

07-20-2009

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103567699

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Hajime Ishida (03/19/2003) and Makoto Fukuta
(03/19/2003)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): in parentheses after inventor name

☒ Assignment ☐ Merger ☐ Change of Name

☐ Security Agreement ☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other

2. Name and address of receiving party(ies)

Name: Takeda Chemical Industries, Ltd

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JAPAN

City:

State:

Country:

Zip:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

This application

10/276,288

Additional numbers attached?

☒ This document is being filed together with a new application.

B. Patent No.(s)

☐ Yes ☒ No

FILE COPY

5. Name and address to whom correspondence concerning document should be mailed:

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6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

☒ Authorized to be charged to deposit account

☐ Enclosed

☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number

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04-1105

David G. Conlin

01 FC:8021

48-86-90

9. Signature:

Kathryn A. Piffat, Ph.D., Esq.

Signature

Kathryn A. Piffat, Ph.D., Esq. - 34,901

Name of Person Signing

July 1, 2009

Date

Total number of pages including cover sheet, attachments, and documents:

3

ASSIGNMENT

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor hereby sells and assigns, to **TAKEDA CHEMICAL INDUSTRIES LTD.**, a corporation of Japan, 1-1, Doshomachi 4-chome, Chuo-ku, Osaka-shi, Osaka, Japan (hereinafter **ASSIGNEE**) all right, title and interest for the United States, its territories and possessions in and to the following invention and U.S. application filed thereon, and the entire right, title and interest in and to any and all Letters Patents which may be granted therefor in the United States, to be held and enjoyed by said **ASSIGNEE**, its successors, legal representatives and assigns to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made.

Title of Invention : COPOLYVIDONE-CONTAINING PREPARATION

United States Patent Application :

☐ executed concurrently herewith
☒ executed on March 19, 2003
☐ Serial No. _____ Filed _____

Each of the undersigned acknowledges that this sale and assignment includes any and all divisions or continuations of said United States Patent application, and any and all Letters Patent of the United States which may issue on any such applications, including any and all reissues or extensions thereof.

Each of the undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all such Letters Patent to said **ASSIGNEE**, its successors or assigns in accordance herewith;

Each of the undersigned warrants and covenants that he has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he has not executed and will not execute any document or instrument in conflict herewith;

Each of the undersigned further covenants and agrees he will communicate to said **ASSIGNEE**, its successors, legal representatives or assigns all information known to him relating to

said invention or patent application and that he will execute and deliver any papers, make all rightful oaths, assist in and testify in any related proceedings including interferences or lawsuits concerning this application or continuation, division or reissue thereof, and perform all other lawful acts deemed necessary or desirable by said ASSIGNEE, its successors, legal representatives or assigns to obtain a grant of a valid United States Patent on said invention;

Each of the undersigned hereby grants ASSIGNEE and its legal representatives, the power to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment and specifically, the power to insert in the space provided above, the filing date and application number of the application when known.

In witness hereof, executed by the undersigned on the date(s) opposite the undersigned names.

NAMES AND SIGNATURES OF INVENTORS		
1.Name: Hajime ISHIDA	Signature: <i>Hajime Ishida</i>	Date: March 19, 2003
2.Name: Makoto FUKUTA	Signature: <i>Makoto Fukuta</i>	Date: March 19, 2003
3.Name:	Signature:	Date:
4.Name:	Signature:	Date:
5.Name:	Signature:	Date:
6.Name:	Signature:	Date:

NAMES AND SIGNATURES OF WITNESSES		
Name/ Tomoyo KOZAWA For: 1 and 2	Signature: <i>Tomoyo Kozawa</i>	Date: March 19, 2003
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*Notice for Witnesses! Please indicate which inventor(s) you are signing for by writing the corresponding numbers after "For:"