01 '06		Pending	
CA	Aug 01 '06	2,616,654	Pending	
CN	Aug 01 '06	200600046742.0	Pending	
EP	Aug 01 '06	0679035.6	(Apr 30 '08)	(1915436)
JP	Aug 01 '06		Pending	
NZ	Aug 01 '06	565526	Pending	

Country	Patent No.	App. No.	Status	App. No.
US	Mar 24 '04	10310744	Granted	May 01 '08 (200707538A1)
US CON	Apr 15 '08	12732457	Pending	
PCT	Mar 21 '05	PCT/CA05/000443	Refused	(WO2005092376)
AU	Mar 21 '05	2004227794	Pending	
CA	Mar 21 '05	2,540,801	Pending	
CN	Mar 23 '05	200510004641.3	(May 08 '07)	(CN1989754A)
CN DIV	Dec 29 '07	20071000859.5	Pending	
EP	Mar 23 '05	05714972.2	(Dec 28 '06)	(1,732,600)
HK	Nov 08 '07		Pending	
JP	Mar 23 '05	2007-004226	(Nov 1 '07)	(2007-490457)
NZ	Mar 23 '05	530048	Pending	

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Country	Patent No.	App. No.	App. Date	Pub. No.
US	10/13 '04	10083,507	Granted May 22 '07	1,183,423
US CP	Mar '07 '08	11/776,555	(Sep 28 '08)	(200442) (23/031)
PCT	Mar '07 '07	PCT/CA07/000344	(Sep 13 '07)	(W02007/000344)

Country	Patent No.	App. No.	App. Date	Pub. No.
US	24 Sep '04	10048,145	(May 24 '05)	(2003/009371)
PCT	Mar '05 '05	PCT/CA05/000450	Not published	(P02005/000450)
AD	Mar '04 '05	200507764	Pending	
CA	Mar '04 '05	2,379,085	Pending	
CN	Mar '04 '05	20058005708.5	(Oct 16 '08)	(CN101107267A)
EP	Mar '04 '05	05729712.9	(Jan 23 '07)	(1,294,712)
HK	Mar '04 '05	08105899.8	Pending	
JP	Mar '04 '05	2004-152753	Pending	
RU	Mar '04 '05	52397/1	Pending	

Country	Patent No.	App. No.	App. Date	Pub. No.
US Prov	Feb '04 '05	60/776,543	Converted	
US	Feb '04 '07	11/709,487	ALLOWED	(2007/020942)
US DIV	May '08		To be filed	
PCT	Feb '07 '07	PCT/CA07/000378	(Aug 30 '07)	(W02007/000378)

Country	Patent No.	App. No.	App. Date	Pub. No.
US Prov	Feb '04 '05	60/776,548	Converted	
US	Feb '07 '07	11/709,444	(Aug 30 '07)	(2007/020941)
PCT	Feb '07 '07	PCT/CA07/000379	(Aug 30 '07)	(W02007/000379)

Country	Patent No.	App. No.	App. Date	Pub. No.
US Prov	Feb '04 '05	60/776,545	Converted	
US	Feb '07 '07	11/709,406	ALLOWED	(2007/020943)
US CP	Mar '07 '07	11/807,637	(June 15 '08)	(200442) (23/031)
US CP2	Oct '07 '07	11/878,898	Pending	
US CP3	Aug '11 '08	12/812,647	Pending	
PCT	Feb '07 '07	PCT/CA07/000381	(Aug 30 '07)	(W02007/000381)
PCT2	Mar '08 '08	PCT/CA	Pending	

Country	Patent No.	App. No.	App. Date	Pub. No.
US Prov	Feb '04 '05	60/776,486	Converted	
US	Feb '07 '07	11/709,398	ALLOWED	(2007/020943)
PCT	Feb '07 '07	PCT/CA07/000382	(Aug 30 '07)	(W02007/000382)

