PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
Stephen R. Forrest	04/23/2009
Michael S. Arnold	05/01/2009
Jeramy D. Zimmerman	04/20/2009
Richard Lunt	04/20/2009

RECEIVING PARTY DATA

Name:	The Regents of the University of Michigan
Street Address:	1214 S. University Ave., 2nd Floor
Internal Address:	Office of Technology Transfer
City:	Ann Arbor
State/Country:	MICHIGAN
Postal Code:	48104-2592

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	12419846

CORRESPONDENCE DATA

Fax Number: (609)631-2401

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 609-631-2400

Email: WJKouh@duanemorris.com

Correspondent Name: DUANE MORRIS LLP - Princeton

Address Line 1: PO BOX 5203

Address Line 4: PRINCETON, NEW JERSEY 08543-5203

ATTORNEY DOCKET NUMBER:	Y3422-00201
NAME OF SUBMITTER:	Won Joon Kouh

PATENT REEL: 022947 FRAME: 0413 12/11 00 013 H



PATENT REEL: 022947 FRAME: 0414

ASSIGNMENT AND AGREEMENT

FOR VALUE RECEIVED, and to perfect the ownership of the Regents as established under Regents Bylaw 3.10 of the University of Michigan, We, Stephen R. Forrest, residing at 336 Rock Creek Court, Ann Arbor, Michigan 48104, Michael S. Arnold, residing at 3709 Frosted Leaf Drive, Madison, WI 53719, Jeramy D. Zimmerman, residing at 3276 Bolgos Circle, Ann Arbor, Michigan 48105, and Richard Lunt, residing at 1685 Broadway Street, Apt. 102, Ann Arbor, Michigan 48105, hereby sell, assign and transfer to The Regents of the University of Michigan, a not-for-profit organization duly organized and existing under the laws of the State of Michigan as assignee, and its successors, assigns and legal representatives, our entire right, title and interest for the United States of America and for all other countries in and to certain inventions relating to IMPROVED POLYMER WRAPPED CARBON NANOTUBE NEAR-INFRARED PHOTOACTIVE DEVICES that are described in the specification of a United States patent application having a Serial Number of 12/419,846 and a filing date of April 8, 2009, and all the rights and privileges under any United States Letters Patent and all foreign Letters Patent that have been or may be granted therefor and any continuation, divisional, re-examination, reissue or prolongation thereof. We hereby grant permission for the attorney of record to insert the filing information into this agreement.

We request that any and all United States and foreign patents for the inventions be issued to the assignee, its successors, assigns and legal representatives, or to such nominees as it may designate.

We agree that, when requested, we will sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for the inventions in any and all countries, and for vesting title thereto in the assignee, its successors, assigns and legal representatives or nominees.

We authorize and empower the assignee, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for the inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of the assignee or nominee to claim the aforesaid benefit of the right of priority provided by the International convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it.

Signed:	560		~C ² 7.7.6	Land Vinder
U	Stephen	R. Forres	}	

STATE OF MICHIGAN)
) ss.
COUNTY OF WASHIET AND	Ì

On this 33 today of auce, 2009, personally appeared before me, R Foregon, to me known to be the persons who executed the above instrument and acknowledged that he executed the same for the uses and purposes therein mentioned.

Notary Public
My Commission Expires: 4/4/20/3

JUNE H. MCCOLM NOTARY PUBLIC, STATE OF A COUNTY OF WASHTENAW STOS, STALL BEARRYS MONSBINGACO YM ACTING IN COXINTY OF 12/ askilescall

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Signed Stephen W. Forrest

Signed:

Michael S. Arnold



Signed: Jeramy D. Zimmerman
Signed: Richard Lunt
STATE OF WI) COUNTY OF DANE)ss.
On this day of, 2009, personally appeared before me,
instrument and acknowledged that he executed the same for the uses and purposes therein
mentioned.

Notary Public
My Commission Expires: 4-1-12

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Signed: June 3	
Jeramy D. Žimmermun	Andrews and the second
Signed: Richard Lunt	
STATE OF NYICHICAN)	
COUNTY OF WASHTENAW) ss.	
On this 20 day of Aoril, 2009, personally a	ippeared before me, <u>Jeromu</u> D. Zi nne rmo-L
Richard Lunt, to me known to be the perso	ns who executed the above
instrument and acknowledged that he executed the same for	
mentioned. Notary Public	Ence Bostouardt
My Commission	On Expires Trace Review Buttlews, Watery Public State of Mariana, County of Water State of Mariana, Expires 3/28/2014 Acting in the County of <u>UA_MERATO</u>

RECORDED: 07/13/2009