

5/19/08

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U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

05-20-2009



103561141

To the Director of the U.S. Patent and Trademark Office

Documents or the new address(es) below.

1. Name of conveying party(ies)

Michael J. Hornback
Theophilus B. Terry III
Jerome A. Mehling

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: Bluegrass Environmental Septic Technology, LLC

Internal Address: _____

Street Address: 1616 East Rockford Lane

City: Louisville

State: Kentucky

Country: us Zip: 40216

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 12/8/06; 11/13/06; 12/8/06

- Assignment Merger
- Security Agreement Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other _____

4. Application or patent number(s):

This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

11/542,887

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Anthony P. Gangemi

Internal Address: Wiggin and Dana LLP

Street Address: One Century Tower
265 Church Street

City: New Haven

State: CT Zip: 06508-1832

Phone Number: (203) 498-4395

Fax Number: (203) 782-2889

Email Address: agangemi@wiggin.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 23-1665

Authorized User Name Anthony P. Gangemi

9. Signature:

/Anthony P. Gangemi/
Signature

May 19, 2009
Date

Anthony P. Gangemi
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 8

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA. 22313-1450

04-14-2009



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Electronic Version v1.1
Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT								
NATURE OF CONVEYANCE:	ASSIGNMENT								
CONVEYING PARTY DATA									
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Michael J Hornback</td> <td>12/08/2006</td> </tr> <tr> <td>Theophilus B Terry</td> <td>11/13/2006</td> </tr> <tr> <td>Jerome A Mehling</td> <td>12/08/2006</td> </tr> </tbody> </table>		Name	Execution Date	Michael J Hornback	12/08/2006	Theophilus B Terry	11/13/2006	Jerome A Mehling	12/08/2006
Name	Execution Date								
Michael J Hornback	12/08/2006								
Theophilus B Terry	11/13/2006								
Jerome A Mehling	12/08/2006								
RECEIVING PARTY DATA									
Name:	Bluegrass Environmental Septic Technology, LLC								
Street Address:	1616 East Rockford Lane								
City:	Louisville								
State/Country:	KENTUCKY								
Postal Code:	40216								
PROPERTY NUMBERS Total: 1									
<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>11542887</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	11542887				
Property Type	Number								
Application Number:	11542887								
CORRESPONDENCE DATA									
Fax Number:	(608)831-2106								
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>									
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ATTORNEY DOCKET NUMBER:	63359.001								
NAME OF SUBMITTER:	Marilyn Hanson								

Total Attachments: 4
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PATENT
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ASSIGNMENT

ASSIGNMENT made by Michael J. Hornback of 146 Clifton Hall Court, Shepherdsville, Kentucky 40165, Theophilus B. Terry III of 55 Thompson Way, Somerville, Tennessee 38068 and Jerome A. Mehling of 2694 Campion, Galena, Indiana 47119 (hereinafter the ASSIGNORS) to Bluegrass Environmental Septic Technology, LLC, a corporation organized under the laws of the State of Wisconsin, and located and doing business at 1616 East Rockford Lane, Louisville, Kentucky 40216 (hereinafter the ASSIGNEE).

For good and valuable consideration provided by ASSIGNEE to ASSIGNORS, the receipt of which is hereby acknowledged by ASSIGNORS:

The ASSIGNORS sell, assign and transfer to the ASSIGNEE and its successors and assigns the entire right, title and interest in the invention entitled WASTEWATER FILTER SYSTEM which was filed in the U.S. Patent and Trademark Office on October 4, 2006 and assigned serial number 11/542,887.

This sale and assignment extends to the application and any related substitute, refile, divisional, or continuation applications filed in the United States and elsewhere; any subsequent patent applications filed in the United States or elsewhere claiming the benefit of priority to this or any of the aforementioned applications; any patents issuing out of any of the aforementioned applications, and any reissues or extensions of such patents; and any other patent applications or patents relating to the invention set forth in the application identified above.

The ASSIGNORS covenant that they have the full right to convey the entire right, title and interest in the invention to the ASSIGNEE, and that they have not executed and will not execute any agreement in conflict with this ASSIGNMENT.

