

Attorney Docket Nos. 40166/00801 (P200707) and 40166/00601 (P200659)

FORM PTO 1585

RECORDATION FORM COVER SHEET
 U.S. DEPARTMENT OF COMMERCE
 Patent and Trademark Office
PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Babcock-Hitachi Europe GmbHAdditional name(s) of conveying parties attached? ☐ Yes x No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
☐ Security Agreement ☒ Change of Name
☐ Other _____

Execution dates: March 31, 2006

2. Name and address of receiving party(ies)

Name: **Hitachi Power Europe GmbH**

Street Address:

Duisberger Str. 375
 46049 Oberhausen, Germany

4. Application numbers or patent numbers:

A. Patent Applications:

B. Patent No.(s): 5,651,320 and 5,799,595Additional Numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Oleg F. Kaplun, Esq.
 Internal Address: Fay Kaplun & Marcin, LLP

Street Address: 150 Broadway, Suite 702
 City: New York State: New York ZIP: 10038

6. Total number of applications and patents involved: 27. Total fee (37 C.F.R. 3.41)\$ 80.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account : 50-1492

8. Deposit account number: 50-1492**DO NOT USE THIS SPACE**

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Oleg F. Kaplun, (Reg. No. 45,559)

Name of Person Signing

Signature

August 20, 2009

Date

Total Number of pages including cover sheet, attachments, and document: 9

OMB No. 0651-0011 (exp. 4/94)

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Mail documents to be recorded with required cover sheet information to:

Mail Stop: Assignments
Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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PATENT
REEL: 023148 FRAME: 0423



- Translation from German -

Duisburg District Court

HRB 13579

Official chronological printout
of January 29, 2008, 11:06:40

This printout gives evidence of the contents of the commercial register.

This printout is not signed and is considered as a certified copy.

Wagner
Judicial employee

(stamp:) Duisburg District Court



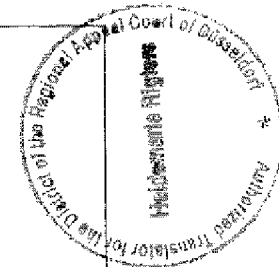
Commercial Register B of Duisburg District Court

