

Form PTO-1595 (Rev. 09/04)  
OMB No. 0651-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)/Execution Date(s):**

MARC GRAHAM (06/07/2009) AND BUKET GRAU (04/09/2009)

Execution Date(s): In parentheses after inventor name

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**2. Name and address of receiving party(ies)**

Name: STRYKER DEVELOPMENT LLC

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

ONE KENDALL SQUARE  
BLDG 600, 2ND FLOOR

City: CAMBRIDGE

State: MASSACHUSETTS

Country: United States of America Zip: 02139

Additional name(s) & address(es) attached: ☐ Yes ☒ No

**3. Nature of Conveyance:**

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**4. Application or patent number(s):**

A. Patent Application No (s)

12/420,219

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: William A. Di Bianca  
LERNER, DAVID, LITTENBERG,  
KRUMHOLZ & MENTLIK, LLP

Internal Address, Atty. Dkt.: SYKDEV 3 0-004 1

Street Address: 600 South Avenue West

City: Westfield

State: NJ Zip: 07090

Phone Number: (908) 654-5000

Fax Number: (908) 654-0415

Email Address: wdibianca@ldlkm.com

**6. Total number of applications and patents involved:**

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

- a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_  
b. Deposit Account Number 12-1095  
Authorized User Name William A. Di Bianca

**9. Signature:**



Signature

June 11, 2009

Date

William A. Di Bianca - 58,653

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

3

former PTO/SB/15 (8-00) Modified LDLK&amp;M

**ASSIGNMENT OF UTILITY APPLICATION**

Docket Number (Optional)

SYKDEV 3.0-004 I

Whereas, I, Buket Grau of  
42 Ashland Street, Arlington, Massachusetts 02476

hereafter referred to as assignor, have with others invented certain new and useful improvements in

PYLORIC VALVE

☒ for which an application for a United States Letters Patent was filed on April 8, 2009

Application Number 12/420,219

☐ for which an application for a United States Letters Patent was executed by me on \_\_\_\_\_  
 and \_\_\_\_\_

Whereas, Stryker Development LLC

a corporation of Delaware herein referred to as "assignee" whose mailing address is

One Kendall Square, Bldg 600, 2nd Floor, Cambridge, Massachusetts 02139

is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of the sum of one dollar (\$ 1.00 ), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, continuation-in-part, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.

I, as assignor, hereby assign to said assignee all of my right, title and interest in United States Provisional Application No. 61/123,472, filed April 9, 2008, and in the invention disclosed thereto in all countries of the world including the right to claim priority or benefit of said Application No. 61/123,472 pursuant to any law or treaty in the countries of the world.

04/09/2009

(Date)

Buket Grau

(Signature)

Commonwealth of Massachusetts

County of Middlesex

On this 09 day of APRIL, 2009, before me personally appeared Buket Grau (name of signer[s]), to me known to be the person (or persons) described in and who executed the foregoing instrument, and acknowledged that he (or they) executed it as his (or their) free act and deed.

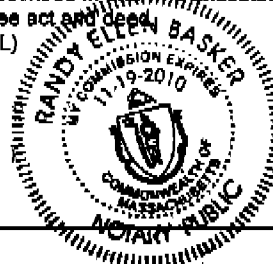
RANDY E BASKER

(Signature of Person Taking Acknowledgement)

RANDY E BASKER

(Typed or printed name of notary)

My commission expires: 11/19/2010



☒ \*Total of 2 forms are submitted.

LD-530/

1003069 1.DOC

Form: PTO/SB/15 (3-2001) Modified LDLKM

<b>ASSIGNMENT OF UTILITY APPLICATION</b>	Docket Number (Optional) <b>SYKDEV 3.0-0041</b>
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Whereas, I, Marc Graham of 467 Somerville Avenue #1, Somerville, Massachusetts 02143 hereafter referred to as assignor, have with others invented certain new and useful improvements in PYLORIC VALVE

☒ for which an application for a United States Letters Patent was filed on April 8, 2009


Application Number 12/420,219

☐ for which an application for a United States Letters Patent was executed by me on \_\_\_\_\_ and \_\_\_\_\_

Whereas, Stryker Development LLC a corporation of Delaware herein referred to as "assignee" whose mailing address is One Kendall Square, Bldg 600, 2nd Floor, Cambridge, Massachusetts 02139 is desirous of acquiring the entire right, title and interest in the same:

NOW, THEREFORE, in consideration of the sum of one dollar (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, continuation-in-part, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.

I, as assignor, hereby assign to said assignee all of my right, title and interest in United States Provisional Application No. 61/123,472, filed April 9, 2008, and in the invention disclosed therein in all countries of the world including the right to claim priority or benefit of said Application No. 61/123,472 pursuant to any law or treaty in the countries of the world.

06-07-2009 (Date)  (Signature)

Commonwealth of Massachusetts  
County of Suffolk

On this 7<sup>th</sup> day of June, 2009, before me personally appeared Marc Graham (name of signor(s)), to me known to be the person (or persons) described in and who executed the foregoing instrument and acknowledged that he (or they) executed it as his (or their) free act and deed.

E. Lynn - Alcantara (SEAL)  
 (Signature of Person Taking Acknowledgement)  
Edward E. Alcantara  
 (Typed or printed name of notary)

EDWARD E. ALCANTARA  
 NOTARY PUBLIC  
 Commonwealth of Massachusetts  
 My Commission Expires  
 April 5, 2013

My commission expires: April 5, 2013

☒ Total of 2 forms are submitted. LD-530f