

FORM PTO-1595 (Modified)  
(Rev. 03-09)  
OMB No. 0851-0027 (exp. 3/31/2009)  
P08/REV06

## RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

## PATENTS ONLY

To the Director of the United States Patent and Trademark Office: Please record the attached documents or the new address(es) below.

## 1. Name of conveying party(ies):

Stanford Surgical Technologies, Inc.

Additional names(s) of conveying party(ies) attached? ☐ Yes ☐ No

## 3. Nature of conveyance/Execution Date(s):

Execution Date(s): 7-14-1995

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other

## 4. Application or patent numbers(s):

A. Patent Application No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: Heartport, Inc.

Internal Address:

Street Address: 200 Chesapeake Drive

City: Redwood City

State: California

Country: USA

ZIP: 94063

Additional name(s) & address(es) attached? ☐ Yes ☒ No

☐ This document is being filed together with a new application.

B. Patent No.(s)

5,769,863

## 5. Name and address to whom correspondence concerning document should be mailed:

Name: Edwards Lifesciences Corporation

Internal Address: Legal Department - Keith Newbury

Street Address: One Edwards Way

City: Irvine

State: California ZIP: 92614

Phone Number: (949) 250-6803

Fax Number: (949) 250-6850

Email Address: marianne\_gaither@edwards.com

## 6. Total number of applications and patents involved: 1

## 7. Total fee (37 CFR 1.21(h) &amp; 3.41): \$40.00

- ☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

## 8. Payment Information:

Deposit Account Number 501225

Authorized User Name Keith Newbury

## 9. Signature:

  
Signature

8-24-09  
Date

Keith Newbury, Reg. No. 38,980

Name of Person Signing

Total number of pages including cover sheet, attachments, and document:

5

M02074(00)

Patent  
United States  
14635-XXX

PATENT ASSIGNMENT

WHEREAS, Stanford Surgical Technologies, Inc., a California corporation, having a principal place of business at 200 Chesapeake Drive, Redwood City, California 94063 (formerly of 440 Chesapeake Drive, Redwood City, California 94063), hereinafter referred to as "ASSIGNOR," is the record owner of the patents and patent applications identified in the attached Schedule.

WHEREAS, Heartport, Inc., a Delaware corporation, having a principal place of business at 200 Chesapeake Drive, Redwood City, California 94063, hereinafter referred to as "ASSIGNEE," wishes to confirm acquisition of and to acquire all interest of ASSIGNOR in said inventions and in Letters Patent that have been granted on the same, effective April 4, 1995.

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged by ASSIGNOR, ASSIGNOR has sold, assigned and transferred, and by these presents does sell, assign and transfer unto said ASSIGNEE and ASSIGNEE'S successors, all of ASSIGNOR'S right, title and interest in all said inventions and in and to said Letters Patent which may hereafter be granted or have been granted on the same in the United States and all other countries throughout the world, including any right to license and enforce rights for past infringement, said interest to be held and enjoyed by said ASSIGNEE as fully and exclusively as it would have been held and enjoyed by said ASSIGNOR had this Assignment not been made, to the full end and term of any Letters Patent which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

IN TESTIMONY WHEREOF, this Assignment is executed this 14<sup>th</sup> day of July, 19 95.

STANFORD SURGICAL TECHNOLOGIES, INC.

By: [Signature]  
Wesley D. Sterman

Title: President and C.E.O.

STATE OF CALIFORNIA )

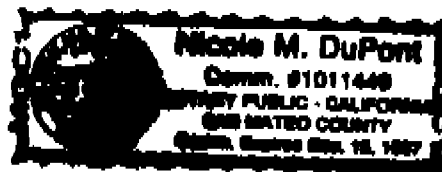
COUNTY OF SAN MATEO )

ss.

On July 14, 1995, before me, Nicole M. DuPont, Notary Public, personally appeared Wesley D. Sterman, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is are subscribed to the within instrument, and acknowledged to me that he she/they executed the same in his her/their authorized capacity(ies), and that by his her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

[Signature]  
NOTARY PUBLIC



My Commission Expires: 12-17-99

**Schedule of  
Stanford Surgical Technologies, Inc.  
U.S. Patent Properties**

Patent No. Issue Date	Serial No. File Date	Title	TTC/HT Docket Nos.
	08/261,167 06/17/94	Improved Surgical Stapling Instrument and Method Thereof	(Non TTC) 025
	08/023,778 02/22/93	Methods for Performing Thoracoscopic Coronary Artery Bypass (As amended)	14635-000300 005
	08/163,241 12/06/93	Devices and Methods for Intracardiac Procedures	14635-000310 010-CP
	08/173,899 12/27/93	Thoracoscopic Devices and Methods for Arresting the Heart	14635-000320 013-CP
	08/265,477 06/24/94	Endoscopic Vascular Clamping System and Method	14635-000330 017-CP
	08/376,330 01/20/95	Methods for Performing Thoracoscopic Coronary Artery Bypass (As amended)	14635-000340 005-D1
	08/415,273 04/03/95	Thoracoscopic Devices and Methods for Arresting the Heart	14635-000350 013-D1
	08/123,411 09/17/93	Endovascular System for Arresting the Heart	14635-000400 007-CP
	08/162,742 12/03/93	Cardiopulmonary Bypass System for Closed-Chest Intervention	14635-000410 012-CP
	08/135,387 10/08/93	Stereoscopic Percutaneous Visualization System	14635-000500 009
	08/227,366 04/13/94	Stereoscopic Percutaneous Visualization System	14635-000510 016-CP
	08/194,946 02/14/94	Endoscopic Microsurgical Instruments and Methods	14635-000700 014
	08/213,760 03/16/94	System for Performing a Cardiac Procedure	14635-000900 008

Schedule of  
Stafford Surgical Technologies, Inc.  
U.S. Patent Properties

Patent No. Issue Date	Serial No. File Date	Title	TTC/Hi Docket Nos.
	07/991,188 12/15/92	System for Cardiac Procedures	14635-001600 003-CP
	08/310,818 09/22/94	System for Cardiac Procedures	14635-001610 003-F1
	08/159,815 11/30/93	Method for Intraluminally Inducing Cardioplegic Arrest and Catheter for Use Therein	14635-002000 015
	08/427,384 04/24/95	Method for Intraluminally Inducing Cardioplegic Arrest and Catheter for Use Therein	14635-002010 015-D1
5,370,685 12/06/94	07/730,559 07/16/91	Endovascular Aortic Valve Replacement	14635-002100 002
	08/206,419 03/04/94	Endovascular Aortic Valve Replacement	14635-002110 002-D1
	08/281,891 07/28/94	Methods and Systems for Performing Thoracoscopic Coronary Bypass and Other Procedures	14635-002200 006-CP
	08/281,962 07/28/94	Devices and Methods for Introcatheter Procedures	14635-002300 011-CP
	08/282,192 07/28/94	System for Cardiac Procedures	14635-002400 004-CP
	08/288,674 08/10/94	Surgical Knot Pusher and Method of Use	14635-002600 020-CP
	08/298,646 08/01/94	Device and Method for Isolating a Surgical Site	14635-002700 018
	08/294,454 08/23/94	Endoscopic Retraction System and Method	14635-002800 019-CP
	08/336,359 11/08/94	Fluid-Evacuating Electrosurgical Device	14635-003100 024
	08/351,850 12/07/94	Cardioplegia Catheter System	14635-003300 021

[illegible]