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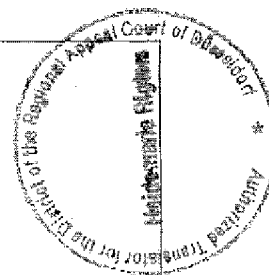
No. of entry	a) Company b) Registered office, place of business, branch establishment c) Object of company	Capital stock or share capital	a) General representation ruling b) Management board, managerial organ, head managers, personally liable partner, managing directors, authorised representatives and special representative authority	Procuration	a) Legal form, commencement, by-laws or articles of association b) Other legal relations	a) Date of entry and signature b) Remarks
1	2	3	4	5	6	7
1	a) Babcock Borsig Power Systems GmbH b) Oberhausen c) The object of the company is the world-wide planning of thermal plants in the area of power engineering, the supervision of erection and the commissioning of such plants. The company may transact all kinds of business and take all measures which are useful and suitable for the object of the company and serve its purpose. The company is entitled to participate in similar or other companies, to establish or to acquire such companies and to set up agencies, branch establishments and subsidiaries at home and abroad. The company is entitled to combine companies in which it holds a share under its uniform management and/or to confine itself to the administration of the shareholdings and to conclude intercompany agreements of any type as well as to hive off its business in whole or in part to such companies or to transfer it to such companies.	30.025.000,00 Euro	a) The company has one or several managing directors, if only one managing director has been appointed, he shall represent the company alone. If several managing directors have been appointed, the company will be jointly represented by two managing directors or by one managing director together with a person holding full commercial power. In a meeting of shareholders each managing director can be given authority to represent the company individually and to transact business on behalf of the company either with himself in his own name or as a representative of a third party. b) Managing director: Tetsuo, Mura, Hiroshima/Japan, born on 29-03-1949, with authority to engage in transactions on behalf of the company with himself as a representative of a third party. Managing director: Renner, Klaus Dieter, Düsseldorf, born on 06-07-1953, with authority to engage in transactions on behalf of the company with himself as a representative of a third party. Managing director: Shinya One, Hiroshima/Japan, born on 24-03-1959, with authority to engage in transactions on behalf of the company with himself as a representative of a third party.	Collective commercial power together with a managing director or with another person holding full commercial power Boeck, Herbert, Strahlen, born on 09-02-1955 Häusler, Udo, Essen, born on 01-04-1945 Herning, Heinrich, Essen, born on 30-08-1952 Knizik, Edmund, Oberhausen, born on 21-04-1947 Maink, Karl-Heinz, Oberhausen, born on 09-04-1949 Matamoros, Norbert, Bochum, born on 04-07-1948 Michler, Herbert, Mülheim, born on 23-05-1946 Oxford, Thomas, Bergisch Gladbach, born on 12-02-1958 Sautter-Fries, Thomas, Essen, born on 03-12-1956 Schmitz, Klaus, Geldern, born on 16-02-1954 Speh, Ernst, Hilden, born on 29-10-1950 Wegelin, Rüdiger, Lünen, born on 07-02-1952 Becker, Martin, Köln, born on 13-11-1959 Coers, Hubert, Mülheim/Ruhr, born on 05-02-1941 Ehlers, Christoph, Bad Schwartau, born on 20-04-1971 Grobosch, Reinhard, Bottrop, born on 13-10-1951 Helmann, Heribert, Oberhausen, born on 07-01-1952 Klein, Bernd, Gummersbach, born on 01-10-1960 Krampe, Ulrich, Ratingen, born on 10-12-1951	a) Limited liability company Articles of association dated 16-11-2000, latest change on 31-03-2003	a) 18-07-2003 Schürken b) Date of first registration in Oberhausen: 13-08-2001 This sheet has been re-written for continued registration by electronic data processing, and with the simultaneous change of local responsibility it has replaced the former registration sheet HR B 3834 of the Oberhausen District Court. Sheets 111 ff special volume:



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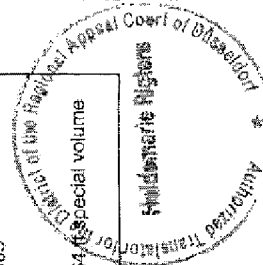
Retrieved on 29.1.2008, 11:06 Page 2 of 6

No. of entry	a) Company b) Registered office, place of business, branch c) Object of company	Capital stock or share capital	a) General representation ruling b) Management board, managerial organ, head managers, personally liable partner, managing directors, authorised representatives and special representative authority	Procuration	a) Legal form, commencement, by-laws or articles of association b) Other legal relations	a) Date of entry and signature b) Remarks
1	2	3	4	5	6	7
				Nigmann, Klaus, Wühl, born on 04-04-1946 Dr. Tigges, Klaus-Dieter, Naustadt, born on 21-09-1954 Eickhoff, Rolf, Moers, born on 31-05-1954 Schwengers, Michael, Dinslaken, born on 01-11-1956 Musel, Johannes, Krefeld, born on 12-12-1954 Gray, Peter, Mülheim/Ruhr, born on 31-12-1949		
2			Appointed as managing director: Schmitz, Nikolaus Johannes, Geldern, born on 16-02-1954	Commercial power expired: Oxfort, Thomas, Bergisch Gladbach, born on 12-02-1956		a) 20-08-2003 Spüler b) Sheets 1 ff special volume;
3				Commercial power expired: Ehlers, Christoph, Bad Schwartau, born on 20-04-1971	a) The meeting of shareholders of 02-09-2003 decided a modification of § 3 (Duration of the company and financial year) and § 4 (Share capital) of the articles of association.	a) 08-09-2003 Kassen b) Sheets 6-25 special volume;
4				Collective commercial power together with a managing director or with another person holding full commercial power Holzer Hermann, Ratingen, born on 26-04-1947		a) 15-10-2003 Spüler b) Sheets 26 ff special volume;