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Country	App No	App No	App No	App No
US Prov	Aug 02 '05	60704.688	Completed	
US	Jul 31 '06	11486.878	(Feb 08 '07)	(20070031420A1)
PCT	Aug 01 '06	PCT/CA06001267	(Feb 08 '07)	(WO2007014430)
AU	Aug 01 '06	2006273257	Pending	
CA	Aug 01 '06	2,617,447	Pending	
CN	Aug 01 '06		Pending	
EP	Aug 01 '06	06790533.1	(May 11 '08)	(1920065)
JP	Aug 01 '06		Pending	
NZ	Aug 01 '06	563530	Pending	

Country	App No	App No	App No	App No
US Prov	Aug 02 '05	60705.343	Completed	
US	Jul 31 '06	11486.878	(Feb 08 '07)	(20070031420A1)
PCT	Aug 01 '06	PCT/CA06001246	(Feb 08 '07)	(WO2007014430)
AU	Aug 01 '06		Pending	
CA	Aug 01 '06	2,617,446	Pending	
CN	Aug 01 '06	20069003652.4	Pending	
EP	Aug 01 '06	06790532.3	(May 14 '08)	(1920066)
JP	Aug 01 '06		Pending	
NZ	Aug 01 '06	563531	Pending	

Country	App No	App No	App No	App No
US	Mar 24 '04	60818.149	(Nov 16 '05)	(2006013002A1)
US CIP	Mar 14 '05	11479.002	ALLOWED	(2006013002A1)
US CIP DIV			To be filed	
PCT	Mar 29 '03	PCT/CA03000456	Not examined	(WO2003030407)
AU	Mar 29 '03	2003223472	Pending	
CA	Mar 29 '03	2,581,192	Pending	
CN	Mar 29 '03	200300016931.6	(Aug 21 '05)	(CN10105164A)
EP	Mar 29 '03	05729971.1	(Dec 27 '05)	(1,735,435)
JP	Nov 08 '07	07115781.9	Pending	
IT	Mar 29 '03	2007-560270	(Nov 1 '07)	(2007-530460)
NZ	Mar 29 '03	550044	Pending	
TW	Feb 07 '06	95104004	Pending	

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Country	Priority Date	Pub No	Pub Date	Pub No
US Div Contd	Sep 30 '05	1/241,721	Complete	Feb 24 '07

Country	Priority Date	Pub No	Pub Date	Pub No
US	Nov 29 '06	68/722,361	Complete	Dec 22 '07

Country	Priority Date	Pub No	Pub Date	Pub No
US	Jan 21 '08	10/041,224	Complete	Aug 14 '07
PCT	Jan 19 '04	PCT/CA00/000039	Abandoned	(WO2005/003423)

Country	Priority Date	Pub No	Pub Date	Pub No
US	May 13 '04	10/041,224	Abandoned	(WO2005/003423)
PCT	May 13 '03	PCT/CA00/000039	Abandoned	(WO2005/003423)

Country	Priority Date	Pub No	Pub Date	Pub No
US Prov	Oct 03 '07	62/977,215	Pending	

Country	Priority Date	Pub No	Pub Date	Pub No
US Prov	Apr 29 '08	61/125,573	Pending	

Country	Priority Date	Pub No	Pub Date	Pub No
US Prov	Apr 29 '08	61/125,573	Pending	

Country	Priority Date	Pub No	Pub Date	Pub No
US Prov	Apr 29 '08	61/125,573	Pending	

Country	Priority Date	Pub No	Pub Date	Pub No
US Prov	Nov 13 '06	66/041,775	Complete	
US	Nov 13 '07	11/033,432	Pending	
PCT	Nov 13 '07	PCT/CA00/000039	(May 22 '08)	(WO2008/031389)

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Country	Publ Date	Publ No	Status	Publ No
US Prov	Nov 13 '06	69/865,473	Converted	
US	Nov 13 '07	7,491,841	(June 05 '08)	(2008011345)
PCT	Nov 13 '07	PCT/CA107002829	(May 22 '08)	(W02008/02181)

