

05-22-2009

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

Form PTO-505 (Rev. 03-09)  
OMB No. 0651-0027 (exp. 03/31/2009)



103561256

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Anthony T. Klejeski  
Ronald J. Mellum  
Lowell K. Siewert

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) April 30, 2009

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Name: Cummins Power Generation IP, Inc.

Internal Address: \_\_\_\_\_

Street Address: 1400 73rd Avenue NE

City: Minneapolis

State: MN

Country: USA Zip: 55432

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

12/378,484

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Krieg DeVault LLP

Internal Address: L. Scott Paynter

Street Address: One Indiana Square

Suite 2800

City: Indianapolis

State: IN Zip: 46204

Phone Number: 317-636-4341

Fax Number: 317-636-1507

Email Address: ipdocketing@kdlegal.com

**6. Total number of applications and patents involved:** One

**7. Total fee (37 CFR 1.21(h) & 3.41) \$** 40

- ☐ Authorized to be charged to deposit account  
☒ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

**9. Signature:**

11 May 2009  
Date

L. Scott Paynter - Attorney of Record  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

6

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

05/19/2009 MBELETE1 00000000 12378464  
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40.00 DP

PATENT  
REEL: 022733 FRAME: 0498

## ASSIGNMENT

WHEREAS, Anthony T. Klejeski of 841 228<sup>th</sup> Lane NW, Bethel, Minnesota 55005; Ronald J. Mellum of 4355 Farmington Avenue SE, Delano, Minnesota 55328; and Lowell K. Siewert of 5043 Ladyslipper Avenue N, Brooklyn Park, Minnesota 55443, hereinafter referred to as Assignors, have made one or more new and useful inventions in SYSTEM AND METHOD FOR AUXILIARY POWER UNIT EMISSIONS MANAGEMENT for which Assignors have made or will make application for Letters Patent of the United States (hereinafter the "Application"); and

WHEREAS, Cummins Power Generation IP, Inc., hereinafter referred to as Assignee, a corporation of the State of Delaware, having a principal place of business at 1400 73<sup>rd</sup> Avenue NE, Minneapolis, Minnesota 55432, desires to acquire all of the entire right, title and interest in, to and under the one or more inventions disclosed, described and claimed or intended so to be in the Application, and in, to and under the Application, and in, to and under any and all Letters Patent, United States and foreign, which may be obtained therefore and thereon;

NOW, THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar (\$1.00) and other good, valuable and sufficient considerations to Assignors in hand paid, the receipt of which is hereby acknowledged, Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto Assignee, all of the entire right, title, and interest in, to and under the one or more inventions disclosed, described and claimed or intended so to be in the Application, and any and all other applications thereon and arising therefrom, including any and all applications claiming the benefit thereof, and any and all divisions, continuations, and

continuations-in-part thereof, and any and all patents to be issued and obtained therefor and thereon, United States and foreign, including all reissues and extensions thereof.

Assignors acknowledge that the Application was executed concurrently herewith unless a different execution date is indicated in item (a) below. Assignors hereby authorize Assignee, its counsel, or its agents to fill-in some or all of the following list of information items (a) through (c) at the discretion of the Assignee, its counsel, or its agents:

- (a) Execution Date of the Application: April 30, 2009
- (b) Patent Office Number of the Application: 12/378,484
- (c) Filing Date of the Application: February 13, 2009

Assignors hereby authorize and request the Honorable Assistant Secretary and Commissioner for Patents and Trademarks to issue said Letters Patent to Assignee, its assigns and legal representatives.

Assignors agree to assign and execute any and all other papers necessary or desirable for the procurement of Letters Patent on said invention in this and all foreign countries for the use of Assignee.

\* \* \* \* \*

WITNESS Assignor's hand this 30 day of April, 2009.

*Anthony T. Klejeski*  
Anthony T. Klejeski

STATE OF MINNESOTA )  
 ) : SS  
COUNTY OF ANOCA )

Before me, a Notary Public, in and for said County and State, personally appeared Anthony T. Klejeski, who, being first duly sworn upon his oath, acknowledged the execution of the foregoing "Assignment" as his voluntary act and deed.

WITNESS my hand and Notarial Seal this 30 day of April, 2009.

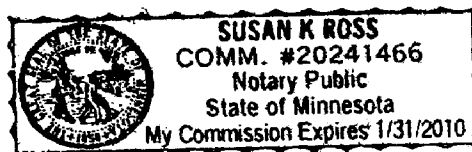
*Susan K. Ross*  
Notary Public

Printed: Susan K. Ross

Resident of ANOCA County

My Commission Expires:

1-31-2010



WITNESS Assignor's hand this 30 day of April, 2009.

Ronald J. Mellum  
Ronald J. Mellum

STATE OF MINNESOTA )  
 ) : SS  
COUNTY OF ANOKA )

Before me, a Notary Public, in and for said County and State, personally appeared Ronald J. Mellum, who, being first duly sworn upon his oath, acknowledged the execution of the foregoing "Assignment" as his voluntary act and deed.

WITNESS my hand and Notarial Seal this 30 day of April, 2009.

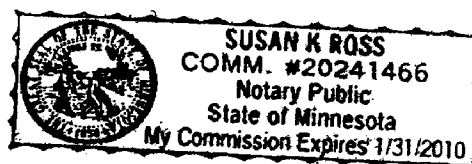
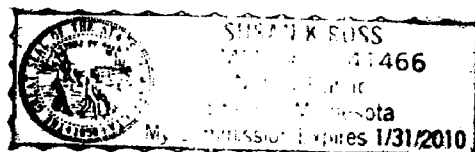
Susan K. Ross  
Notary Public

Printed: SUSAN K. ROSS

Resident of ANOKA County

My Commission Expires:

1-31-2010



WITNESS Assignor's hand this 30 day of April, 2009.

Lowell K. Siewert  
Lowell K. Siewert

STATE OF MINNESOTA )  
 ) : SS  
COUNTY OF ANOKA )

Before me, a Notary Public, in and for said County and State, personally appeared Lowell K. Siewert, who, being first duly sworn upon his oath, acknowledged the execution of the foregoing "Assignment" as his voluntary act and deed.

WITNESS my hand and Notarial Seal this 30 day of April, 2009.

Susan K. Ross  
Notary Public

Printed: SUSAN K. ROSS

Resident of ANOKA County

My Commission Expires:

1-31-2010

