

8/25/09

Attorney Docket No.: 50124/05002 (LUD01-04US2)

FORM PTO 1585

08-26-2009



103572029

COVER SHEET

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Nandor LUDVIG, Richard RIZZOLO, Hai M. TANG, Ruben I. KUZNIECKY and Werner K. DOYLEAdditional name(s) of conveying parties attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: NEW YORK UNIVERSITY

Street Address:

70 Washington Square South
New York, NY 10012

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other: _____

Execution date: July 22, 2009, July 27, 2009, July 22, 2009,
July 22, 2009 and July 22, 2009

4. Application numbers or patent numbers:

A. Patent Applications: 12/506,638 filed on July 21, 2009

B. Patent No.(s)

Additional Numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Oleg F. Kaplun, Esq.
Internal Address: Fay Kaplun & Marcin, LLPStreet Address: 150 Broadway, Suite 702
City: New York State: New York ZIP: 10038

6. Total number of applications and patents involved: 1

TITLE: **Microelectrode-Equipped Subdural
Therapeutic Agent Delivery Strip**

7. Total fee (37 C.F.R. 3.41) \$ 40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number:

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Oleg F. Kaplun, (Reg. No. 45,559)

Name of Person Signing

Signature

August 19, 2009
Date

Total Number of pages including cover sheet, attachments, and document: 8

OMB No. 0651-0011 (exp. 4/94)

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Mail documents to be recorded with required cover sheet information to:

**Mail Stop: Assignment
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08/25/2009 MJAMA1 00000022 12506638
01 FC:0021 40.00 OP

PATENT

REEL: 023149 FRAME: 0045

ASSIGNMENT

WHEREAS, I/We,

Nandor LUDVIG
87-86 116th Street Apt. D9
Richmond Hill, NY 11418
Citizenship: United States of America

Richard RIZZOLO
564 S. Dora Str.
Ukiah, CA 95482
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Brooklyn, NY 11214
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Ruben I. KUZNIECKY
12 Sutton Place
Englewood, NJ 07631
Citizenship: United States of America

Werner K. DOYLE
403 East 34th Street
New York, NY 10016
Citizenship: United States of America

(hereinafter also referred to as "ASSIGNOR"); have invented new and useful inventions and discoveries in "**Microelectrode-Equipped Subdural Therapeutic Agent Delivery Strip**", for which U.S. Non-Provisional Patent Application was filed on **July 21, 2009** and assigned **Serial No. 12/506,638**; and which claims priority to U.S. Provisional Patent Application Serial No. 61/082,706 filed on July 22, 2008; and

WHEREAS, **NEW YORK UNIVERSITY**, organized and existing under and by virtue of the laws of the State of New York, having an office at 70 Washington Square South, New York, NY 10012, (hereinafter referred to as "the Assignee"), is desirous of acquiring the entire right, title and interest in and to the application and invention therein described and claimed and any Letters Patent that may be issued upon the application or for the improvements therein contained.

NOW, THEREFORE, in consideration of One U.S. Dollar (U.S. \$1.00) and other valuable consideration furnished by Assignee to us, receipt and sufficiency of which we hereby acknowledge, we hereby, without reservations:

1. Assign, transfer, and convey to Assignee the entire right, title, and interest in and to said inventions and discoveries, said application, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation, and Convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues, and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications, and said Letters Patent.

2. Authorize Assignee to file patent applications in any or all countries for any or all of said inventions and discoveries in my name or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise.

3. Authorize and request the Commissioner for Patents of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title, and interest therein or otherwise as Assignee may direct.


4. Warrant that we have not knowingly conveyed to others any right in said inventions, discoveries, applications, or patents or any license to use the same or to make, use, or sell anything embodying or utilizing any of said inventions or discoveries; and that we have good right to assign the same to Assignee without encumbrance.

5. Bind our heirs, legal representatives, and assigns, as well as ourselves, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to us or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications, and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by us, our heirs, legal representatives, and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to us relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples, and other physical exhibits in our control or in the control of our heirs, legal representatives, or assigns which may be useful for establishing the facts of our conceptions, disclosures, and reduction to practice of said inventions and discoveries.

Attorney Docket No.: 50124/05002 (LUD01-04US2)

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 22 day

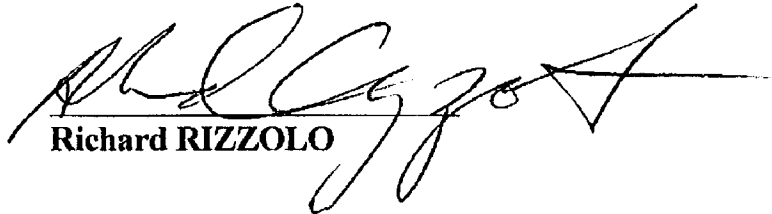
of July, 2009.



Nandor LUDVIG

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 27 day

of July, 2009.


Richard RIZZOLO

Attorney Docket No.: 50124/05002 (LUD01-04US2)

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 22 day

of July, 2009.



Hai M. TANG

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 22nd day

of July, 2009.



Ruben I. KUZNIECKY

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 22 day

of July, 2009.

A handwritten signature in black ink, appearing to read 'Werner K. Doyle', written over a horizontal line.

Werner K. DOYLE