

08-11-2009

U.S. PTO
12/462311
08/03/2009Form PTO-
OMB No. 01U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

103570267

IN FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Swapnajt Chakravarty
Ray T. ChenAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) July 30, 2009

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Omega Optics, Inc.

Internal Address: _____

Street Address: 10435 Burnet Rd, Suite 108

City: Austin

State: TX

Country: US Zip 78758

Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application or patent number(s):

☒ This document is being filed together with a new application.

A. Patent Application No.(s)

12462311

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Swapnajt Chakravarty

Internal Address: _____

Street Address: 10435 Burnet Rd, Suite 108

City: Austin

State: TX Zip 78758

Phone Number: (512) 996-8833 x601

Fax Number: (512) 873-7744

Email Address: swapnajt.chakravarty@omegaoptics.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00

- ☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number _____

Authorized User Name _____

9. Signature:

Swapnajt Chakravarty
Signature

07/30/2009

Date

SWAPNAJIT CHAKRAVARTY
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

75

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

06/04/2009 08:00:03 03322371 12462311

04 FC:0021 PATENT

40.00 0P

REEL: 023080 FRAME: 0563

Assignment Of Patent Application

WHEREAS, INVENTOR(s), Swapnajit Chakravarty of Austin, Texas and Ray T. Chen of Austin, Texas, hereinafter referred to as "Assignor," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: Photonic Crystal Microarray Device for Label-Free Multiple Analyte Sensing, Biosensing and Diagnostic Assay Chips

Filing Date: Herewith

Application No.: N/A; and

WHEREAS, Omega Optics, Inc., a Texas Corporation located at 10435 Burnet Rd, Suite 108, Austin, TX 78758, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has assigned, and by these presents do assign to Assignee all right, title, and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title, and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignor had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignor further agrees that he will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignor has signed his name on the date indicated.

Swapnajit Chakravarty Dated 07/27/2009
Swapnajit Chakravarty

Ray T. Chen Dated 07/27/2009
Ray T. Chen