## Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	

### **CONVEYING PARTY DATA**

Name	Execution Date
Jay Lichter	07/27/2009
Benedikt Vollrath	07/27/2009
Sergio G. Duron	07/27/2009
Fabrice Piu	07/27/2009
Luis A. Dellamary	07/27/2009
Qiang Ye	07/27/2009
Carl Lebel	07/27/2009
Andrew M. Trammel	07/31/2009
Michael Christopher Scaife	07/27/2009

## **RECEIVING PARTY DATA**

Name:	Otonomy, Inc.	
Street Address:	5626 Oberlin Drive	
Internal Address:	Suite 100	
City:	San Diego	
State/Country:	CALIFORNIA	
Postal Code:	92121	

## PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	12506664

## **CORRESPONDENCE DATA**

Fax Number: (650)493-6811

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 650-493-9300

Email: mhostetler@wsgr.com
Correspondent Name: Michael J. Hostetler
Address Line 1: 650 Page Mill Road

PATENT 500970492 REEL: 023272 FRAME: 0469

12506664

Address Line 4: Palo Alto, CALIFORNIA 94304			
ATTORNEY DOCKET NUMBER:	37173-715.201		
NAME OF SUBMITTER:	Michael J. Hostetler		
Total Attachments: 7 source=37173-715-201-ExecutedAssignment-Otonomy#page1.tif source=37173-715-201-ExecutedAssignment-Otonomy#page2.tif source=37173-715-201-ExecutedAssignment-Otonomy#page3.tif source=37173-715-201-ExecutedAssignment-Otonomy#page4.tif source=37173-715-201-ExecutedAssignment-Otonomy#page5.tif source=37173-715-201-ExecutedAssignment-Otonomy#page6.tif source=37173-715-201-ExecutedAssignment-Otonomy#page7.tif			

PATENT REEL: 023272 FRAME: 0470

Docket Number 37173-715.201

WHEREAS, the undersigned:

- 1. LICHTER, Jay P.O. Box 676244 Rancho Santa Fe, CA 92067
- VOLLRATH, Benedikt 4704 Niagara Avenue San Diego, CA 92107
- DURON, Sergio G. 1605 Neale Street San Diego, CA 92103
- PIU, Fabrice
   11859 Stonedale Ct.
   San Diego, CA 92131

- 5. DELLAMARY, Luis A. 829 Quiet Hills Drive San Marcos, CA 92069
- 6. YE, Qiang 7395 Mannix Court San Diego, CA 92129
- LEBEL, Carl
   23256 Mariposa de Oro St.
   Malibu, CA 90265

(hereinafter "Inventor(s))," have invented certain new and useful improvements in

## CONTROLLED RELEASE ION CHANNEL MODULATOR COMPOSITIONS AND METHODS FOR THE TREATMENT OF OTIC DISORDERS

or which Application No. 12/506.664 was filed on July 21, 2009 in the United States Patent Office;

(hereinafter "Application(s)").

WHEREAS, <u>Quonomy, Inc.</u>, a corporation of the State OR Commonwealth of <u>Delaware</u>, having a place of business at <u>5626 Oberlin Drive. Suite 100, San <u>Diego, CA</u>, <u>92121</u>, (hereinafter "Assignee"), is desirous of acquiring the entire right, title and interest in and to said Application(s) and the inventions disclosed therein, and in and to all embodiments of the inventions, heretofore conceived, made or discovered, whether jointly or severally, by said Inventor(s) (hereinafter collectively referred to as "Inventions"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter "Patent(s)") thereon granted in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty.</u>

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor(s) to have been received in full from said Assignee:

- Said Inventor(s) do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said Inventions, including the right to claim priority to said Inventions; (b) in and to all rights to all United States and corresponding non-United States patent applications and Patent(s), including those filed under the Paris Convention for the Protection of Industrial Property. The Patent Cooperation Treaty or otherwise; (c) in and to any and all applications filed and any and all Patent(s) granted on said Inventions in the United States, in any foreign country, or under any international convention, agreement, protocol, or treaty, including each and every application filed and any and all Patent(s) granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said Application(s); and (d) in and to each and every reissue, reexamination, or extensions of any of said Patent(s).
- Said Inventor(s) hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty. Such cooperation by said Inventor(s) shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any applications covering said Inventions, (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said Inventions; (d) for filing and prosecuting applications for reissuance of any said Patent(s); (e) for interference or other priority proceedings involving said Inventions; and (f) for legal proceedings involving said Inventions and any applications therefor and any Patent(s) granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor(s) in providing such cooperation shall be paid for by said Assignee.
- 3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor(s), their respective heirs, legal representatives and assigns.
- 4. Said Inventor(s) hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.
- 5. Said Inventor(s) hereby request that any Patent(s) issuing in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty, be issued in the name of the Assignee, or its successors and assigns, for the sole use of said Assignee, its successors, legal representatives and assigns.

