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FORM BTO 4505 12/459243	U.S. DEPARTMENT OF COMMERCE	
(Rev. 6-93) 06/29/2009 OMB-0651-0011	Patent and Trademark Office	
To the Honorable Commissioner o 103566	e attached original documents or copy thereof.	
1. Name of conveying party(ies):	2. Name and address of receiving party(ies)	
1. Katherine H. Guo	Name: Alcatel-Lucent USA Inc.	
2. Scott C. Miller	Internal Address:	
Additional name(s) of conveying party(ies) attached?  Yes  No		
3. Nature of conveyance:	Street Address: 600-700 Mountain Avenue	
	P.O. Box 636	
☐ Security Agreement ☐ Change of Name	City: Murray Hill State: NJ ZIP: 07974-0636	
Other Execution Date(s): June 29, 2009		
	Additional name(s) & address(es) attached? ☐ Yes ☒ No	
4. Application number(s) or patent number(s):		
If this document is being filed together with a new application, the execution date of the application is: June 29, 2009		
A. Patent Application No.(s)	B. Patent No.(s)	
Additional numbers attached? ☐ Yes ☒ No		
5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 1	
Name:Alcatel-Lucent USA Inc.	7. Total fee (37 CFR 3.41) \$40.00	
Docket Administrator	☐ Enclosed	
Internal Address: Room 2F-192	Authorized to be charged to deposit account	
	8. Deposit account number: 12-2325	
	6. Deposit account number. 12-2029	
Street Address: 600-700 Mountain Avenue		
	(Attach duplicate copy of this page if paying by deposit account)	
City: Murray Hill State: NJ ZIP: 07974-0636		
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9. Statement and signature.		
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.		
7 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2		
Name of Person Signing    David M. La Bruno   Signature   81 FC:8821   A9.69 DA   Date		
Total number of pages including cover sheet, attachments, and document: 4		
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I hereby certify that this APPLICATION is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Margaret Cardoso

PATENT

**REEL: 022936 FRAME: 0824** 

## **ASSIGNMENT AND AGREEMENT**

For value received, we, Katherine H. Guo, of Scotch Plains in the County of Union and the State of New Jersey, and Scott C. Miller, of Freehold in the County of Monmouth and the State of New Jersey, hereby sell, assign and transfer to Alcatel-Lucent USA Inc., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to Apparatus And Method For Inter-Office Compression described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Alcatel-Lucent USA Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Alcatel-Lucent USA Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Alcatel-Lucent USA Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Alcatel-Lucent USA Inc. in the United States and in all countries foreign to the United States, or to such nominees as Alcatel-Lucent USA Inc. may designate.

PATENT REEL: 022936 FRAME: 0825 We agree that, when requested, we shall, without charge to Alcatel-Lucent USA Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Katherine H. Guo

Date: 6/29/2009

United States of America)

State of New Jersey ) ss.:

County of Union )

Margaret Cardoso
Notary Public

MARGARET CARDOSO
NOTARY PUBLIC OF NEW JERSEY
Commission Expires 12/2/2013

PATENT

**REEL: 022936 FRAME: 0826** 

Scott C. Miller

Date: 6/29/09

United States of America)

State of New Jersey

) ss.:

County of Union

Margaret Carloso

Notary Public



Aicatel-Lucent USA Inc.

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REEL: 022936 FRAME: 0827

FORM PTO-1595 (Rev. 6-93) OMB-0651-0011

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Assignment	P.O. Box 636	
Security Agreement Change of Name	City: Murray Hill State: NJ ZIP: 07974-0636	
Other Execution Date(s): June 29, 2009		
Execution Date(s). June 23, 2003	Additional name(s) & address(es) attached? ☐ Yes ☒ No	
4. Application number(s) or patent number(s):		
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copy of the original document.		
Name of Person Signing  David M. La Bruno Signature  June 29, 2009 Date		
Total number of pages including cover sheet, attachments, and document: 4		
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Margaret Cardoso

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