


Client Code: ROCKYR.150A

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

To the Director, U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

|  |   |
|--|---|
| <p>1. Name of conveying party(ies): (List using letters or numbers for multiple parties)</p> <p>1. Uwe Rockenfeller; 2. Paul Sarkisian; 3. Kaveh Khalili; 4. Warren Harhay</p> <p>Additional name(s) of conveying party(ies) attached?</p> <p>( ) Yes (X) No</p>   | <p>2. Name and address of receiving party(ies):</p> <p><b>Name:</b> ROCKY RESEARCH</p> <p><b>Internal Address:</b></p> <p><b>Street Address:</b> P.O. Box 61800</p> <p><b>City:</b> Boulder City <b>State:</b> NV</p> <p><b>ZIP:</b> 89006-1800</p> <p>Additional name(s) of receiving party(ies) attached?</p> <p>( ) Yes (X) No</p> |
| <p>3. Nature of conveyance:</p> <p>(X) Assignment ( ) Security Agreement</p> <p>( ) Merger ( ) Change of Name</p> <p>( ) Other:</p> <p>Execution Date: (List as in section 1 if multiple signatures)</p> <p>1. July 24, 2009; 2. July 24, 2009; 3. July 24, 2009; 4. July 24, 2009</p>   | <p>4. US or PCT Application number(s) or US Patent number(s):</p> <p>(X) Patent Application No.: <b>12/510139</b></p> <p>Filing Date: July 27, 2009</p> <p>Additional numbers attached?</p> <p>( ) Yes (X) No</p>   |
| <p>5. Party to whom correspondence concerning document should be mailed:</p> <p><b>Customer No.</b> 20,995</p> <p><b>Address:</b> Knobbe, Martens, Olson &amp; Bear, LLP<br/>2040 Main Street, 14<sup>th</sup> Floor<br/>Irvine, CA 92614</p> <p><b>Return Fax:</b> (949) 760-9502</p> <p><b>Attorney's Docket No.:</b> ROCKYR.150A</p>  | <p>6. Total number of applications and patents involved: 1</p>  |
| <p>7. Total fee (37 CFR 1.21(h)): \$40</p> <p>(X) Authorized to be charged to deposit account</p>  | <p>8. Deposit account number: 11-1410</p> <p>Please charge this account for any additional fees which may be required, or credit any overpayment to this account.</p>   |
| <p>9. Statement and signature.</p> <p>To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.</p> <p><u>Michael Fuller</u><br/>Name of Person Signing</p> <p>36,516<br/>Registration No.</p> <p><br/>Signature</p> <p><u>July 27, 2009</u><br/>Date</p> <p>Total number of pages including cover sheet, attachments and document: 6</p> |   |

Documents transmitted via Facsimile to be recorded with required cover sheet information to:

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**PATENT**  
**REEL: 023021 FRAME: 0856**

CH \$40.00 111410 12510139

**PATENT**

Application No.: Not Yet Assigned  
Filing Date: Herewith

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**ASSIGNMENT**

WHEREAS, We, Uwe Rockenfeller, a United States citizen, residing at 1501 Lynn Drive, Boulder City, NV 89005; Paul Sarkisian, a United States citizen, residing at 1423 Pueblo Drive, Boulder City, NV 89005; Kaveh Khalili, a United States citizen, residing at 641 Otono Drive, Boulder City, NV 89005; and Warren Harhay, a United States citizen, residing at 659 Florence Drive, Boulder City, NV 89005, have invented certain new and useful improvements in AN HVAC/R BATTERY BACK-UP POWER SUPPLY SYSTEM HAVING A VARIABLE FREQUENCY DRIVE (VFD) POWER SUPPLY for which we have executed an application for Letters Patent in the United States, on even date herewith;

AND WHEREAS, Rocky Research (hereinafter "ASSIGNEE"), a Nevada Corporation, with its principal place of business at P.O. Box 61800, Boulder City, NV 89006-1800, desires to acquire the entire right, title, and interest in and to said improvements and said Application:

NOW, THEREFORE, in good and valuable consideration, the receipt of which is hereby acknowledged, I, said inventor, do hereby acknowledge that I have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto said ASSIGNEE, his successors, legal representatives and assigns, the entire right, title, and interest throughout the world in, to and under said improvements, and said application including all provisional applications relating thereto, and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and I hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to said ASSIGNEE, his successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE DO HEREBY sell, assign, transfer, and convey to ASSIGNEE, his successors, legal representatives, and assigns all claims for damages and all remedies arising out of any violation of the rights assigned hereby that may have accrued prior to the date of assignment to ASSIGNEE, or may accrue hereafter, including, but not limited to, the right to sue for, collect, and retain damages for past infringements of said Letters Patent before or after issuance.

AND WE HEREBY covenant and agree that we will communicate to said ASSIGNEE, his successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said ASSIGNEE, his successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

**PATENT****REEL: 023021 FRAME: 0857**

Application No.: Not Yet Assigned  
Filing Date: Herewith

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IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 24<sup>th</sup> day of July, 2009.

Uwe Rockenfeller  
Uwe Rockenfeller

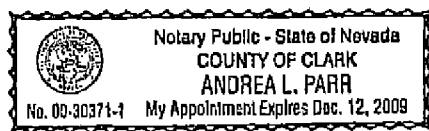
STATE OF Nevada }  
COUNTY OF Clark } ss.

On 07/24/09, before me, Andrea Parr, notary public, personally appeared Uwe Rockenfeller who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity(ies), and that by his signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of Nevada that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

[SEAL]



Andrea L. Parr  
Notary Signature

Application No.: Not Yet Assigned  
Filing Date: Herewith

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Page 3

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 24<sup>th</sup> day of July, 2009.

Paul Sarkisian  
Paul Sarkisian

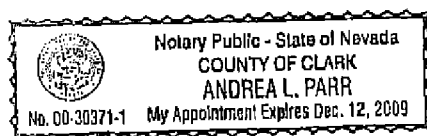
STATE OF Nevada }  
COUNTY OF Clark } ss.

On 07/24/09, before me, Andrea Parr, notary public, personally appeared Paul Sarkisian who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity(ies), and that by his signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of Nevada that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

[SEAL]



Andrea L Parr  
Notary Signature

Application No.: Not Yet Assigned  
Filing Date: Herewith

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IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 24<sup>th</sup> day of July, 2009.

Kaveh Khalili  
Kaveh Khalili

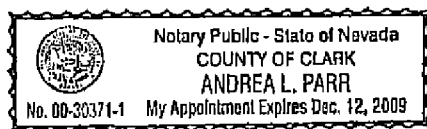
STATE OF Nevada }  
COUNTY OF Clark } ss.

On 07/24/09, before me, Andrea Parr, notary public, personally appeared Kaveh Khalili who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity(ies), and that by his signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of Nevada that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

[SEAL]



Andrea L Parr  
Notary Signature

Application No.: Not Yet Assigned  
Filing Date: Herewith

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IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 24 day of

July 2009

Warren Harhay  
Warren Harhay

STATE OF Nevada

COUNTY OF Clark

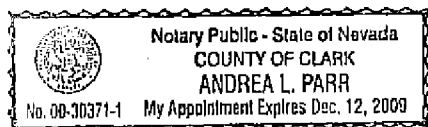
ss.

On 07/24/09, before me, Andrea Parr, notary public, personally appeared Warren Harhay who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity(ies), and that by his signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of Nevada that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

[SEAL]



Andrea L Parr  
Notary Signature

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