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No. of entry	a) b) c)	Company Registered office, place of business, branch establishment Object of company	Capital stock or share capital	a) b)	General representation ruling organ, head managers, personally liable partner, managing directors, authorised representatives and special representative authority	Procuration	a) b)	Legal form, commencement, by-laws or articles of association Other legal relations	a) b)	Date of entry and signature Remarks
1		2	3	4	5	6	7			
5	a) b) c)	Sabcock-Hitachi Europe GmbH				Commercial power expired: Matamoros, Norbert, Bochum, born on 04-07-1948 Commercial power expired: Schmitz, Klaus, Gelsen, born on 16-02-1954 Commercial power expired: Schwengers, Michael, Dinslaken, born on 01-11-1956	a) b)	The meeting of shareholders of 17-12-2003 decided a modification of the 1 st para. of § 1 of the articles of association and thus a change of its corporate name. The articles of association were modified and reworded.	a) b)	20-07-2004 Rausch Sheets 28 ff special volume;
6						Collective commercial power together with a managing director or with another person holding full commercial power: Konstantinovic, Marco, Oberhausen, born on 21-08-1974	a) b)		a) b)	11-03-2004 Spüler Sheets 58 ff special volume
7						Collective commercial power together with a managing director or with another person holding full commercial power: Maubach, Alfred, Troisdorf, born on 04-10-1956	a) b)		a) b)	29-06-2004 Spüler Sheets 60 ff special volume
8						Commercial power expired: Coers, Hubert, Mülheim/Ruhr, born on 05-02-1941	a) b)		a) b)	18-01-2005 Spüler Sheets 62 ff special volume
9						Collective commercial power together with a managing director or with another person holding full commercial power: Bischoff, Werner, Oberhausen, born on 23-08-1949	a) b)		a) b)	05-07-2005 Spüler Sheets 64 ff special volume

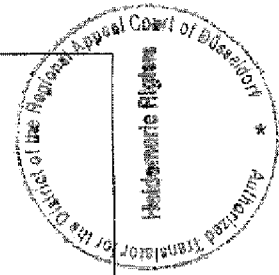


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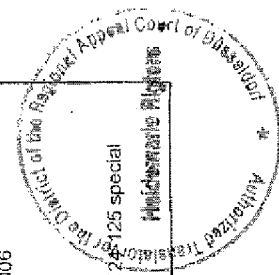
No. of entry	a) b) c)	Company Registered office, place of business, branch establishment Object of company	Capital stock or share capital	a) General representation ruling b) Management Board, managerial organ, head managers, personally liable partner, managing directors, authorised representatives and special representative authority	Procuration	a) Legal form, commencement, by-laws or articles of association b) Other legal relations	a) Date of entry and signature b) Remarks
1		2	3	4	5	6	7
10					Collective commercial power together with a managing director or with another person holding full commercial power: Dr. Reidick, Andreas, Oberhausen, born on 15-05-1961		a) 17-08-2005 Spüler b) Sheets 66 ff special volume
11				b) No longer managing director: Tetsuo, Mimura, Hiroshima/Japan, born on 29.03.1949 Appointed as managing director: Shigehiro, Katsuya, Düsseldorf, born on 06-05-1950			a) 13-09-2005 Spüler b) Sheets 68 ff special volume
12					Collective commercial power together with a managing director or with another person holding full commercial power: Klimanek, Dirk, Dinslaken, born on 11-09-1965		a) 10-10-2005 Spüler b) Sheets 73 ff special volume
13					Collective commercial power together with a managing director or with another person holding full commercial power: Braun, Jörg, Ratingen, born on 05-08-1960		a) 17-11-2005 Metz b) Sheets 75 ff special volume



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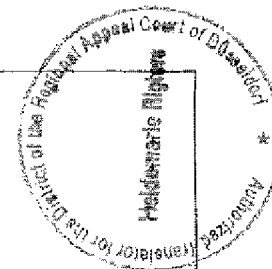
Retrieved on 29.1.2008, 11:06 Page 5 of 6

