

Form PTO-1595 (Rev. 03-09)  
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## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies)

John Frederick Parker

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

### 3. Nature of conveyance/Execution Date(s):

Execution Date(s) November 30, 2006

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

### 2. Name and address of receiving party(ies)

Name: Cummins Turbo Technologies Limited

Internal Address: \_\_\_\_\_

Street Address: St. Andrews Road

City: Huddersfield

State: \_\_\_\_\_

Country: United Kingdom Zip HD1 6RA

Additional name(s) & address(es) attached? ☐ Yes ☒ No

### 4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

12/148,287

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: John H. Allie, Esq.

Internal Address: Krieg DeVault LLP

Street Address: One Indiana Square, Suite 2800

City: Indianapolis

State: Indiana Zip 46204

Phone Number: (317) 238-6268

Fax Number: (317) 636-1507

Email Address: jpdocketing@kdlegal.com

### 6. Total number of applications and patents involved: 1

### 7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00

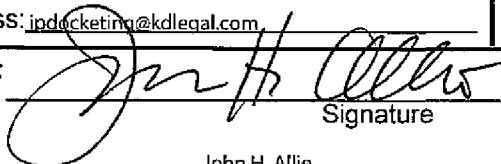
- ☐ Authorized to be charged to deposit account  
☒ Enclosed  
☐ None required (government interest not affecting title)

### 8. Payment Information

Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

### 9. Signature:

  
 Signature

John H. Allie

Name of Person Signing

May 29, 2009  
 Date

Total number of pages including cover sheet, attachments, and documents: 4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
 Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

OP \$40.00 12148287

ASSIGNMENTTHIS ASSIGNMENT is made BETWEEN

**John Parker** of c/o Cummins Turbo Technologies Limited, St Andrews Road, Huddersfield, HD1 6RA, United Kingdom (hereinafter called "the Assignor");

AND

**Cummins Turbo Technologies Limited** of St Andrews Road, Huddersfield, HD1 6RA, United Kingdom (hereinafter called "the Assignee").

WHEREAS

(A) The Assignor is the inventor of an invention relating to **VARIABLE GEOMETRY TURBINE** (hereinafter referred to as "the Invention") which is the subject of an International Patent Application No. **PCT/GB2006/003886** filed on **20 October 2006** and designating amongst other countries the United States of America (hereinafter referred to as "the Application")

(B) The Assignor is the applicant for the Application in so far as it relates to the United States of America

(C) The parties hereto have agreed that all the Assignor's rights in the Invention and the Application and rights ancillary thereto should be transferred by the Assignor to the Assignee for the consideration hereinafter set forth.

NOW THIS ASSIGNMENT WITNESSETH AS FOLLOWS:

1. In consideration of the sum of £1 paid by the Assignee to the Assignor (the receipt of whereof is hereby acknowledged) the Assignor hereby assigns to the Assignee with full title guarantee all the Assignor's right, title and interest in the Invention and the Application insofar as it relates to the United States of America, and all the rights, powers, liberties and immunities conferred on the proprietor thereof by the grant of Patents thereon free from all incumbrances, including the right to sue for damages or other remedies in respect of any infringement of such rights prior to the date hereof TO HOLD unto the Assignee absolutely.

2. The Assignor agrees to execute all papers and documents necessary in connection with the Application or any interference which may be declared and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient and further to perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

3. The Assignor agrees to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

4. The Assignor hereby authorizes and requests the Commissioner of Patents to issue any and all Letters Patent of the United States resulting from the Application or any division or divisions or continuing applications thereof to the Assignee, as Assignee of the entire interest, and hereby covenants that he has full right to convey the entire interest herein assigned and that he has not executed and will not execute any agreement in conflict herewith.

5. The Assignor hereby grants the firm of MARKS & CLERK the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document

IN WITNESS WHEREOF THE PARTIES HERETO HAVE EXECUTED THIS ASSIGNMENT

EXECUTED ON 30<sup>th</sup> NOVEMBER 2006 [date]  
BY THE ASSIGNOR J Parker

JOHN PARKER

IN THE PRESENCE OF

EXECUTED ON 30<sup>th</sup> Nov. 2006 [date]  
FOR AND ON BEHALF OF THE ASSIGNEE BY Steph W Caddy  
Authorized Officer of Cummins Turbo Technologies Limited  
STEPHEN W CADDY - TECHNICAL DIRECTOR

IN THE PRESENCE OF

WITNESS

Name: DAVID WILLIAM HENRY TENNANT

WITNESS

Name: DAVID WILLIAM HENRY TENNANT