

## PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:

NEW ASSIGNMENT

NATURE OF CONVEYANCE:

ASSIGNMENT

## CONVEYING PARTY DATA

Name	Execution Date
James D. PIPKIN	05/26/2006
Gerold L. MOSHER	05/31/2006
Douglas B. HECKER	06/20/2006

## RECEIVING PARTY DATA

Name:	CyDex, Inc.
Street Address:	10513 W. 84th Terrace
City:	Lenexa
State/Country:	KANSAS
Postal Code:	66214-1643

## PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	12108228

## CORRESPONDENCE DATA

Fax Number: (202)371-2540

*Correspondence will be sent via US Mail when the fax attempt is unsuccessful.*

Phone: 202.371.2600

Email: sschalle@skgf.com

Correspondent Name: Sterne, Kessler, Goldstein &amp; Fox P.L.L.C

Address Line 1: 1100 New York Avenue, N.W.

Address Line 4: Washington, DISTRICT OF COLUMBIA 20005

ATTORNEY DOCKET NUMBER:

2364.0610000/JUK/SAS

NAME OF SUBMITTER:

Scott A. Schaller

Total Attachments: 3

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OP \$40.00 12108228

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PATENT  
REEL: 022901 FRAME: 0554



ASSIGNMENT OF PATENT RIGHTS

WHEREAS, I, an undersigned inventor, residing at the address indicated below am a JOINT inventor of the below-listed invention(s) or improvement(s) entitled as indicated for which I have executed application(s) for Letters Patent of the United States of America and/or PCT International Patent Application(s), having the indicated serial number(s) and filing date(s); and

No.	Title	Filing Date MM/DD/YY	Serial No.
1.	Sulfoalkyl ether cyclodextrin compositions and methods of preparation thereof (CYD-185)	10/26/05	PCT/US05/38933

WHEREAS, CYDEX, Inc. (hereinafter referred to as ASSIGNEE), a corporation organized under the laws of the State of Delaware, U.S.A. having a place of business at 10513 W. 84<sup>th</sup> Terrace, Lenexa, Kansas 66214, is desirous of obtaining the entire right, title and interest in, to and under said invention(s) and said application(s) in the United States of America and in any and all countries foreign thereto;

NOW, THEREFORE, for One Dollar (\$1.00) and other good and valuable consideration to each, the receipt and sufficiency of which is hereby acknowledged, I have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto said Assignee, its successors, legal representatives, and assigns, the entire right, title and interest in, to and under said inventions, and said applications, and all provisional, divisional, renewal, substitutional, and continuing applications thereof, and all Letters Patent of the United States of America which may be granted thereon and all reissues, reexaminations and extensions thereof, and all applications for Letters Patent which may be filed for said inventions in any country or countries foreign to the United States of America, and all Letters Patent, Utility Model, Petty Patent, Design Patent, and Design Registration which may be granted for said inventions in any country or countries foreign to the United States of America, and all extensions, renewals, reexaminations and reissues thereof, and I hereby authorize and request the Commissioner of Patents of the United States of America, and any official of any country or countries foreign to the United States of America, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said inventions to said Assignee, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND I HEREBY covenant that I have full right to convey the entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

AND I HEREBY further covenant and agree that I will communicate to said Assignee, its successors, legal representatives and assigns, any facts known to me respecting said inventions, and testify in any legal proceedings, sign all lawful papers, execute all divisional, renewal, substitutional, continuing, and reissue applications, make all rightful declarations and/or oaths and generally do everything possible to aid said Assignee, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said inventions in all countries.

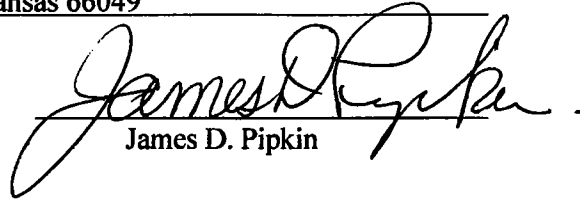
IN TESTIMONY WHEREOF, I hereunto set my hand

Full name of first inventor: James D. Pipkin

Residence: 4500 Woodland Dr., Lawrence, Kansas 66049

Date: May 26, 2006

County: Johnson

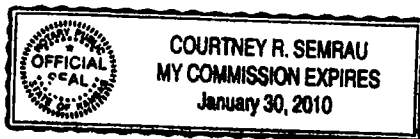
  
James D. Pipkin

I, Courtney R Semrau, a Notary Public in and for the County aforesaid, do hereby certify that the above-named inventor, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that she/he signed and delivered the said instrument as her/his free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this 26<sup>th</sup> day of May, 2006.

  
Notary Public

(SEAL)



Full name of second inventor: Gerold L. Mosher

Residence: 12215 Avila Drive, Kansas City, MO 64145

Date: May 31, 2006

County: Johnson

  
Gerold L. Mosher

I, Courtney R Semrau, a Notary Public in and for the County aforesaid, do hereby certify that the above-named inventor, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that she/he signed and delivered the said instrument as her/his free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this 31<sup>st</sup> day of May, 2006.

  
Notary Public

(SEAL)



Full name of third inventor: Douglas B. Hecker  
Residence: 1833 Welleslay Ct., Liberty, MO 64068

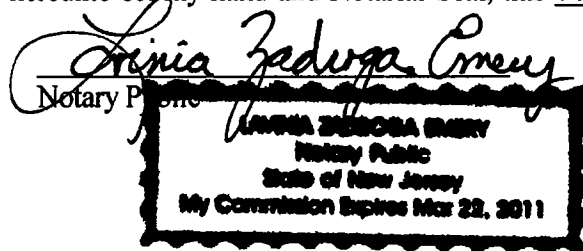
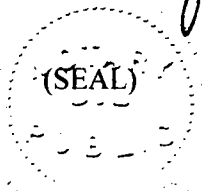
Date: 20 June 2006

Douglas B. Hecker  
Douglas B. Hecker

County: Meeker

I, Linia Zedoga Emery, a Notary Public in and for the County aforesaid, do hereby certify that the above-named inventor, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that she/he signed and delivered the said instrument as her/his free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this 20 day of June, 2006.



IN WITNESS WHEREOF, the below-named has executed this Assignment of Patent Rights as a duly authorized officer and representative of CyDex, Inc.

ASSIGNEE: CYDEX, INC.

By: John M. Siebert

Date: 11 July 2006

Name: John M. Siebert  
Title: President and CEO

County: Johnson

I, Nancy L. Oelschlaeger, a Notary Public in and for the County aforesaid, do hereby certify that the above-named representative, known to me to be of legal capacity and recognized his/her signature, on the foregoing instrument, appeared before me this day in person and acknowledged that he/she is authorized to sign on behalf of CyDex, Inc. for the purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this 11th day of July, 2006.

(SEAL)

Nancy L. Oelschlaeger  
Notary Public