IN WITNESS WHEREOF, said inventor(s) have executed and delivered this instrument to said Assignee as of the dates written below:

3742813\_LDOC: Page 1 of 3

BATENIP ACCIPINATINT	Dook at Number 27173, 735,761
Date: 271000  Luis A. DELLAMARY  State/Commonwealth of A. DELLAMARY  State/Commonwealth of A. DELLAMARY  On July 17 7809 before me, Yanger Maria Sentinal barne/Title of personally appeared Luis A. DELLAMARY (Name of Signer) who proved to me on the basis of satisfactory evidence to whose name is subscribed to the within instrument the person, or entity upon behalf of which the person acted, executed the I certify under PENALTY OF PERTURY under the laws of the State/Commonwealth of A. L. For n. T. foregoing paragraph is true and forrect.  WITNESS my hard and official seal.  Signature:	rized capacity, instrument.  Commission # 1658099 Notary Public - California
Date:    Qiang YE	Notary Public - California
Date: 7/27/09  Carl LEBEL  State/Commonwealth of California County of San Diego  On July 1, 205 before me. trances Narie School (Name/Title of personally appeared Call Lillel (Name of Signer) who proved to me on the basis of satisfactory evidence to be the personane is subscribed to the within instrument and acknowledged to me that s/be executed the same in his/her authorized on that by his/her signature on the instrument the person, or entity upon behalf of which the person acted, executed the instruction of the carrier of the same in his/her authorized on the same of the State/Commonwealth of California for the same in his/her authorized on the same of the State/Commonwealth of California for the same in his/her authorized on the same in his/	Son whose apacity, and My Comm. Expires May 8, 2010
Date: 7.27-05 By:	ichier, Ph.D.

Docket Number 37173-715.201

WHEREAS, the undersigned:

 TRAMMEL, Andrew M. 12485 South Alden Circle Olathe, KS 66062

(hereinafter "Inventor(s))," have invented certain new and useful improvements in

## CONTROLLED RELEASE ION CHANNEL MODULATOR COMPOSITIONS AND METHODS FOR THE TREATMENT OF OTIC DISORDERS

for which Application No. 12/506,664 was filed on July 21, 2009 in the United States Patent Office;

(hereinafter "Application(s)").

WHEREAS, Otonomy, Inc., a corporation of the State OR Commonwealth of <u>Delaware</u>, having a place of business at <u>5626 Oberlin Drive</u>, <u>Suite 100</u>, <u>San Diego</u>, <u>CA</u>, <u>92121</u>, (hereinafter "Assignee"), is desirous of acquiring the entire right, title and interest in and to said Application(s) and the inventions disclosed therein, and in and to all embodiments of the inventions, heretofore conceived, made or discovered, whether jointly or severally, by said inventor(s) (hereinafter collectively referred to as "Inventions"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter "Patent(s)") thereon granted in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor(s) to have been received in full from said Assignee:

- 1. Said inventor(s) do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said inventions, including the right to claim priority to said inventions; (b) in and to all rights to all United States and corresponding non-United States patent applications and Patent(s), including those filed under the Paris Convention for the Protection of Industrial Property, The Patent Cooperation Treaty or otherwise; (c) in and to any and all applications filed and any and all Patent(s) granted on said inventions in the United States, in any foreign country, or under any international convention, agreement, protocol, or treaty, including each and every application filed and any and all Patent(s) granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said Application(s); and (d) in and to each and every reissue, reexamination, or extensions of any of said Patent(s).
- Said Inventor(s) hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty. Such cooperation by said Inventor(s) shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any applications covering said Inventions; (c) for filting and prosecuting applications for reissuance of any said Patent(s); (e) for interference or other priority proceedings involving said Inventions; and (f) for legal proceedings involving said Inventions and any applications therefor and any Patent(s) granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor(s) in providing such cooperation shall be paid for by said Assignee.
- 3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said inventor(s), their respective heirs, legal representatives and assigns.
- 4. Said Inventor(s) hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.
- 5. Said Inventor(s) hereby request that any Patent(s) issuing in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty, be issued in the name of the Assignee, or its successors and assigns, for the sole use of said Assignee, its successors, legal representatives and assigns.

