

# PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT												
NATURE OF CONVEYANCE:	ASSIGNMENT												
<b>CONVEYING PARTY DATA</b>													
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 70%;">Name</th> <th style="width: 30%;">Execution Date</th> </tr> </thead> <tbody> <tr> <td>Steffen Panzner</td> <td>06/03/2009</td> </tr> <tr> <td>Stefan Fankhanel</td> <td>06/08/2009</td> </tr> <tr> <td>Frank Essler</td> <td>06/12/2009</td> </tr> <tr> <td>Cornelia Panzner</td> <td>06/04/2009</td> </tr> <tr> <td>Gerold Endert</td> <td>06/03/2009</td> </tr> </tbody> </table>		Name	Execution Date	Steffen Panzner	06/03/2009	Stefan Fankhanel	06/08/2009	Frank Essler	06/12/2009	Cornelia Panzner	06/04/2009	Gerold Endert	06/03/2009
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<b>RECEIVING PARTY DATA</b>													
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20%;">Name:</td> <td>Novosom AG</td> </tr> <tr> <td>Street Address:</td> <td>Weinbergweg 22</td> </tr> <tr> <td>City:</td> <td>Halle</td> </tr> <tr> <td>State/Country:</td> <td>GERMANY</td> </tr> <tr> <td>Postal Code:</td> <td>06120</td> </tr> </table>		Name:	Novosom AG	Street Address:	Weinbergweg 22	City:	Halle	State/Country:	GERMANY	Postal Code:	06120		
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<b>PROPERTY NUMBERS Total: 1</b>													
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<b>CORRESPONDENCE DATA</b>													
<p>Fax Number: (212)983-3115</p> <p><i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i></p> <p>Phone: 212-935-3000</p> <p>Email: sabelardo@mintz.com</p> <p>Correspondent Name: Mintz Levin Cohn Ferris Glovsky &amp; Popeo</p> <p>Address Line 1: 666 Third Avenue - 24th Floor</p> <p>Address Line 4: New York, NEW YORK 10017</p>													
ATTORNEY DOCKET NUMBER:	33841-514C01US												
NAME OF SUBMITTER:	Michelle A. Iwamoto												

CH \$40.00 11590357

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**PATENT**  
**REEL: 023087 FRAME: 0425**

**Total Attachments: 5**

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PATENTS ONLY

FORM PTO-1595  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):      Execution Date:      2. Name and address of receiving party(ies)
- Steffen Panzner      June 3, 2009      Name:
- Stefan Fankhänel      June 8, 2009      **Novosom AG**
- Frank Essler      June 12, 2009      Weinbergweg 22
- Cornelia Panzner      June 4, 2009      Halle, Germany 06120
- Gerold Endert      June 3, 2009      Additional name(s) & address(es) attached? ☐ Yes ☒ No
- Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No
3. Nature of conveyance:
- ☒ Assignment      ☐ Merger
- ☐ Security Agreement      ☐ Change of Name
- ☐ Other
- Execution Date:
4. Application number(s) or patent number(s):
- If this document is being filed together with a new application, the execution date of the application is \_\_\_\_\_
- A. Patent Application No(s):      B. Patent No(s):
- 11/590,357, filed October 31, 2006**
- Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence  
Concerning document should be mailed:
- Name: Ivor R. Elrifi, Esq., Reg. No. 39,529
- Address: MINTZ, LEVIN, COHN, FERRIS  
GLOVSKY and POPEO, P.C.  
666 Third Avenue - 24<sup>th</sup> Floor  
New York, New York 10017  
Customer No. 35437
6. Total number of applications and patents involved: 1
7. Total fee (37 CFR 3.41) \$40.00
- ☒ Deposit Account No. 50-0311.
- ☒ The Commissioner is authorized to charge Deposit Account No: 50-0311,  
Reference No. 33841-514C01US for any deficiencies.

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9. Statement and signature  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*
- Michelle A. Iwamoto, Reg. No. 55,296      Michelle A Iwamoto      August 11, 2009
- Name of Person Signing      Signature      Date

Total number of pages including cover sheet, attachments, and document: (5)

Mail documents to be recorded with required cover sheet information to:

MAIL STOP ASSIGNMENT RECORDATION SERVICES  
Director of the United States Patent and Trademark Office  
P. O. Box 1450  
Alexandria, VA 22313-1450

## ASSIGNMENT

We, Steffen **PANZNER**, Stefan **FANKHANEL**, Frank **ESSLER**, Cornelia **PANZNER** and Gerold **ENDERT**, the undersigned, for good and valuable consideration, the receipt of which is hereby acknowledged, hereby assign, sell and transfer to **NOVOSOM AG**, with offices at **WEINBERGWEG 22, 06120 HALLE, GERMANY**, and its successors, assigns and legal representatives, all hereinafter referred to as the ASSIGNEE: (1) our entire right, title and interest for the United States and in all countries, in and to any and all inventions, discoveries and applications which are disclosed in the application for United States Letters Patent entitled:

