

12/453480 05/12/09

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OMB No. 0651-0027 (exp. 03/31/2009)

05-19-2009

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

:ET

To the Director of the U.S. Patent

103560980

documents or the new address(es) below.

**1. Name of conveying party(ies)**

JAMES B. WOOTTON

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No**3. Nature of conveyance/Execution Date(s):**Execution Date(s) MAY 7, 2009

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**Name: AMERICAN CHARTER LLC

Internal Address: \_\_\_\_\_

Street Address: 8405 PULSAR PLACE, SUITE 157City: COLUMBUSState: OHCountry: UNITED STATES Zip: 43240Additional name(s) & address(es) attached? ☐ Yes ☒ No**4. Application or patent number(s):**☒ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No**5. Name and address to whom correspondence concerning document should be mailed:**Name: LITMAN LAW OFFICES, LTD.Internal Address: DOCKET NO. 31387.00Street Address: P.O. BOX 15035, CRYSTAL CITY STATIONCity: ARLINGTONState: VA Zip: 22215Phone Number: (703) 486-1000Fax Number: (703) 486-7000

Email Address: \_\_\_\_\_

**6. Total number of applications and patents involved: ONE****7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

- ☐ Authorized to be charged to deposit account  
☒ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number \_\_\_\_\_

Authorized User Name 05/12/2009 MTONA1 00000035 12453480

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40.00 0

**9. Signature:**Robert B. Lyons  
Signature

MAY 12, 2009

Date

ROBERT B. LYONS, REG. NO. 40,708

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450USPTO  
12453480  
051209PATENT  
REEL: 022706 FRAME: 0783

## ASSIGNMENT

This Assignment is made by JAMES B. WOOTTON an individual of Westerville, Ohio ("Assignor") to AMERICAN CHARTER LLC, a limited liability company organized and existing under the laws of the State of Ohio and having an address of 8405 Pulsar Place, Suite 157, Columbus, Ohio 43240 ("Assignee").

This Assignment is made with reference to the following facts:

A. Assignor is the sole inventor and sole owner of U.S. Patent Application Serial No. \_\_\_\_\_ (being filed herewith under Attorney Docket No. 31387.00) for an invention titled AUTOMATED BID ASK SPREAD NEGOTIATIONS METHOD ("Patent Application").

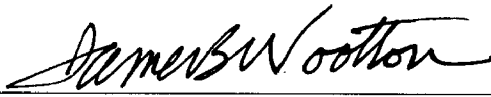
B. Assignor desires to transfer and convey to Assignee, and Assignee desires to receive and acquire from Assignor, the entirety of Assignor's right, title, and interest in and to the Patent Application and to any and all U.S. patent applications related thereto or U.S. patents issuing therefrom.

NOW THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged by Assignor, Assignor does hereby and herewith assign, transfer, sell, and convey to Assignee all of Assignor's right, title, and interest in and to the Patent Application and to any and all U.S. patent applications related thereto and any patents issuing from the Patent Application or any such related patent applications, and to any and all continuations, continuations-in-part and divisions of the Patent Application and any related U.S. patent application, and to the invention shown and described in the Patent Application, together with any and all reissues of any or all patents issuing from any of the foregoing patent applications, all to have and to hold for the sole and exclusive use and benefit of Assignee, its successors and assigns, to the full end of the term or terms of any and all such issuing patents, to be held and enjoyed by Assignee (and by Assignee's successors and assigns) to the end of the term or terms for which all or any of the said issuing patents are granted or reissued, and any extensions thereof, as fully and entirely as same would have been held and enjoyed by Assignor if this assignment had not been made, together with all claims for damages and profits by reason of past infringement of all or any of said issuing patents, with the right to sue for and collect same for Assignee's own use (and for the use of Assignee's successors and assigns).

Assignor covenants and agrees that he will at any time on request execute and deliver to Assignee any and all documents and papers as may be necessary or desirable to perfect in Assignee the interests herein conveyed, and further covenants and agrees that he will communicate and cooperate with Assignee to the extent necessary for Assignee to have and enjoy to the fullest extent the interests herein conveyed.

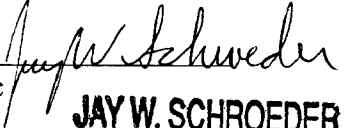
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IN WITNESS WHEREOF, Assignor has hereunto set his hand and seal on the date below noted.

  
\_\_\_\_\_  
JAMES B. WOOTTON (SEAL)  
Assignor

STATE OF OHIO )  
CITY/COUNTY OF Delaware )

I the undersigned Notary Public in and for the jurisdiction aforesaid hereby state that before me personally appeared the person known to me or proved to me to be the above signed James B. Wootton and affixed his above signature in my presence, acknowledging same to be his very act and deed, all on this 7<sup>th</sup> day of May, 2009.

My commission expires: 2/3/14  
Notary Public   
**JAY W. SCHROEDER**  
NOTARY PUBLIC, STATE OF OHIO  
MY COMMISSION EXPIRES 2/3/14

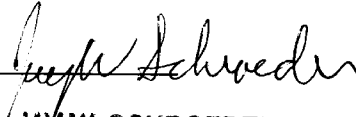
AMERICAN CHARTER LLC, Assignee, by its undersigned duly authorized agent or representative, on this \_\_\_\_\_ day of May, 2009, hereby and herewith accepts this assignment, with all rights and obligations pertaining thereto, to have and to hold for all lawful purposes to the fullest extent permitted by law.

AMERICAN CHARTER LLC  
Assignee

By:   
\_\_\_\_\_  
James B. Wootton (SEAL)  
Authorized Member

STATE OF OHIO )  
CITY/COUNTY OF Delaware )

I the undersigned Notary Public in and for the jurisdiction aforesaid hereby state that before me personally appeared the person known to me or proved to me to be the above signed James B. Wootton and affixed his above signature in my presence, acknowledging same to be the very act and deed of AMERICAN CHARTER LLC, all on this 7<sup>th</sup> day of May, 2009.

My commission expires: 2/3/14  
Notary Public   
**JAY W. SCHROEDER**  
NOTARY PUBLIC, STATE OF OHIO  
MY COMMISSION EXPIRES 2/3/14  
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