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Client Code: DOHENY.025VPC

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1. Name of conveying party(ies): (List using letters or numbers for multiple parties) 1.) Alexander C. Walsh 2.) Paul G. Updike 3.) Srinivas R. Sadda Additional name(s) of conveying party(ies) attached? () Yes (X) No 3. Nature of conveyance:	2. Name and address of receiving party(ies): Name: DOHENY EYE INSTITUTE Internal Address: 1450 San Pablo Street, #3000 City: Los Angeles State: CA ZIP: 90033 Additional name(s) of receiving party(ies) attached? () Yes (X) No						
(X) Assignment () Security Agreement () Merger () Change of Name () Other: Execution Date: (List as in section 1 if multiple signatures) 1.) August 12, 2009 2.) August 13, 2009 3.) August 13, 2009	4. US or PCT Application number(s) or US Patent number(s): (X) Patent Application No.: PCT/US2009/051073 Filing Date: July 17, 2009 Additional numbers attached? () Yes (X) No						
 5. Party to whom correspondence concerning document should be mailed: Customer No. 20,995 Address: Knobbe, Martens, Olson & Bear, LLP 2040 Main Street, 14th Floor Irvine, CA 92614 Return Fax: (949) 760-9502 Attorney's Docket No.: DOHENY:025VPC 	Total number of applications and patents involved: 1						
7. Total fee (37 CFR 1.21(h)): \$40 (X) Authorized to be charged to deposit account	8. Deposit account number: 11-1410 Please charge this account for any additional fees which may be required, or credit any overpayment to this account.						
is a true copy of the original document. Thomas Y. Yee Name of Person Signing 57,013 Registration No.	g information is true and correct, and any attached copy ature 2 14 2349 Date sheet, attachments and document: 4						

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ASSIGNMENT AGREEMENT Client Code: DOHENY.025VPC

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Application No.: PCT/US2009/051073

Filing Date: July 17, 2009

ASSIGNMENT AGREEMENT

THIS ASSIGNMENT AGREEMENT ("Agreement") is effective as of July 17, 2009 and is by Alexander C. Walsh, a U.S. citizen residing in Los Angeles, California, Paul G. Updike, a U.S. citizen residing in Santa Barbara, California, and Srinivas R. Sadda, a U.S. citizen residing in Pasadena, California ("ASSIGNOR").

WHEREAS, ASSIGNOR has conceived of an invention ("Invention") disclosed in an international patent application entitled OPTICAL COHERENCE TOMOGRAPHY BASED OPHTHALMIC TESTING METHODS, DEVICES AND SYSTEMS and filed in the United States Receiving Office of the Patent Cooperation Treaty ("PCT"), on July 17, 2009 as International Application No. PCT/US2009/051073 ("Application");

WHEREAS, DOHENY EYE INSTITUTE, a California non-profit public benefit corporation having offices at 1450 San Pablo Street, #3000, Los Angeles, California 90033 ("ASSIGNEE") desires to acquire and confirm the entire right, title, and interest in and to the Invention and the Application, as well as all related intellectual property rights as further set forth herein.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, ASSIGNOR agrees to assign and does hereby assign, transfer, and set over to ASSIGNEE, its successors, legal representatives, and assigns, to the extent not already done so to ASSIGNEE, the entire right, title, and interest throughout the world in and to each of the following:

- A. The Invention, including without limitation any improvements thereto, whether conceived and/or reduced to practice by ASSIGNOR alone or jointly with anyone else;
- B. The Application, including without limitation any of ASSIGNOR'S inventions that may be disclosed therein, and any other applications, whether filed in the U.S., a foreign country, or under the PCT, in which the Invention is disclosed; any and all national and regional phase applications thereof in the United States and any other PCT-member state, all provisional and nonprovisional applications relating to the Application or claiming the benefit thereof that have been or may hereafter be filed in the United States or in any PCT-member state and all continuations, divisionals, and continuations in part of the Application (collectively, "Related Applications"); and all U.S. and foreign patents which may be granted on the Application and the Related Applications, and all reissues, re-examinations, and extensions of such patents.
- C. Any other related intellectual property rights such as, but not limited to, copyright rights, copyrightable subject matter, know how, trade secrets, copyright registrations, reproduction rights, and waives any and all moral rights under 17 U.S.C. § 106A or otherwise.
- D. Those items of ASSIGNOR'S tangible property embodying or describing the Invention, including without limitation all documents, drawings, prototypes, models, test results, designs, materials, computer programs and data, and the like, which, if not presently in the possession of ASSIGNEE, will be delivered to ASSIGNEE immediately upon request.
- E. All causes of action for infringement of, all damages for, and all remedies for all rights related to the Invention, the Application, and/or the Related Applications, and all legal rights to enforce the same against third parties and to retain the entire proceeds therefrom, whether accruing before or after this Assignment.