The ASSIGNORS covenant that they will at any time and upon request execute and deliver any and all papers that may be necessary or desirable to perfect the title in the invention, or the title in any application regarding the invention or in Letters Patent that may be granted for the invention. If the ASSIGNEE or its successors or assigns desire to make substitute, refile, divisional, or continuation applications for the invention, or to secure a reissue or extension of a Letters Patent for the invention, or to file a disclaimer relating to the invention, or to make an

application for a corresponding patent in any foreign country, the ASSIGNORS covenant that they will at any time and upon request sign all papers, make all rightful oaths, and do all lawful acts necessary for these purposes, but at the expense of the ASSIGNEE.

The ASSIGNORS acknowledge that their entire beneficial interest in the invention to which the above application relates is irrevocably vested in the ASSIGNEE, including the right to apply for protection in all countries abroad and the right to claim priority under any International Conventions for such applications, and assent to the making of any such applications and any substitutes, refiles, divisionals, or continuations resulting from any such applications, with or without priority claims.

The ASSIGNORS covenant that they will at all times communicate to the ASSIGNEE, its successors, assigns, and legal representatives, all facts relating to the invention and any applications or Letters Patent relating to the invention known to them, and testify as to these facts in interferences or other litigation when requested to do so, but at the expense of the ASSIGNEE.

Executed and delivered this 8th day of December 2006.

ASSIGNOR: Michael J. Hornback
Michael J. Hornback

State of Kentucky)
) ss.
County of Jefferson)

Personally appeared before me this 8th day of December, 2006, Michael J. Hornback, to me known to be the person whose name is subscribed to in the foregoing instrument, and acknowledged that he signed, sealed and delivered the said instrument as his free and voluntary act and deed for the uses and purposes therein set forth.

(SEAL)

Israel Owsilk
Notary Public
My Commission expires 6/17/2010

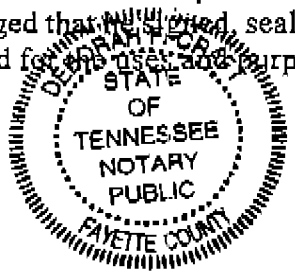
Executed and delivered this 13 day of November, 2006.

ASSIGNOR: Theophilus B. Terry III
Theophilus B. Terry III

State of Tennessee)
County of Fayette) ss.

Personally appeared before me this 13th day of Nov., 2006, Theophilus B. Terry III, to me known to be the person whose name is subscribed to in the foregoing instrument, and acknowledged that he signed, sealed and delivered the said instrument as his free and voluntary act and deed for the uses and purposes therein set forth.

(SEAL)



Dale J. Craft
Notary Public
My Commission expires _____
My Commission Expires June 1, 2009

Executed and delivered this 13th day of _____, 2006.

ASSIGNOR: _____
Jerome A. Mehling

State of Indiana)
County of _____) ss.

Personally appeared before me this ___ day of _____, 2006, Jerome A. Mehling, to me known to be the person whose name is subscribed to in the foregoing instrument, and acknowledged that he signed, sealed and delivered the said instrument as his free and voluntary act and deed for the uses and purposes therein set forth.

(SEAL)

Notary Public
My Commission expires _____

Executed and delivered this _____ day of _____, 2006.

ASSIGNOR: _____
Theophilus B. Terry III

State of Tennessee)
) ss.
County of _____)

Personally appeared before me this ____ day of _____, 2006, Theophilus B. Terry III, to me known to be the person whose name is subscribed to in the foregoing instrument, and acknowledged that he signed, sealed and delivered the said instrument as his free and voluntary act and deed for the uses and purposes therein set forth.

(SEAL)

Notary Public
My Commission expires _____

Executed and delivered this 8 day of December, 2006.

ASSIGNOR: Jerome A. Mehling
Jerome A. Mehling

State of Indiana)
) ss.
County of _____)

Personally appeared before me this 8th day of December, 2006, Jerome A. Mehling, to me known to be the person whose name is subscribed to in the foregoing instrument, and acknowledged that he signed, sealed and delivered the said instrument as his free and voluntary act and deed for the uses and purposes therein set forth.

(SEAL)

Bruce Oswald
Notary Public
My Commission expires 6/19/2009