

65509US002

FORM PTO-1595  
1-31-92RECORDATION FORM COVER SHEET  
**PATENTS ONLY**U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Phong V. Ha, Richard L. Jacobson,  
Donald G. Peterson and Karen St.  
SauverAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: 3M Innovative Properties Company

Internal Address: \_\_\_\_\_

Street Address: P.O. Box 33427City: St. Paul State: MN ZIP: 55133-3427Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other \_\_\_\_\_

Execution Date: June 16, 2009

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

## A. Patent Application No.(s)

29/338,681, filed June 16, 2009

## B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Nancy M. Lambert

Internal Address: \_\_\_\_\_

Office of Intellectual  
Property Counsel  
3M Innovative Properties Company  
P.O. Box 33427

City: St. Paul State: MN ZIP: 55133-3427

6. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41):..... \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

13-3723

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Nancy M. Lambert  
Name of Person Signing

Nancy M. Lambert  
Signature

June 16, 2009  
Date

Total number of pages comprising cover sheet:

3

CH \$40.00 133723 29338681

Docket No.: 65509US002

**ASSIGNMENT OF APPLICATION**

Whereas I/we, the below named inventor(s), with residence and citizenship as indicated below, have made an invention in

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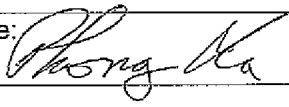


- ☒ for which an application for a United States Patent is being filed herewith;
- ☐ for which an application for a United States Patent identified as U.S. Patent Application No. ; was filed on ;

Now, therefore, for good and valuable consideration, receipt of which is acknowledged, I/we have agreed to assign and transfer and do hereby assign and transfer unto the 3M INNOVATIVE PROPERTIES COMPANY, a wholly-owned subsidiary of 3M COMPANY, and a corporation of Delaware, having its principal office at Saint Paul, Minnesota, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any division or continuation (in whole or in part) of said application, and in and to any and all improvements in the said invention made by me or made jointly with others (provided any such improvement is made during, or within one year after the termination of, my employment with 3M COMPANY and any of its existing or future subsidiaries), and in and to any and all Letters Patent, reexaminations, reissues, or extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent, Utility Models, or Inventors' Certificates in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), which have been or may be granted thereon or on any divisional, continuation (in whole or in part), renewal, reexamination, reissue, or other or further application based in whole or in part upon the said invention or improvements thereon, to be held and enjoyed as fully and exclusively as they would have been by me/us had this assignment and transfer not been made;

I/We do further agree for myself/ourselves and for my/our heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as I/we lawfully may, that may be deemed necessary by said Company, its successors, assigns, and nominees, fully to secure its right, title, and interest as aforesaid and to obtain or maintain Letters Patent, Utility Models, or Inventors' Certificates in any and all countries; and

I/We do hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent which may be granted upon any of the said applications, to said 3M Innovative Properties Company, as the assignee of the entire right, title, and interest therein.

In witness whereof, I/we have signed my/our name(s) on the day and year set forth below.

Given Name (first and middle [if any]): Phong V.		Family Name or Surname: Ha	
Inventor's Signature: 		Date: <u>JUNE 16, 2009</u>	
Residence: Hudson, Wisconsin		Country: U.S.A.	Citizenship: United States of America
STATE OF MINNESOTA ) ) ss. COUNTY OF RAMSEY )			
On this <u>16<sup>th</sup></u> day of June, 2009, before me personally appeared the above-named Phong V. Ha, and who executed the foregoing instrument, as said person's free act and deed, on the day and year aforesaid.			
(Seal)		  Notary Public	

Given Name (first and middle [if any]): Richard L.	Family Name or Surname: Jacobson	
Inventor's Signature: 	Date: June 16, 2009	
Residence: Stillwater, Minnesota	Country: U.S.A.	Citizenship: United States of America
STATE OF MINNESOTA ) ) ss. COUNTY OF RAMSEY )		
On this <u>16<sup>th</sup></u> day of June, 2009, before me personally appeared the above-named Richard L. Jacobson, and who executed the foregoing instrument, as said person's free act and deed, on the day and year aforesaid.		
(Seal) 	 _____ Notary Public	

Given Name (first and middle [if any]): Donald G.		Family Name or Surname: Peterson	
Inventor's Signature: 		Date: 6/16/09	
Residence: Shoreview, Minnesota		Country: U.S.A.	Citizenship: United States of America
STATE OF MINNESOTA ) ) ss. COUNTY OF RAMSEY )			
On this <u>16th</u> day of June, 2009, before me personally appeared the above-named Donald G. Peterson, and who executed the foregoing instrument, as said person's free act and deed, on the day and year aforesaid.			
(Seal)		 _____ Notary Public	

Given Name (first and middle [if any]): Karen M.		Family Name or Surname: St. Sauver	
Inventor's Signature: <i>Karen M. St. Sauver</i>		Date: <i>6/16/09</i>	
Residence: White Bear Lake, Minnesota		Country: U.S.A.	Citizenship: United States of America

STATE OF MINNESOTA )  
                                  ) ss.  
COUNTY OF RAMSEY )

On this *16<sup>th</sup>* day of June, 2009, before me personally appeared the above-named Karen M. St. Sauver, and who executed the foregoing instrument, as said person's free act and deed, on the day and year aforesaid.

(Seal)

*Judy L Hansen*

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Notary Public