Country	Publ Date	Publ No	Status	Publ No
US Prov	Nov 13 '06	69/865,478	Converted	
US	Nov 13 '07	7,511,846	(June 23 '08)	(20080138276)
PCT	Nov 13 '07	PCT/CA107002827	(May 22 '08)	(W02008/02187)

Country	Publ Date	Publ No	Status	Publ No
US Prov	Mar 25 '07	69/916,333	Converted	
US	Mar 25 '08	7,205,014	Pending	
PCT	Mar 25 '08		Pending	

Country	Publ Date	Publ No	Status	Publ No
US Prov	May 07 '07	69/916,333	Pending	
US	May 02 '08	7,211,409	Pending	
PCT	May 02 '08		Pending	

Country	Publ Date	Publ No	Status	Publ No
US Prov	Aug 27 '07	69/958,176	Pending	

Country	Publ Date	Publ No	Status	Publ No
US Prov	Sept 18 '07	69/971,004	Pending	

Country	Publ Date	Publ No	Status	Publ No
US Prov	Jul 16 '07	69/949,943	Pending	
US	July 14 '08		Pending	
PCT	July 14 '08		Pending	
Thrown	July 16 '08		Pending	

Country	Publ Date	Publ No	Status	Publ No
US Prov	Jul 16 '07	69/949,942	Pending	
US	July 14 '08		Pending	

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PCT	July 14 '08		Pending	
Taiwan	July 16 '08		Pending	

US Prov	Jul 16 '07	61/024,947	Pending	
US	July 14 '08		Pending	
PCT	July 14 '08		Pending	
Taiwan	July 16 '08		Pending	

US Prov	Jan 28 '08	61/024,074	Pending	
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US Prov	Jan 28 '08	61/024,026	Pending	
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US Prov	Jan 28 '08	61/024,024	Pending	
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US Prov	Apr 10 '08	61/043,547	Pending	
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US Prov	Apr 17 '08	61/043,548	Abandoned	
US Prov2	May 19 '08	61/054,344	Pending	

US Prov	Apr 17 '08	61/043,544	Abandoned	
US Prov2	May 19 '08	61/054,372	Pending	

US Prov	Jul 17 '08		Pending	
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Country	Filing Date	Serial No.	Status	Priority No.
US Prov	Jan 23 '07	60/986,151	Continued	
US	Jan 23 '08	12/017,827	Pending	
PCT	Jan 23 '08	PCT/CA08/000151	Pending	

Country	Filing Date	Serial No.	Status	Priority No.
US Prov	Jan 23 '07	60/986,146	Abandoned	

Country	Filing Date	Serial No.	Status	Priority No.
US Prov	Jan 23 '07	60/986,148	Continued	
US	Jan 23 '08	12/017,828	Pending	
PCT	Jan 23 '08	PCT/CA08/000150	Pending	

Country	Filing Date	Serial No.	Status	Priority No.
US Prov	Jan 23 '07	60/986,144	Continued	
US	Jan 23 '08	12/017,826	Pending	
PCT	Jan 23 '08	PCT/CA08/000149	Pending	

(n) registered copyrights: NONE.

(m) registered trademarks or trademark applications:

Trade-mark	Goods	Jurisdiction	Registration No.	Renewal Date
ARVITAMAB	Antibodies used in the treatment of cancer	Europe	002807127	December 18, 2011
ARVITAMAB	Antibodies used in the treatment of cancer	Canada	7MA 516,184	July 18, 2019
ARVITAMAB	Antibodies used in the treatment of cancer	United States	3,081,982	January 31, 2018

(p) domain names:

arvitamab.com
arvitamabsearch.com
arvitamab.com

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Filing Date Appl'n No. Patent No.

10/8/1999	09/415,278	6,180,357
11/29/2000	09/727,370	
8/22/2002	10/228,718	
7/6/2007	11/774,293	
11/29/2000	09/727,369	
8/22/2002	10/228,756	
8/30/2005	11/240,787	
2/26/2004	60/548,531	
2/25/2005	11/067,390	7,348,413
3/20/2008	12/052,495	
8/17/2007	80/985,165	
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