

07-28-2009



IEET

103568986

To the Director of the U.S. Patent and Trademark Office

Documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Robert A. Rousseau
Kevin S. Weadock
An-Amin Jason Sung

Execution Date(s) 02/11/2009
07/16/2009

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Ethicon, Inc.

Street Address:
Route 22 West

City: Somerville
State: NJ
Country: USA Zip: 08876

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Corrective Document (incorrectly recorded doc appears at Reel No. 022319, Frame No. 0375) *Correct the 3rd assignor*
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

Confirms the Assign.

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)
12/378,573

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Philip S. Johnson, Esq.
Chief Patent Counsel
Johnson & Johnson
One Johnson & Johnson Plaza
New Brunswick, NJ 08933-7003
Fax: (732) 524-2808
Email Address: JNJUSPATENT@CORUS.JNJ.COM

6. Total number of applications & patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00

- ☐ Authorized to be charged to Deposit Account
☒ Check Enclosed

8. Payment Information

Deposit Account ~~0742718809~~ MJANA1 00000036 12378573

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9. The information provided on this new cover sheet is true and correct and the copy submitted herewith is a true copy of the original document.

Robert T. Canavan
Signature

7/23/2009
Date

Robert T. Canavan
Name of Person Signing

Total number of pages including cover sheet, attachments, and document: 5

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

Docket

nber: ETH-5401

U.S. PTO
12/378573
02/17/2009

02-26-2009



103549839

RECORDATION FORM COVER SHEET
PATENTS ONLY

TO: The Commissioner for Patents: Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ New
☐ Resubmission(Non-Recordation)

Document ID#

- ☐ Correction of PTO Error

Reel # Frame #

- ☐ Corrective Document

Reel # Frame #

Conveyance Type

- ☒ Assignment ☐ Security Agreement
☐ License ☐ Change of Name
☐ Merger ☐ Other:

U.S. Government

(For use by U.S. Government Agencies)

- ☐ Departmental File ☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1) Rousseau, Robert A.

Name (line 2)

Execution Date

MMDDYYYY

02/11/2009

Second Party

Name (line 1) Weadock, Kevin S.

Name (line 2)

Execution Date

02/11/2009

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Ethicon, Inc.

Name (line 2)

Address (line 1) P.O. Box 151, Route 22 West

Address (line 2) Somerville, New Jersey 08876-0151

Address (line 3) United States of America

City, State/Country Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name (line 1)

Address (line 1)

Address (line 2)

Address (line 3)

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Mail documents to be recorded with required cover sheet(s) information to:

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02/19/2009 WABDEL1 00000035 12378573

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PATENT

REEL: 023033 FRAME: 0218

Page 2

U.S. Department of Commerce
Patent and Trademark Office
PATENT**CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label

Customer Number - 000047232

or ☐ Correspondence address below

NAME	Robert T. Canvan, Esq.				
ADDRESS	Canavan & Monka LLC				
CITY	Somerville	STATE	New Jersey	ZIP CODE	08876
COUNTRY	United States of America			FAX	908-707-1574

Pages Enter the total number of pages of the attached conveyance document # 4
including any attachments

Application Number(s) or Patent Number(s) ☐ Mark if additional numbers are attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)	Patent Number(s)
Not yet assigned	Filed - herewith

If this document is being filed with a new Patent Application, enter the date the patent application was signed by the first named executing inventor. Month Day Year
02/11/2009

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

Number of Properties

Enter the total number of properties involved. # 1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$40.00

Method of Payment:

Enclosed ☒ Deposit Account ☐

Deposit Account

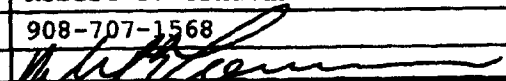
(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

Authorization to charge additional fees: ☐ Yes ☒ No

STATEMENT AND SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

NAME	Robert T. Canavan		
TELEPHONE	908-707-1568		
SIGNATURE		DATE	02/17/2009

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Assignment Recordation Services, Director - U. S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: 02/17/2009

Type or Printed Name	Mary J. Curch		
Signature		Date	02/17/2009

SEND TO: Mail Stop Assignment Recordation Services, Director - U. S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450

Docket N

ber:

ETH-5401

RECORDATION FORM COVER SHEET CONTINUATION PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)☒ Mark if additional names of conveying parties attached*Enter Additional Conveying Parties*

Name (line 1)	Sung, Jason	Execution Date 02/11/2009
Name (line 2)		
Name (line 1)		Execution Date
Name (line 2)		
Name (line 1)		Execution Date
Name (line 2)		

Receiving Party☐ Mark if additional names of receiving parties attached

Name (line 1)		<input type="checkbox"/> If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)
Name (line 2)		
Address (line 1)		
Address (line 2)		
Address (line 3)		
City, State/Country Zip Code		
Name (line 1)		<input type="checkbox"/> If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)
Name (line 2)		
Address (line 1)		
Address (line 2)		
Address (line 3)		
City, State/Country Zip Code		

Application Number(s) or Patent Number(s)☐ Mark if additional numbers are attached*Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).*

Patent Application Number(s)	Patent Number(s)

PATENT**REEL: 023033 FRAME: 0220**

A S S I G N M E N T

WHEREAS Robert A. Rousseau, residing at 736 Geigel Hill Road, Ottsville, PA 18942, Kevin S. Weadock, residing at 16 Strawberry Lane, Hillsborough, NJ 08844, and Jason Sung, residing at 21 Chesterfield Drive, Warren, NJ 07059 respectively (hereinafter called "Assignors"), have made certain new and useful inventions or discoveries relating to

AN-MIN JASON SONG

AMS

7/16/2009

MAGNETIC IMPLANTS AND METHODS FOR TREATING AN OROPHARYNGEAL
CONDITION

for which they have on even date herewith executed an application for Letters Patent of the United States.

