

# PATENT ASSIGNMENT

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<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
Roberto A. Gaxiola	06/10/2009
<b>RECEIVING PARTY DATA</b>	
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<b>State/Country:</b>	CONNECTICUT
<b>Postal Code:</b>	06030
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	12384115
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<b>ATTORNEY DOCKET NUMBER:</b>	4147.1002-006
<b>NAME OF SUBMITTER:</b>	Judith K. Sherman
<b>Total Attachments: 2</b> source=41471002006AssignmentGaxiola#page1.tif source=41471002006AssignmentGaxiola#page2.tif	

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**PATENT**  
**REEL: 023008 FRAME: 0212**

Multi-Sole

ASSIGNMENT

WHEREAS, I, **Roberto A. Gaxiola**, together with co-inventors **Gerald R. Fink** and **Seth L. Alper**, have invented a certain improvement in **TRANSGENIC PLANTS OVEREXPRESSING A PLANT VACUOLAR H<sup>+</sup>-ATPASE**, described in an application for Patent,

- ☐ the specification of which is about to be filed in the United States Patent Office *(use for utility (37 CFR § 1.53(b)) and design filings only)*;
- ☐ is about to be filed in the United States Patent Office as a Provisional Application;
- ☒ the specification of which is United States Application No. 12/384,115, filed March 31, 2009;
- ☐ the specification of which is a Patent Cooperation Treaty Application, International Application No. [   ], filed [   ], which designates the United States of America [and is assigned United States Application No. [   ]];
- ☐ which was patented under United States Patent No. [   ].

WHEREAS, **University of Connecticut** (hereinafter "ASSIGNEE"), a university organized and existing under the laws of the **State of Connecticut** and having a usual place of business at **263 Farmington Avenue, Farmington, Connecticut 06030**, desires to acquire an interest therein in accordance with agreements duly entered into with me;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, I have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, my entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with my entire right, title and interest in and to said application and such Letters Patent as may issue on said invention; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by me had this assignment and sale not been made; I hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. I hereby acknowledge that this assignment, being of my entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein; I hereby expressly authorize the filing of an International Patent Application under the

Patent Cooperation Treaty which corresponds to and claims the priority of the above-identified application;

AND, I hereby further agree for myself and my executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of any further applications including substitution, reissue, divisional or continuation applications, and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, I do hereby authorize and request each Patent Office and the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said invention to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my seal the date set forth below.

Inventor's Signature: \_\_\_\_\_

Roberto A. Gaxiola

State/Commonwealth

of AZ

County of Maricopa

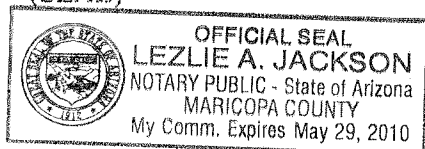
On this 10 day of June 2009, before me, the undersigned notary public, personally appeared Roberto A. Gaxiola,

- ☐ personally known to me, or  
☒ proved to me through satisfactory evidence of identification, which was

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to be the person whose name was signed on the foregoing instrument in my presence, and acknowledged that he/she signed the foregoing instrument as his/her free act and deed.

(SEAL)



Notary Public Lezlie A. Jackson

Roberto A. Gaxiola (print name)

My Commission expires 5/29/2010