IN WITNESS WHEREOF, said Inventor(s) have executed and delivered this instrument to said Assignee as of the dates written below:

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Page 1 of 2

DIA TOYONOGI A CICOYZONOGADNAGO	Paulant Nambur 27172 715 261
PATENT ASSIGNMENT	Docket Number 37173-715.201
Date: July 31, 2009 Judies M. Jam. Andrew M. TRAMMEL Jam.	mul.
State/Country of	ut s/he executed the same in upon behalf of which the
that the foregoing paragraph is true and correct WITNESS my hand and official seal. Signature: Alle Alle Alle Alle Alle Alle Alle Al	LAURA K. FEINGOLD  SERVER SHIPE MY Appl. Exp. 6 18-2012
RECEIVED AND AGREED TO BY ASSIGNEE:	^
Date: 8-12-0°) By:	Name: Jay Lighton Ph.D.
	Name: Jay Equipy Pr.D.  Title: Chiefi Executive Officer

REEL: 023272 FRAME: 0475

Docket Number 37173-715.201

WHEREAS, the undersigned:

 SCAIFE, Michael Christopher 60 Marvin Ave. Los Altos. CA 94022

(hereinafter "Inventor(s))," have invented certain new and useful improvements in

# CONTROLLED RELEASE ION CHANNEL MODULATOR COMPOSITIONS AND METHODS FOR THE TREATMENT OF OTIC DISORDERS

$\boxtimes$	for which Application No.	12/506,664	was filed on	July 21,	2009 in the	United S	states Patent	Office;
(hereinafter	"Application(s)").							

WHEREAS, Otonomy, Inc., a corporation of the State OR Commonwealth of <u>Delaware</u>, having a place of business at <u>5626 Oberlin Drive</u>. Suite 100, San Diego, CA 92121, (hereinafter "Assignee"), is desirous of acquiring the entire right, title and interest in and to said Application(s) and the inventions disclosed therein, and in and to all embodiments of the inventions, heretofore conceived, made or discovered, whether jointly or severally, by said Inventor(s) (hereinafter collectively referred to as "Inventions"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter "Patent(s)") thereon granted in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor(s) to have been received in full from said Assignee:

- 1. Said Inventor(s) do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said Inventions, including the right to claim priority to said Inventions; (b) in and to all rights to all United States and corresponding non-United States patent applications and Patent(s), including those filed under the Paris Convention for the Protection of Industrial Property, The Patent Cooperation Treaty or otherwise; (c) in and to any and all applications filed and any and all Patent(s) granted on said Inventions in the United States, in any foreign country, or under any international convention, agreement, protocol, or treaty, including each and every application filed and any and all Patent(s) granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said Application(s); and (d) in and to each and every reissue, reexamination, or extensions of any of said Patent(s).
- 2. Said Inventor(s) hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty. Such cooperation by said Inventor(s) shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any applications covering said Inventions; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said Inventions; (d) for filing and prosecuting applications for reissuance of any said Patent(s); (e) for interference or other priority proceedings involving said Inventions; and (f) for legal proceedings involving said Inventions and any applications therefor and any Patent(s) granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense(s) incurred by said Inventor(s) in providing such cooperation shall be paid for by said Assignee, including (a) a reasonable hourly rate, and (b) related personal expenditures.

3744088\_1.DOC Page 1 of 2

PATENT REEL: 023272 FRAME: 0476

- The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, 3. assigns and other legal representatives, and shall be binding upon said Inventor(s), their respective heirs, legal representatives and assigns.
- Said Inventor(s) hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.
- Said Inventor(s) hereby request that any Patent(s) issuing in the United States, foreign countries, or 5. under any international convention, agreement, protocol, or treaty, be issued in the name of the Assignee, or its successors and assigns, for the sole use of said Assignee, its successors, legal representatives and assigns,

IN WITNESS WHEREOF, said Inventor(s) have executed and delivered this instrument to said Assignee as the dates written below:
Date: 07/27/2019 Michael Christopher SCAIFE
State/Commonwealth of 4 Hornia )  County of San Dices )
On The 27,200 before me, Roman Cedillo, When Paloic (Notary Seal) (Name/Title of Notary) personally appeared Michael Christopher SCAIFE who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or entity upon behalf of which the person acted, executed the instrument.
I certify under PENALTY OF PERJURY under the laws of the State/Commonwealth of that the foregoing paragraph is true and correct.
WITNESS my hand and official seal.  Signature:  Signat
RECEIVED AND AGREED TO BY ASSIGNEE:  Date: 8-12-67  By: 9  Name: Jan Lichter, Ph.D.  Title: CEO

3744088\_LDOC

RECORDED: 09/23/2009

Page 2 of 2

PATENT REEL: 023272 FRAME: 0477