No. of entry	a) Company b) Registered office, place of business, branch establishment c) Object of company	Capital stock or share capital	a) General representation ruling b) Management board, managerial organ, head managers, personally liable partner, managing directors, authorised representatives and special representative authority	Procuration	a) Legal form, commencement, by-laws or articles of association b) Other legal relations	a) Date of entry and signature b) Remarks
1	2	3	4	5	6	7
14	a) Hachi Power Europe GmbH	48,000,000.00 EUR			a) The meeting of shareholders of 27-03-2006 has decided a change of § 1 of the articles of association (Name of the company and registered office) and thereby a change of the name of the company. Furthermore the meeting of shareholders of 27-03-2006 has decided a change of § 4 of the articles of association (Share capital) and thereby an increase of the share capital by EUR 17,975,000.00 The meeting of shareholders of 27-03-2006 has decided a change of § 5 of the articles of association (Management and representation).	a) 31-03-2006 Dr. Rausch b) Sheets 77-116 special volume
15				Collective commercial power together with a managing director or with another person holding full commercial power: Mizuno, Kentaro, Düsseldorf, born on 09-12-1957 Kawata, Hideyo, Düsseldorf, born on 16-11-1956		a) 29-04-2006 Meiz b) Sheets 117 ff special volume
16				Collective commercial power together with a managing director or with another person holding full commercial power: Helemann, Gerd, Oberhausen, born on 17-04-1954 Sato, Kazuo, Kashiwa Shi, Chiba Ken (Japan), born on 02-11-1956		a) 10-05-2006 Spüler b) Sheets 120 ff special volume
17				Collective commercial power together with a managing director or with another person holding full commercial power: Tsuyama, Masaki, Aika City Chiba (Japan), born on 22-09-1955		a) 17-05-2006 Spüler b) Sheets 125-126 special volume



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1	2	3	4	5	6	7
18				Collective commercial power together with a managing director or with another person holding full commercial power: Schreier, Wolfgang, Scherneck, born on 11-01-1956		a) 13-06-2006 Spüler b) Sheets 126-127 special volume
19	c) 1. The object of the company is the world-wide planning and construction of thermal plants in the area of power engineering, the supervision of erection and the commissioning of such plants. 2. The company may transact all kinds of business and take all measures which are useful and suitable for the object of the company and serve its purpose. 3. The company is entitled to participate in similar or other companies, to establish or to acquire such companies and to set up agencies, branch establishments and subsidiaries at home and abroad. 4. The company is entitled to combine companies in which it holds a share under its uniform management and/or to confine itself to the administration of the shareholding(s) and to conclude intercompany agreements of any type as well as to hive off its business in whole or in part to such companies or to transfer it to such companies.				a) The meeting of shareholders of 24-04-2007 decided a change of the articles of association in § 2, item 1 and thereby the change of the object of the company. Furthermore a change was made in § 7 (Supervisory Board), § 6, item 2 (Transactions Subject to Consent) and § 10, items 1 and 2 (Annual Financial Statements) and the articles of association were renumbered.	a) 18-05-2007 Dr. Rausch



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1	2	3	4	5	6	7
20				Collective commercial power together with a managing director or with another person holding full commercial power Michter, Martin, Oberhausen, born on 10-10-1955		a) 28-06-2007 Spüler
21				Collective commercial power together with a managing director or with another person holding full commercial power Aihara Tsuyoshi, Düsseldorf, born on 04-01-1962		a) 23-07-2007 Spüler
22	b) Duisburg		b) Appointed as managing director: Marschewski, Wolfgang, Dortmund, born on 17-03-1958		a) The meeting of shareholders of 05-11-2007 decided a change of the articles of association in § 1 (Corporate Name and Registered Office) and thereby a move of its registered office to Duisburg.	a) 28-11-2007 Dr. Rausch

The correctness and completeness
of foregoing translation from
German is hereby certified.

09.02.08

R. R. J. J.

