PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

| SUBMISSION TYPE: | | NEW ASSIGNMENT | NEW ASSIGNMENT ASSIGNMENT | | | |
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| NATURE OF CONVEY | ANCE: | ASSIGNMENT | | | | |
| CONVEYING PARTY D |)ATA | 1 | | | | |
| | | Name | Execution Date | | | |
| Clifton A. Alferness | | 08/13/2003 | | | | |
| John M. Adams | | 08/13/2003 | | | | |
| Mark L. Mathis | | 08/11/2003 | | | | |
| David G. Reuter | | 08/11/2003 | | | | |
| Cruz Beeson | | 08/11/2003 | | | | |
| Leonard Kowalsky | | | 07/27/2004 | | | |
| | | | 07/27/2004 | | | |
| RECEIVING PARTY D | | | | | | |
| Name: | Cardiac Dimens | | | | | |
| Street Address: | | hington Blvd. NE | | | | |
| | Kirkland | | | | | |
| State/Country: | Country: WASHINGTON | | | | | |
| Postal Code: 98033 | | | | | | |
| | <u></u> | Numl | bor | | | |
| Property Ty | | | | | | |
| | II.4 | | | | | |
| Application Number: | [1 | 1467105 | | | | |
| Application Number: | 1 | 1467105 | | | | |
| CORRESPONDENCE | DATA | | | | | |
| CORRESPONDENCE | DATA (650)212-7 | | | | | |
| CORRESPONDENCE Fax Number: <i>Correspondence will be</i> | DATA (650)212-7 | 7562 ail when the fax attempt is unsuccess | sful. | | | |
| CORRESPONDENCE | DATA (650)212-7 e sent via US M 650.212.1 | 7562 ail when the fax attempt is unsuccess | sful. | | | |
| CORRESPONDENCE Fax Number: <i>Correspondence will be</i> Phone: Email: Correspondent Name: | DATA (650)212-7 e <i>sent via US M</i> 650.212.1 sue@shay Thomas M | 7562 <i>ail when the fax attempt is unsuccess</i> 700 /glenn.com I. Zlogar | sful. | | | |
| CORRESPONDENCE Fax Number: <i>Correspondence will be</i> Phone: Email: Correspondent Name: Address Line 1: | DATA (650)212-7 e sent via US M 650.212.1 sue@shay Thomas M ShayGlen | 7562 <i>ail when the fax attempt is unsuccess</i> 700 rglenn.com I. Zlogar n LLP | sful. | | | |
| CORRESPONDENCE Fax Number: <i>Correspondence will be</i> Phone: Email: Correspondent Name: Address Line 1: Address Line 2: | DATA (650)212-7 e <i>sent via US M</i> 650.212.1 [*] sue@shay Thomas M ShayGleni 2755 Cam | 7562 <i>ail when the fax attempt is unsuccess</i> 700 rglenn.com I. Zlogar n LLP pus Drive, Suite 210 | sful. | | | |
| CORRESPONDENCE Fax Number: <i>Correspondence will be</i> Phone: Email: Correspondent Name: Address Line 1: | DATA (650)212-7 e <i>sent via US M</i> 650.212.1 [*] sue@shay Thomas M ShayGleni 2755 Cam | 7562 <i>ail when the fax attempt is unsuccess</i> 700 rglenn.com I. Zlogar n LLP | sful. | | | |
| CORRESPONDENCE Fax Number: <i>Correspondence will be</i> Phone: Email: Correspondent Name: Address Line 1: Address Line 2: | DATA (650)212-7 e sent via US M 650.212.1 sue@shay Thomas M ShayGleni 2755 Cam San Mateo | 7562 <i>ail when the fax attempt is unsuccess</i> 700 rglenn.com I. Zlogar n LLP pus Drive, Suite 210 | sful. | | | |
| CORRESPONDENCE Fax Number: <i>Correspondence will be</i> Phone: Email: Correspondent Name: Address Line 1: Address Line 2: Address Line 4: | DATA (650)212-7 e sent via US M 650.212.1 sue@shay Thomas M ShayGleni 2755 Cam San Mateo | 7562 <i>ail when the fax attempt is unsuccess</i> 700 rglenn.com I. Zlogar n LLP pus Drive, Suite 210 p, CALIFORNIA 94403 | sful. | | | |

NAME OF SUBMITTER:

Total Attachments: 6

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Attorney Docket No. CDIM121011

ASSIGNMENT

WHEREAS, we, Clifton A. Alferness, residing at 9010 258th Avenue NE, Redmond, Washington 98053; John M. Adams, residing at 20621 SE 34th Street, Sammamish, Washington 98075; Mark L. Mathis, residing at 12010 76th Avenue NE, Kirkland, Washington 98034; David G. Reuter, residing at 3225 208th Place SE, Bothell, Washington 98021; Cruz Beeson, residing at 11002 Forbes Creek Drive, #R103, Kirkland, Washington 98033; and Leonard Kowalsky, residing at 1403 243rd Place SE, Bothell, Washington 98021, are the inventors named in an application for Letters Patent of the United States, entitled DEVICE AND METHOD FOR MODIFYING THE SHAPE OF A BODY ORGAN, Application No. 10/429,171, filed on May 2, 2003;

