

08-03-2009



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COVER SHEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Case PK/3-23168/A/RAI 68/PCT

To the Director of

d the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

1. Aki Laine
2. Hendrik Luttkhedde

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies)

Name: Ciba Corp.  
Internal Address: P.O. Box 2005  
Street Address: 540 White Plains Road  
City: Tarrytown State: NY ZIP: 10591

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date(s): 1. 4/28/2008; 2. 4/16/2008

## 4. Application number(s) or Patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 12/085,384

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: JoAnn Villamizar  
Ciba Corp.  
Internal Address: Patent Department  
P.O. Box 2005  
Street Address: 540 White Plains Road  
City: Tarrytown State: NY ZIP: 10591

## 6. Total number of applications and patents involved: 1

## 7. Total fee (37 CFR 3.41).....\$40.00

- ☐ Enclosed  
☒ Authorized to be charged to deposit account and any other additional fees required.

## 8. Deposit account number:

03-1935

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Shiela A. Loggins

Signature

Date

Name of Person Signing  
Reg. No. 56,221

Total number of pages including cover sheet, attachments, and document: 2

Mail documents to be recorded with required cover sheet information to:

2

Director of US Patent and Trademark Office, Mail Stop Assignment Recordation Services, P.O. Box 1450, Alexandria, VA 22313-1450 027

PATENT

REEL: 023047 FRAME: 0471

US (PCT) Case PK/3-23168/A/RAI 68

ASSIGNMENT

I / We **AKI LAINE**, Juhaninkuja 15 C 18, FI-21200 Raisio, Finland  
**Hendrik LUTTIKHEDDE**, Twikkelerweg 27, NL-7475ND Markelo, The Netherlands

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Ciba Corporation, a Delaware corporation, of 540 White Plains Road, Tarrytown, NY 10591, USA**, its successors, assigns and legal representatives all my/our right, title and interest, in and for the United States of America, in and to the invention entitled:

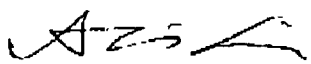
**A process for the preparation of hydroxy polymer esters and their use**

invented by me/us and described in the international application

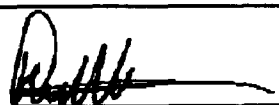
No. **PCT/EP2006/011767** filed **December 7, 2006**

and all United States Letters Patent which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by the said **Ciba Corporation**, its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this assignment and sale had not been made;

And I/we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said **Ciba Corporation**.

  
\_\_\_\_\_  
AKI LAINE

28. 4. 2008  
\_\_\_\_\_  
Date (day/month/year)

  
\_\_\_\_\_  
Hendrik LUTTIKHEDDE

16/04/2008  
\_\_\_\_\_  
Date (day/month/year)

CSC

US 10/97

PATENT

RECORDED: 07/31/2009

REEL: 023047 FRAME: 0472