

05-22-2009

Form PTO 1595
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)

HEET

U.S. DEPARTMENT OF COMMERCE
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Attorney Docket 5367-374PUS

To the Honorable Comr

103561233

Attached original documents or copy thereof.

1. Name of conveying party(ies):
Herbert BRUNNER
Kirstin PETERSEN

2. Name and address of receiving party(ies):

Name: OSRAM Opto Semiconductors GmbH

Internal Address:

Street Address: Leibnizstrasse 4City: RegensburgState/Country: GermanyZip: 93055Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Execution Date:

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)
11/992,976B. Patent No.(s)
n/aAdditional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas LangerInternal Address: Cohen Pontani Lieberman & Pavane LLPStreet Address: 551 Fifth Avenue, 12th FloorCity: New York State: New York Zip: 10176

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$40.00

- ☒ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number: 03-2412
(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Alphonso A. Collins
Name of Person Signing
Reg. No. 43,559

Signature

May 14, 2009
Date

Total number of pages including cover sheet, attachments, and document:

4

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation
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05/20/2009 LLANDGRA 00000014 11/992976

03 FC:8021

40.00 DP

ASSIGNMENT

In consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, We the undersigned, **Herbert BRUNNER, Kirstin PETERSEN** (hereinafter referred to as "ASSIGNOR"):

Hereby sell, assign and transfer to **OSRAM Opto Semiconductors GmbH** (hereinafter referred to as "ASSIGNEE"), a corporation of Germany, having a place of business at **Leibnizstrasse 4, 93055 Regensburg, Germany**, respectively, its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to the invention which is disclosed in the application for United States Letters Patent Serial No. **11/992,976**, and which is entitled

OPTOELECTRONIC COMPONENT WHICH EMITS ELECTROMAGNETIC RADIATION, AND METHOD FOR PRODUCTION OF AN OPTOELECTRONIC COMPONENT

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on said invention; and in and to all original, reissued and other patents and certificates of invention which have been or shall be issued in the United States and all foreign countries on said invention;

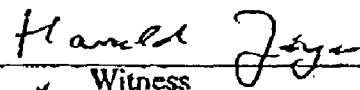
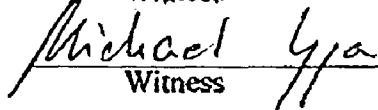
Agree that said Assignee may apply for and receive Letters Patent for said invention in its own name, and that, when requested, without further consideration from or charge to, but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on said invention; execute

all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said invention and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said invention and for vesting title to said invention and all applications for patents and all patents on said invention, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: 03 / 23 / 09
month/day/year


Herbert BRUNNER


Witness

Witness

Date: _____
month/day/year

Kirstin PETERSEN

Witness

Witness

Note: Signature of a witness is preferable but not required.

all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said invention and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said invention and for vesting title to said invention and all applications for patents and all patents on said invention, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: _____
month/day/year

Herbert BRUNNER

Witness

Witness

Date: March 24 / 2009
month/day/year

Kirstin Petersen
Kirstin PETERSEN

Frederick Wiersma
Witness

Edmund A. A.
Witness

Note: Signature of a witness is preferable but not required.