## AMPHOTERIC LIPOSOMES

filed with the U.S. Patent and Trademark Office on **October 31, 2006** and assigned Serial No.: **11/590,357**, which is a continuation of U.S. Patent Application Serial No. **10/081,617**, filed February 21, 2002 (now U.S. Patent **7,371,404**), including any renewals, revivals, reissues, reexaminations, extensions, continuations and divisions thereof and any substitute applications therefor; (2) the full and complete right to file patent applications in the name of the ASSIGNEE, its designee, or in my/our names at the ASSIGNEE, or its designee's election, on the aforesaid inventions, discoveries and applications in all countries of the world; (3) the entire right, title and interest in and to any Letters Patent which may issue thereon in the United States or in any country, and any renewals, revivals, reissues, reexaminations and extensions thereof, and any patents of confirmation, registration and importation of the same; and (4) the entire right, title and interest in all Convention and Treaty Rights of all kinds thereon, including without limitation all rights of priority in any country of the world, in and to the above inventions, discoveries and applications.

We hereby authorize and request the competent authorities to grant and to issue any and all such Letters Patent in the United States and throughout the world to the ASSIGNEE of the entire right, title and interest therein, as fully and entirely as the same would have been held and enjoyed by me/us had this assignment, sale and transfer not been made.

We agree, at any time, upon the request of the ASSIGNEE, to execute and to deliver to the ASSIGNEE any additional applications for patents for said inventions and discoveries, or any part or parts thereof, and any applications for patents of confirmation, registration and importation based on any Letters Patent issuing on said inventions, discoveries, or applications and divisions, continuations, renewals, revivals, reissues, reexaminations and extensions thereof.

We further agree at any time to execute and to deliver upon request of the ASSIGNEE such additional documents, if any, as are necessary or desirable to secure patent protection on said inventions, discoveries and applications throughout all countries of the world, and otherwise to do the necessary to give full effect to and to perfect the rights of the ASSIGNEE under this Assignment, including the execution, delivery and procurement of any and all further documents evidencing this assignment, transfer and sale as may be necessary or desirable.

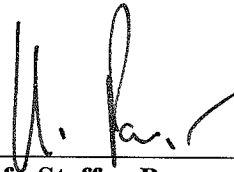
We hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

We further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

Date: \_\_\_\_\_

June 3<sup>rd</sup>, 2009

Signature of: Steffen Panzner



The undersigned declare(s) that he/she was/were personally present and did witness the above-named individual execute this Assignment of his/her own free will for the purposes set forth herein.

Signature of witness: \_\_\_\_\_

Silke Lutz

Name of witness: [Print or Type Name] \_\_\_\_\_

SILKE LUTZ

June 3<sup>rd</sup>, 2009

Date

Signature of witness: \_\_\_\_\_



Name of witness: [Print or Type Name] \_\_\_\_\_

GEROLD ENDERT

June 3<sup>rd</sup>, 2009

Date

Date: June 8<sup>th</sup>, 2009 S. Fankhanel  
 Signature of: **Stefan Fankhanel**

The undersigned declare(s) that he/she was/were personally present and did witness the above-named individual execute this Assignment of his/her own free will for the purposes set forth herein.

Signature of witness: [Signature] June 8<sup>th</sup>, 2009  
 Name of witness: [Print or Type Name] THOMAS KELLIG Date

Signature of witness: [Signature] June 8<sup>th</sup>, 2009  
 Name of witness: [Print or Type Name] DR. RENATE NISSIUS Date

Date: June 12<sup>th</sup>, 2009 Frank Essler  
 Signature of: **Frank Essler**

The undersigned declare(s) that he/she was/were personally present and did witness the above-named individual execute this Assignment of his/her own free will for the purposes set forth herein.

Signature of witness: [Signature] June 12, 2009  
 Name of witness: [Print or Type Name] Dr. Fischer Date

Signature of witness: [Signature] June 12, 2009  
 Name of witness: [Print or Type Name] Dr. Steffen Rupp Date

Date: June 4<sup>th</sup>, 2009 C. Panzer  
 Signature of: **Cornelia Panzner**

The undersigned declare(s) that he/she was/were personally present and did witness the above-named individual execute this Assignment of his/her own free will for the purposes set forth herein.

Signature of witness: Silke Lutz June 4<sup>th</sup>, 2009  
 Name of witness: [Print or Type Name] SILKE LUTZ Date

Signature of witness: [Signature] June 4<sup>th</sup>, 2009  
 Name of witness: [Print or Type Name] GEROLD ENDERT Date

Date: June 3<sup>rd</sup>, 2009 [Signature]  
 Signature of: **Gerold Endert**

The undersigned declare(s) that he/she was/were personally present and did witness the above-named individual execute this Assignment of his/her own free will for the purposes set forth herein.

Signature of witness: Silke Lutz June 3<sup>rd</sup>, 2009  
 Name of witness: [Print or Type Name] SILKE LUTZ Date

Signature of witness: [Signature] June 3<sup>rd</sup>, 2009  
 Name of witness: [Print or Type Name] Dr. Stefan Burger Date