

FORM PTO-1505 (Modified)
(Rev. 03-09)
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P09/REV08

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

PATENTS ONLY

To the Director of the United States Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

John H. STEVENS; William S. PETERS;
Wesley D. STERMAN; Hanson S. Gifford, III;
Philip C. EVARD; Timothy R. MACHOLD

Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): 7/13; 7/14; 7/15/1994

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

4. Application or patent numbers(s):

A. Patent Application No.(s)

2. Name and address of receiving party(ies):

Name: Stanford Surgical Technologies, Inc.

Internal Address: _____

Street Address: 440 Chesapeake Drive

City: Redwood City

State: California

Country: USA ZIP: 94063

Additional name(s) & address(es) attached? ☐ Yes ☒ No

☐ This document is being filed together with a new application.

B. Patent No.(s)

5,792,094

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Edwards Lifesciences Corporation

Internal Address: Legal Department - Keith Newbury

Street Address: One Edwards Way

City: Irvine

State: CA ZIP: 92614

Phone Number: (949) 250-6803

Fax Number: (949) 250-6850

Email Address: marianne_gaither@edwards.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41):

\$40.00

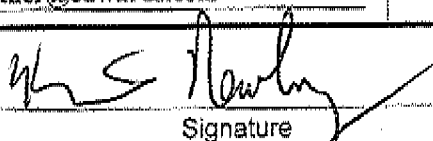
- ☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information:

Deposit Account Number 501225

Authorized User Name Keith Newbury

9. Signature:


Signature

8-24-09

Date

Keith Newbury, Reg. No. 38,980

Name of Person Signing

Total number of pages including cover sheet, attachments, and document:

5

Documents to be recorded (including cover sheet) should be faxed to (571)273-0140, or mailed to
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22315-1450

PATENT

700416170

REEL: 023134 FRAME: 0086

CH \$40.00 501225 5792094

TTKC Docket No. 14635-24/SST No. 92001-2

ASSIGNMENT OF PATENT APPLICATION**JOINT**

WHEREAS, John H. Stevens of 727E Loma Verde Ave., Palo Alto, CA 94303;
William S. Peters of 130 Farm Rd., Woodside, CA 94062;
Wesley D. Sterman of 2121 Sacramento St. #604, San Francisco, CA 94109;
Hanson S. Gifford, III of 3180 Woodside Road, Woodside, CA 94062;
Philip C. Evard of 3192 Bryant St., Palo Alto, CA 94306; and
Timothy R. Machold of 65 Bernal Ave., Moss Beach, CA 94038;

hereinafter referred to as "Assignors", are the inventors of the invention described and set forth in the below identified application for United States Letters Patent:

Title of the Invention: **SYSTEM FOR CARDIAC PROCEDURES**

Date(s) of Execution: 7/13; 7/14; 7/15/94

Filing date: Herewith Serial No.: Not yet assigned; and

WHEREAS, STANFORD SURGICAL TECHNOLOGIES, INC., a California Corporation, located at 440 Chesapeake Drive, Redwood City, California 94063, hereinafter referred to as "Assignee", is desirous of acquiring an interest in the invention and application and in any Letters Patent and Registrations which may be granted on the same:

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents does assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and all future improvements thereon, and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interests to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of US. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

REEL 120 PAGE 29

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.



JOHN H. STEVENS

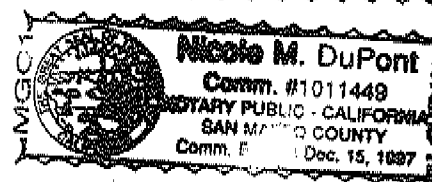
Date: 7/14/94

STATE OF CALIFORNIA)
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COUNTY OF SAN MATEO)

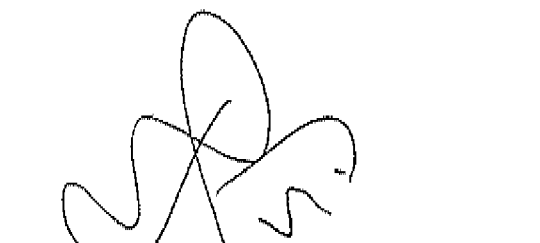
On July 14, 1994 before me Nicole M. DuPont, Notary Public, personally appeared John H. Stevens, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument, the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.


Nicole M. DuPont, Notary Public



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WILLIAM S. PETERS

Date: 7.14.94.

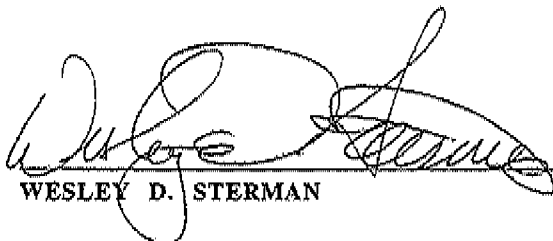
STATE OF CALIFORNIA)
)
COUNTY OF SAN MATEO)

On July 14, 1994 before me Nicole M. DuPont, Notary Public, personally appeared William S. Peters, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument, the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.


Nicole M. DuPont, Notary Public




 WESLEY D. STERMAN

Date: 7/15/94

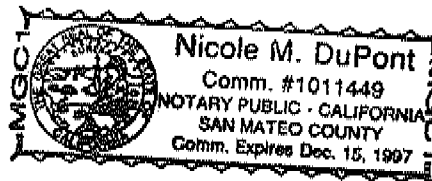
STATE OF CALIFORNIA)

COUNTY OF SAN MATEO)

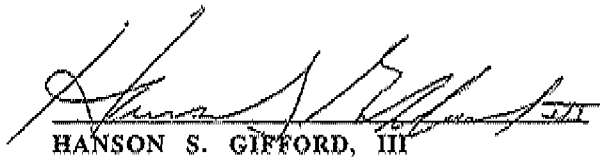
On July 15, 1994 before me Nicole M. DuPont, Notary Public, personally appeared Wesley D. Sterman, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument, the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.


 Nicole M. DuPont, Notary Public



REEL 120 FRAME 31


 HANSON S. GIFFORD, III

Date: 7/15/94

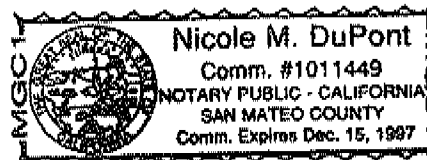
STATE OF CALIFORNIA)

COUNTY OF SAN MATEO)

On July 15, 1994 before me Nicole M. DuPont, Notary Public, personally appeared Hanson S. Gifford, III, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument, the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.


 Nicole M. DuPont, Notary Public



Philip C. Evard
 PHILIP C. EVARD

Date: 7/14/1994

STATE OF CALIFORNIA)
)
 COUNTY OF SAN MATEO)

On July 14, 1994 before me Nicole M. DuPont, Notary Public, personally appeared Philip C. Evard, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument, the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Nicole M. DuPont
 Nicole M. DuPont, Notary Public



REEL 120 FRAME 32

Timothy R. Machold
 TIMOTHY R. MACHOLD

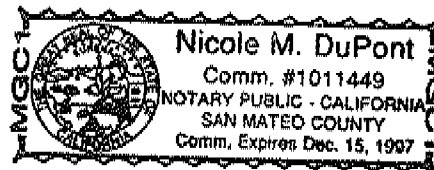
Date: 7/13/94

STATE OF CALIFORNIA)
)
 COUNTY OF SAN MATEO)

On July 13, 1994 before me Nicole M. DuPont, Notary Public, personally appeared Timothy R. Machold personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument, the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Nicole M. DuPont
 Nicole M. DuPont, Notary Public



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JUL 28 1994