WHEREAS, Ethicon, Inc., a corporation of the State of New Jersey, (hereinafter called "Assignee"), is desirous of acquiring Assignors' entire right, title, and interest therein:

NOW, THEREFORE, BE IT KNOWN that for and in consideration of the sum of One Dollar and other valuable considerations, the receipt of which is hereby acknowledged, Assignors have sold, assigned, and transferred, and do hereby sell, assign and transfer unto said Assignee their entire right, title and interest in and to all said inventions and discoveries disclosed in said application whose identification above by serial number and filing date, when available is hereby authorized, and in and to said application, all substitutions, divisions, and continuations thereof, and in and to all Letters Patent, United States and foreign, that may be granted for said inventions and discoveries, and in and to all extensions, renewals, and reissues thereof, the same to be held and enjoyed by said Assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by Assignors if this Assignment and sale had not been made;

And Assignors hereby authorize and request the Commissioner of Patents of the United States to issue said Letters Patent in accordance with this Assignment;

And for the consideration aforesaid, Assignors covenant and agree with said Assignee that he has a full and unencumbered title to the inventions and discoveries above

described and hereby assigned, which title they warrant unto said Assignee, its successors and assigns;

And for the consideration aforesaid, Assignors further covenant and agree that they will, whenever requested, but without cost to them promptly communicate to said Assignee or its representatives any facts known to them relating to said inventions and discoveries, testify in any interference or legal proceedings involving said inventions and discoveries, and execute any additional papers that may be necessary to enable said Assignee or its representatives, successors, nominees, or assigns to secure full and complete protection for the said inventions and discoveries or that may be necessary to vest in said Assignee the complete title to the said inventions and discoveries and patents hereby conveyed and to enable it to record said title.

IN TESTIMONY WHEREOF, Assignors have hereunto set their hands and seals this

11th day of February, 2009

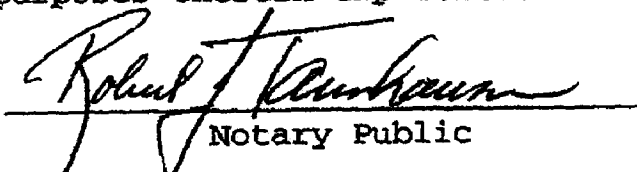


Robert A. Rousseau

(L.S.)

STATE OF NEW JERSEY)
) ss.
COUNTY OF SOMERSET)

BE IT REMEMBERED, That on this 11th day of February, 2009, before me, a Notary Public, personally appeared Robert A. Rousseau who I am satisfied is the person named in and who executed the foregoing instrument in my presence, and I having first made known to him the contents thereof, he did acknowledge that he signed, sealed, and delivered the same as his voluntary act and deed for the uses and purposes therein expressed.



Notary Public

2

ROBERT J. TANNHAUSER
Notary Public Of New Jersey
My Commission Expires 10/18/2009

IN TESTIMONY WHEREOF, Assignors have hereunto set
their hands and seals this

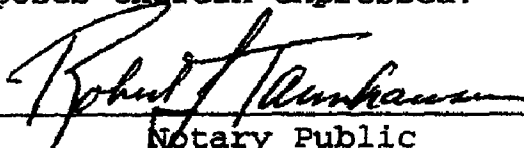
11th day of February, 2009



Kevin S. Weadock (L.S.)

STATE OF NEW JERSEY)
) ss.
COUNTY OF SOMERSET)

BE IT REMEMBERED, That on this 11th day of February,
2009, before me, a Notary Public, personally appeared Kevin S.
Weadock who I am satisfied is the person named in and who
executed the foregoing instrument in my presence, and I having
first made known to him the contents thereof, he did acknowledge
that he signed, sealed, and delivered the same as his voluntary
act and deed for the uses and purposes therein expressed.



Notary Public

ROBERT J. TANNHAUSER
Notary Public Of New Jersey
My Commission Expires 10/18/2009

IN TESTIMONY WHEREOF, Assignors have hereunto set their hands
and seals this

11th day of February, 2009



Jason Sung

(L.S.)

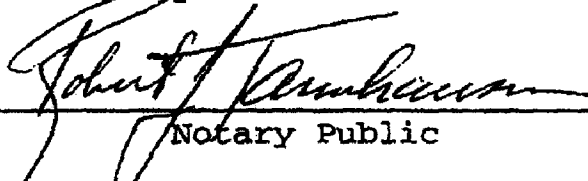
AN-MIN JASON SUNG

STATE OF NEW JERSEY)
) ss.
COUNTY OF SOMERSET)

AMS

2/16/2009

BE IT REMEMBERED, That on this 11th day of February,
2009, before me, a Notary Public, personally appeared Jason Sung
who I am satisfied is the person named in and who executed the
foregoing instrument in my presence, and I having first made
known to him the contents thereof, he did acknowledge that he
signed, sealed, and delivered the same as his voluntary act and
deed for the uses and purposes therein expressed.



Notary Public

ROBERT J. TANNHAUSER
Notary Public Of New Jersey
My Commission Expires 10/18/2009