AND, WHEREAS, Cardiac Dimensions, Inc., a Delaware corporation, having a principal place of business at 5540 Lake Washington Blvd. NE, Kirkland, Washington 98033 (hereinafter referred to as ASSIGNEE), is desirous of acquiring our entire right and title to and interest in our invention disclosed in said application;

NOW, THEREFORE, for sufficient, good and valuable consideration, the receipt of which is hereby acknowledged, we do hereby sell, assign and transfer unto ASSIGNEE our entire right and title to and interest in said application and said invention, including the right to apply for international patents and patents thereon in foreign countries in our name or in the name of ASSIGNEE, said invention and all applications and patents on said invention to be held and enjoyed by ASSIGNEE as entirely as the same would have been held and enjoyed by us had this sale, assignment and transfer not been made, and we do hereby further agree and promise to execute all instruments and render all such assistance as ASSIGNEE may request in order to make and prosecute any and all applications on said invention, to enforce any and all patents on said invention, and to confirm in ASSIGNEE legal title to said invention and all applications and patents on said invention.

Executed at <u>kirkland</u> (city), Washington, this 13^{44} (city), 2003. day of

Then Clifton A. Alferness

STATE OF WASHINGTON

COUNTY OF KING

I certify that I know or have satisfactory evidence that Clifton A. Alferness is the person who appeared before me, and said person acknowledged that he signed this instrument

)) ss.

)

and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated: _ Paline Gaug Signature (Seal or stamp Printed Name: VALERIE CRAIG Notary Public My appointment expires $\frac{4/2}{0}$ ***** Executed at <u>Kirkland</u> (city), Washington, this <u>13⁴⁴</u> <u>August</u>, 2003. day of Klam John M. Adams STATE OF WASHINGTON)) ss.

COUNTY OF KING

I certify that I know or have satisfactory evidence that John M. Adams is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated: /// aline Gaug Signature (Seal or stan Printed Name: VALERIE CRAIG Notary Public My appointment expires $\frac{4/2}{05}$

)

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Executed at <u>Furnon</u> (city), Washington, this <u>11</u> day of <u>Augus</u> <u>4</u>, 2003.

Dach & Monthen

Mark L. Mathis

STATE OF WASHINGTON

COUNTY OF KING

I certify that I know or have satisfactory evidence that Mark L. Mathis is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

)) ss.

)

Dated: _____

(Seal or stamp)

Signature
Printed Name: ______
Notary Public
My appointment expires ______

Executed at _____ (city), Washington, this _____ day of _____

David G. Reuter

STATE OF WASHINGTON)) ss. COUNTY OF KING)

I certify that I know or have satisfactory evidence that David G. Reuter is the person who appeared before me, and said person acknowledged that he signed this instrument and

-2-

| Executed at, 2003. | (city), Washington, this | day o |
|--|--|---------------------------------------|
| ,2003. | | |
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| | | |
| | Mark L. Mathis | |
| | | |
| TATE OF WASHINGTON | | |
| |) ss. | |
| OUNTY OF KING |) 55. | |
| OUNT I OF KING | | |
| astrument. | | |
| | | |
| Seal or stamp) | Signature | |
| | Printed Name: Notary Public | · · · · · · · · · · · · · · · · · · · |
| | My appointment expires | |
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| **** | **** | **** |
| ***** | | |
| | (city), Washington, this! | day |
| Mgust, 2003. | | |
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| | I DI | Contro |
| | David G. Reuter | |
| | David G. Reuter | |
| |) | |
| TATE OF WASHINGTON | | |
| TATE OF WASHINGTON | 98 (| |
| |) SS. | |
| TATE OF WASHINGTON |) ss. | |
| COUNTY OF KING I certify that I know or hav |) ss.) ve satisfactory evidence that David G. Reu d person acknowledged that he signed thi | |

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acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

| Dated | | 11, 2003 | Prii Not | <i>Lalia</i> , nature nted Name: tary Public appointment | | E CRAIG | · · · · · · · · · · · · · · · · · · · |
|-------|----------------------|------------------|--------------------|--|---------------------|--|---------------------------------------|
| ang | Executed at UST, 200 | K.1 Kland 03. | ******* (city), | washington, | •********** this | ************************************** | ******* _ day of |
| | | | | Cruz Bee | son | um | |
| | • | | | , | ę . | | |

STATE OF WASHINGTON

Δ

)) ss.

)

COUNTY OF KING

I certify that I know or have satisfactory evidence that Cruz Beeson is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

-4-

Dated:] (Seal or

pline Gours Signature

Printed Name: <u>VALERIE CRAIG</u> Notary Public My appointment expires 4/2/05

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| Executed | at | Searche | (city), | Washington, | this | 2771 | day | of |
|----------|------|---------|---------|-------------|------|------|-----|----|
| July | , 20 | 04. | | | | | | |

Venand Kouch

MAR Date: 7/27/2004 Calhin Haw Shy Date: 7/27/04 Witness: Witness:

RCT:pt

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RECORDED: 06/22/2009

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