

07-16-2009

HEET

To the Honorable Assistant Secretary and Comr



103567331

rd the attached original document(s) or copy(ies) thereof.

1. Name of conveying party(ies):

address of receiving party(ies):

Sho ABE, Daiju SHIONO, Tomoyuki HIRANO, Takahiro DAZAI

Name: TOKYO OHKA KOGYO CO., LTD.

Additional name(s) of conveying party(ies) attached? NO

3. Nature of conveyance:

Address: 150, Nakamaruko, Nakahara-ku, Kawasaki-shi,  
Kanagawa-ken, Japan

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Additional name(s) & address(es) attached? NO

Execution Date: June 30, 30, 30 and 30, 2009

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: June 30, 30, 30 and 30, 2009

A. Patent Application No.(s)  
NEW, filed July 6, 2009

B. Patent No.(s)  
, issued

Additional numbers attached? NO

5. Name and address of party to whom correspondence concerning document should be mailed:

6. Total number of applications and patents involved: 1

Name: WENDEROTH, LIND & PONACK, L.L.P.  
Attn: Warren M. Cheek, Esq.

7. Total fee (37 CFR 3.41). . . . \$40.00

Street Address: 1030 15<sup>th</sup> Street, N.W., Suite 400 East

☒ Enclosed (Check No. 90515)  
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8. Deposit account number: 23-0975

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Warren M. Cheek, Reg. No. 33,367  
Name of Person Signing

Warren M. Cheek  
Signature

July 6, 2009  
Date

Total number of pages including cover sheet: 3

OMB No. 0651-0011 (exp. 4/94)

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The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

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## ASSIGNMENT

In consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration paid to each of the undersigned

Insert Name(s)  
of Inventor(s)

(1) Sho ABE; (2) Daiju SHIONO; (3) Tomoyuki HIRANO; and (4) Takahiro DAZAI

the undersigned hereby sell(s) and assign(s) to

TOKYO OHKA KOGYO CO., LTD.

Insert Name(s)  
of Assignee(s)  
Address

of 150, Nakamaruko, Nakahara-ku, Kawasaki-shi, Kanagawa-ken, Japan

(hereinafter designated as the Assignee) the entire right, title and interest for the United States as defined in 35 USC 100, in the invention known as

NEGATIVE RESIST COMPOSITION, METHOD OF FORMING RESIST PATTERN  
AND POLYMERIC COMPOUND

Title of  
Invention

for which an application for patent in the United States has been executed by the undersigned

Date of  
Signing  
of Application

on June 30, 2009

The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing, divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation, division or reissue thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the firm of WENDEROTH, LIND & PONACK, L.L.P., 2033 K Street, N.W., Suite 800, Washington, D.C. 20006-1021, the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document.

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In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date June 30, 2009, Name of the Inventor Sho ABE Sho Abe  
Date June 30, 2009, Name of the Inventor Daiju SHIONO Daiju Shuno  
Date June 30, 2009, Name of the Inventor Tomoyuki HIRANO Tomoyuki HIRANO  
Date June 30, 2009, Name of the Inventor Takahiro DAZAI Takahiro Dazai  
Date \_\_\_\_\_, Name of the Inventor \_\_\_\_\_  
Date \_\_\_\_\_, Name of the Inventor \_\_\_\_\_  
Date \_\_\_\_\_, Name of the Inventor \_\_\_\_\_

(This assignment should preferably be acknowledged before a United States Consul. If not, then the execution by the Inventor(s) should be witnessed by at least two witnesses who sign here.)

Witness \_\_\_\_\_  
Witness \_\_\_\_\_

**ACKNOWLEDGMENT**

\_\_\_\_\_  
\_\_\_\_\_ } ss

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came the above-named me personally known as the individual(s) who executed the foregoing assignment, who did acknowledge to me that he (they) executed the same of his (their) own free will for the purposes therein set forth.

SEAL \_\_\_\_\_  
Official Signature  
\_\_\_\_\_  
Official Title

The above application may be more particularly identified as follows:  
U.S. Application Serial No. \_\_\_\_\_ Filing Date \_\_\_\_\_  
Applicant Reference Number \_\_\_\_\_ Atty Docket No. \_\_\_\_\_  
Title of Invention \_\_\_\_\_  
\_\_\_\_\_