ASSIGNOR AGREES, without further consideration or compensation, to communicate to ASSIGNEE, its successors, legal representatives, and assigns any facts of which ASSIGNOR has

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ASSIGNMENT AGREEMENT

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knowledge respecting the Invention, Application, or Related Applications; to assist in the preparation of any other applications relating to the invention, to testify in any legal proceeding; to sign all documents, make all rightful oaths and declarations; and to generally do everything possible to aid ASSIGNEE, its successors, legal representatives, and assigns in obtaining and enforcing patents for the Invention in all countries. ASSIGNEE agrees to reimburse ASSIGNOR'S reasonable expenses in carrying out his/her obligations under this Agreement, but only upon ASSIGNEE'S prior written approval of such expenses which in no event shall include ASSIGNOR'S time or legal expense.

AND ASSIGNOR FURTHER AGREES AS FOLLOWS:

- A. This Agreement is binding on ASSIGNOR, its officers, agents, employees, heirs, successors, assigns, affiliates, and those entities acting under its direction and control, and shall inure to the benefit of ASSIGNEE, its successors and assigns. This Agreement, and the rights and obligations arising hereunder, are not assignable or transferable by ASSIGNOR, by operation of law or otherwise, and any attempt to do so shall be null and void. This Agreement is fully assignable by ASSIGNEE. The obligations set forth in this Agreement shall survive the term of any employment agreement or any other affiliation between the ASSIGNEE and ASSIGNOR.
- B. If ASSIGNOR cannot be located or is unable or unwilling to sign documents as required hereunder, ASSIGNOR agrees to and does hereby appoint ASSIGNEE as ASSIGNOR'S attorney-in-fact for the limited purpose of executing all documents and performing all other acts necessary to give effect and legality to the provisions of this Agreement. ASSIGNOR acknowledges that this appointment is coupled with an interest and is irrevocable.
- C. This Agreement shall be governed and construed in accordance with the laws of the state of California, U.S.A. without regard to conflicts of law provisions. The exclusive jurisdiction for any legal proceeding regarding this Agreement shall be in the in the state or federal courts of California, in the county of Orange, and the parties expressly agree that jurisdiction and venue are proper in said courts. In the event that any legal action becomes necessary to enforce or interpret the terms of this Agreement, the prevailing party shall be entitled, in addition to its court costs, to such reasonable attorneys' fees, expert witness fees and legal expenses as shall be fixed by a court of competent jurisdiction.
- D. ASSIGNOR acknowledges that, to the best of his or her knowledge, the Invention is patentable, and further agrees not to take any action, or to assist or request any third party, in, challenging or opposing, on any grounds whatsoever, ASSIGNEE'S rights granted under this Agreement, or the validity or enforceability of such rights. ASSIGNOR further acknowledges that ASSIGNEE'S patent counsel presenting this Agreement does not represent ASSIGNOR personally, and ASSIGNOR has the right to seek independent counsel of his or her choosing. No course of conduct or dealing between the parties shall act as an amendment, modification or waiver of any provision of this Agreement, and only an amendment, modification or waiver which is contained in a written agreement signed by both ASSIGNEE and ASSIGNOR shall be effective.

(The remainder of this page is intentionally left blank. The signature page(s) follows.)

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ASSIGNMENT AGREEMENT

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á	IN	TESTIMONY	WHEREOF,	I	hereunto	set	my	hand	and	seal	this	139	day	of

Alexander C. Walsh

IN TESTIMONY August, 2009.	WHEREOF, I	hereunto	set my	y hand	and	seal	this	13th	day	of
			n		· · · · · · · · · · · · · · · · · · ·	· ·······	- <u></u>			
		Pau	ıl G. Upo	dike	-					•

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 1375 day of Srinivas R. Sadda

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RECORDED: 09/14/2009

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