

PATENT ASSIGNMENT

Electronic Version v1.1
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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Gerald C. Smaldone	01/12/2005
Lucy B. Palmer	01/11/2005
RECEIVING PARTY DATA	
Name:	The Research Foundation of State University of New York
Street Address:	35 State Street
City:	Albany
State/Country:	NEW YORK
Postal Code:	12207
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	12546260
CORRESPONDENCE DATA	
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Address Line 4:	San Francisco, CALIFORNIA 94105
ATTORNEY DOCKET NUMBER:	STONYB-16525
NAME OF SUBMITTER:	Abby Everson
Total Attachments: 3 source=assigncontpalmer#page1.tif source=assigncontsmaldone#page1.tif source=1595final#page1.tif	

OP \$40.00 12546260

In accordance with C.F.R. 1.63(d)(iv), the attached
Assignment is to be used for Continuation Appln
Entitled: **Methods, Devices and Formulations for
Targeted Endobronchial Therapy**
Filed: 8/24/2009
Attorney Docket No. STONYB-16525

PATENT
Attorney Docket No.: STONYB-09294

ASSIGNMENT

WHEREAS, WE, Gerald C. Smaldone and Lucy B. Palmer, hereinafter referred to as "ASSIGNOR", have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: **Methods, Devices And Formulations For Targeted Endobronchial Therapy**

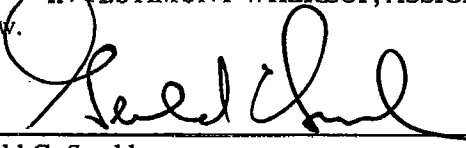
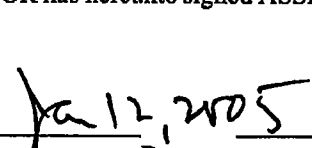
Filing Date: 11/30/04 Serial No.: 10/999,679

WHEREAS, The Research Foundation of State University of New York, a New York corporation, 35 State Street, Albany, New York 12207, hereinafter referred to as "ASSIGNEE", is desirous of acquiring the entire right, title and interest in said invention and application and in any Letters Patent which may be granted on the same;

NOW THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for and in consideration of the sum of One Dollar (\$1.00) lawful money paid to ASSIGNOR by ASSIGNEE and other good and valuable consideration, receipt of which is hereby acknowledged, ASSIGNOR has sold, assigned and transferred, and by these presents does sell, assign and transfer unto said ASSIGNEE, and ASSIGNEE's successors and assigns, all right, title and interest in and to said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, prolongations or extensions thereof, said interest to be held and enjoyed by said ASSIGNEE as fully and exclusively as it would have been held and enjoyed by said ASSIGNOR had this assignment and transfer not been made, to the full end and term of any Letters Patent.

ASSIGNOR further agrees that ASSIGNOR will, without charge to said ASSIGNEE, but at ASSIGNEE's expense, cooperate with ASSIGNEE in the prosecution of said application and/or applications; execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof; and will perform such other acts as ASSIGNEE lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said ASSIGNEE, or ASSIGNEE's successors and assigns.

IN TESTIMONY WHEREOF, ASSIGNOR has hereunto signed ASSIGNOR's names to this assignment on the date indicated below.


Gerald C. Smaldone _____ Date Jan 12, 2005

Lucy B. Palmer _____ Date _____

STATE OF New York)
) ss.
COUNTY OF Suffolk)

On this 12th day of January, in the year of 2005, before me, the undersigned notary public, personally appeared the above-named ASSIGNOR, known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged that he/she executed the same.

Marilyn J. Bulitta
Notary Public, State of New York
ID# 01BU5066467
Qualified in Suffolk County
Commission Expires 09/30/06


_____ NOTARY PUBLIC

SEAL

Form PTO-1595	RECORDATION FORM COVER SHEET	U.S. Department of Commerce Patent and Trademark Office
	PATENTS ONLY	

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): Gerald C. Smaldone Lucy B. Palmer	2. Name and address of receiving party(ies): The Research Foundation of State University of New York 35 State Street Albany, New York 12207
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Additional name(s) of conveying party(ies) attached? No	Additional name(s) & address(es) attached? No
3. Nature of conveyance: Assignment Execution Date: January 12, 2005 January 11, 2005	

4. Title of Invention: Methods, Devices and Formulations for Targeted Endobronchial Therapy
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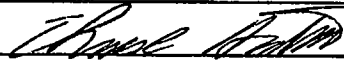
A. New Patent Application No.(s): 12/546,260	B. Patent No.(s): N.A.
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Additional numbers attached? No

5. Name and address of party to whom correspondence concerning document should be mailed: Peter G. Carroll MEDLEN & CARROLL, LLP 101 Howard Street, Suite 350 San Francisco, California 94105	6. Total number of applications and patents involved: 1
	7. Total fee(s): Payment in the amount of \$40 is submitted (\$40 Recordation fee).
	8. Deposit Account Authorization: The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 08-1290.

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9. Statement and Signature: <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i>
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Date: <u>September 23, 2009</u>	Signature: 
	Name: Thomas C. Howerton
	Reg. No.: 48, 650