FAX TO: ASSIGNMENT BRANCH, U.S.P.T.O.

FAX NO.: 571-273-0140

Attorney Docket No. 12945A-001722US

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U.S. DEPARTMENT OF COMMERCE United States Patent and Trademark Office

RECORDATION FORM COVER SHEET					
PATENTS ONLY					
To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.					
Name of conveying party(les)     The Regents of the University of California	Name and address of receiving party(ies)     Name: J. David Gladstone Institutes, The				
·	Internal Address: Suite 102				
Additional name(s) of conveying party(ies) attached? Yes No	Street Address: 43 Corporate Park				
3. Nature of conveyance/Execution Date(s):					
Execution Date(s)April 30, 2006	City: Irvine				
Assignment Merger					
Security Agreement Change of Name	State: California				
Joint Research Agreement					
Government Interest Assignment	Country: Zip: 92606				
Executive Order 9424, Confirmatory License					
Other	Additional name(s) & address(es) attached?  Yes  No				
4. Application or patent number(s):   This document is being filed together with a new application.					
A. Patent Application No.(s)	B. Patent No.(s)				
11/671,991					
Additional numbers attached? Yes No					
5. Name and address to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 1				
Name: Siegfried J.W. Ruppert, Ph.D.	7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00				
Internal Address:					
TOWNSEND AND TOWNSEND AND CREW LLP	Authorized to be charged to deposit account				
Street Address: Two Embarcadero Center,	Enclosed				
Eighth Floor	None required (government interest not affecting title)				
City: San Francisco	8. Payment Information				
State: <u>California</u> Zip: <u>94111-3834</u>					
Phone Number: (415) 576-0200	Account Number 20-1430				
Fax Number: (415) 576-0300	Authorized User Name Townsend and				
Email Address: sjwruppert@townsend.com	Townsend and Crew LLP				
9. Signature:	August 14, 2009				
Sign'atuve Date Siegfried J.W. Ruppert, Ph.D. Total number of pages including cover 3					
Name of Person Signing Atty. Reg. No. 44,312 sheet, attachments, and documents:					

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PATENT REEL: 023084 FRAME: 0908

## Assignment

This assignment is between The J. DAVID GLADSTONE INSTITUTES, having a principal place of business at 43 Corporate Park, Suite 102, Irvine, California 92606, hereinafter referred to as ASSIGNEE, and THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, a California Corporation, having its statewide administrative offices at 1111 Franklin Street, 12<sup>th</sup> Floor, Oakland, California 94607-5200, hereinafter referred to as ASSIGNOR.

The assignments concern the applications/patents listed in Schedule A ("the Patents").

WHEREAS, ASSIGNOR is desirous of assigning to ASSIGNEE the entire right, title and interest in and to said Patents.

WHEREAS, ASSIGNOR entered into a First Amendment to the 1986 Letter Agreement between The Regents of the University of California and The J. David Gladstone Institutes (the "First Amendment") (for UC Control Number 2006-18-0001) effective February 7, 2006, (the "First Amendment") regarding "the Patents" in Schedule A.

NOW, THEREFORE, in consideration of good and valuable consideration received by ASSIGNOR from ASSIGNEE, included in (the "First Amendment"), the receipt of which is hereby acknowledged by ASSIGNOR,

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE its entire right, title and interest in and to the Patents and any legal equivalent thereof in a foreign country, including all priority rights under the international convention associated therewith for each country, and in and to each and every reissue, reexamination or extension of said patents.

RECORDED: 08/14/2009

- 2. ASSIGNOR, to the extent of its capabilities, covenants and agrees that at the request and expense of ASSIGNEE it will promptly execute all papers necessary or desirable to perfect ownership of said Patents to ASSIGNEE, and execute all oaths and other papers necessary or desirable for use in interference litigation proceeding or involving said Patents or for reissuance of any of said Patents which are deemed necessary or desirable by ASSIGNEE.
- 3. The terms, covenants and provisions of this assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, its heirs, legal representatives and assigns.

IN TESTIMONY WHEREOF, I have executed this instrument.

Date: April 30, 2006 THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

y: Juda S. Stee

Name: Linda S. Stevenson

Title: Manager, Patent Prosecution

## Schedule A

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2003-521-3	06/09/2004	10/865,663		•
(2006-817-3)				