

Form PTO-1595 (Rev. 03-09)
OMB No. 0651-0027 (exp. 03/31/2008)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Xiufeng Han (09/09/2009), Ming Ma (09/09/2009),
Qihang Qin (09/09/2009), Hongxiang Wei
(09/09/2009).

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): in parentheses after inventor name

☐ Assignment ☐ Merger ☐ Change of Name

☐ Security Agreement ☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☒ Other Correct 3rd Assignor's name and execution dates
on all Assignor's previously recorded on R/F
021631/0771

2. Name and address of receiving party(ies)

Name: Institute of Physics, Chinese Academy of Sciences

Internal Address: _____

Street Address: _____

No. 8 Zhongguancun Nan San Jie, Haidian District
Beijing 100190
PEOPLE'S REPUBLIC OF CHINA

City: _____

State: _____

Country: _____ Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

12/159,657

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Zhun Lu
CONNOLLY BOVE LODGE & HUTZ LLP

Internal Address: Atty. Dkt.: 15454-00004-US

Street Address: 1007 North Orange Street
P. O. Box 2207
15454-00004

City: Wilmington

State: DE Zip: 19899-2207

Phone Number: (302) 658-9141

Fax Number: (302) 658-5614

Email Address: zlu@cblh.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

☐ Authorized to be charged to deposit account

☒ Enclosed

☐ None required (government interest not affecting title)

8. Payment Information

(Credit Card Form
Attached)

Deposit Account Number _____

Authorized User Name _____

9. Signature:


Signature

9/23/09
Date

Zhun Lu - 53,242

Name of Person Signing

Total number of pages including cover
sheet, attachments, and documents: 9

RECORDATION FORM COVER SHEET (PTO-1595) (supplemental sheet)	
Additional Conveying Party(ies)/Execution Date(s) (1. Continued): Lixian Jiang (09/09/2009), and Yunan Han (09/09/2009)	
Additional Assignees (2. Continued):	
Assignee Name: _____	
Internal Address: _____	
Street Address: _____	
City: _____ State: _____ Country: _____ Zip: _____	
Assignee Name: _____	
Internal Address: _____	
Street Address: _____	
City: _____ State: _____ Country: _____ Zip: _____	
Assignee Name: _____	
Internal Address: _____	
Street Address: _____	
City: _____ State: _____ Country: _____ Zip: _____	
Additional Applications and/or Patents (4. Continued):	
Additional Patent Application Numbers 4A. Continued:	Additional Patent Numbers 4B. Continued:
Additional numbers attached? <input type="checkbox"/> Yes <input type="checkbox"/> No	

Form PTO-1595 (Rev. 07/05)
OMB No. 0551-0027 (exp. 7/31/2008)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Xiufeng Han (06/30/2008), Ming Ma (06/30/2008),
Qihang Tan (06/30/2008), Hongxiang Wei
(06/30/2008),

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

2. Name and address of receiving party(ies)

Name: Institute of Physics, Chinese Academy of Sciences

Internal Address: _____

Street Address: _____

No. 8 Zhongguancun Nan San Jie, Haidian District
Beijing 100190
PEOPLE'S REPUBLIC OF CHINA

City: _____

State: _____

Country: _____ Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

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Execution Date(s): in parentheses after inventor name

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☐ Other _____

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Additional numbers attached? ☐ Yes ☒ No

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City: Wilmington

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Phone Number: (302) 658-9141

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Email Address: zlu@cblh.com

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7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☒ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers 1001
Expiration Date 12/12

b. Deposit Account Number _____
Authorized User Name _____

9. Signature:



Signature

Zhun Lu - 53,242

Name of Person Signing

10/2/08

Date

Total number of pages including cover sheet, attachments, and documents: 12

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted by facsimile to the Patent and Trademark Office, facsimile no. (571) 273-0140, on the date shown below.

Dated: 10/2/08

Signature:  (Deborah Israel)

RECORDATION FORM COVER SHEET (PTO-1595) (supplemental sheet)	
Additional Conveying Party(ies)/Execution Date(s) (1. Continued): Lixian Jiang (06/30/2008), and Yunan Han (06/30/2008)	
Additional Assignees (2. Continued):	
Assignee Name: _____ Internal Address: _____ Street Address: _____ City: _____ State: _____ Country: _____ Zip: _____	
Assignee Name: _____ Internal Address: _____ Street Address: _____ City: _____ State: _____ Country: _____ Zip: _____	
Assignee Name: _____ Internal Address: _____ Street Address: _____ City: _____ State: _____ Country: _____ Zip: _____	
Additional Applications and/or Patents (4. Continued):	
Additional Patent Application Numbers 4A. Continued:	Additional Patent Numbers 4B. Continued:
Additional numbers attached? <input type="checkbox"/> Yes <input type="checkbox"/> No	

ASSIGNMENT BY INVENTORS

THIS ASSIGNMENT, made by Xiufeng Han; Ming Ma; Qihang Qin; Hongxiang Wei; Lixian Jiang; and Yunan Han (hereinafter referred to as Assignors), their primary mailing address being No. 8 Zhongguancun Nan San Jie, Haidian District, Beijing 100190, PEOPLE'S REPUBLIC OF CHINA; No. 8 Zhongguancun Nan San Jie, Haidian District, Beijing 100190, PEOPLE'S REPUBLIC OF CHINA; No. 8 Zhongguancun Nan San Jie, Haidian District, Beijing 100190, PEOPLE'S REPUBLIC OF CHINA; No. 8 Zhongguancun Nan San Jie, Haidian District, Beijing 100190, PEOPLE'S REPUBLIC OF CHINA; No. 8 Zhongguancun Nan San Jie, Haidian District, Beijing 100190, PEOPLE'S REPUBLIC OF CHINA; and No. 8 Zhongguancun Nan San Jie, Haidian District, Beijing 100190, PEOPLE'S REPUBLIC OF CHINA, respectively;

WHEREAS, Assignors have invented certain new and useful improvements in A CLOSE SHAPED MAGNETIC MULTI-LAYER FILM COMPRISING OR NOT COMPRISING A METAL CORE AND THE MANUFACTURE METHOD AND THE APPLICATION OF THE SAME, set forth in a Patent application for which an International Application was filed on December 31, 2006, PCT/CN2006/003799, designating the United States; and

WHEREAS, Institute of Physics, Chinese Academy of Sciences, organized under and pursuant to the laws of China having its principal place of business at No. 8 Zhongguancun Nan San Jie, Haidian District, Beijing 100190, PEOPLE'S REPUBLIC OF CHINA (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said inventions and said Application for Letters Patent of the United States, and in and to any Letters Patent of the United States to be obtained therefore and thereon.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, Assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions and application for Letters Patent, and in and to any and all direct and indirect divisions, continuations and continuations-in-part of said

application, and any and all Letters Patent in the United States and all foreign countries which may be granted therefore and thereon, and reissues, reexaminations and extensions of said Letters Patent, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by Assignee, for its own use and benefit and the use and benefit of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent may be granted and/or extended, as fully and entirely as the same would have been held and enjoyed by Assignors, had this sale and assignment not been made.

AND for the same consideration, Assignors hereby represent and warrant to Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, except for any rights, titles and/or interests that have arisen to Assignee under law or that have already been transferred to Assignee, Assignors are the sole and lawful owners of the entire right, title and interest in and to the said inventions and application for Letters Patent above-mentioned, and that the same are unencumbered and that Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, Assignors hereby covenant and agree to and with Assignee, its successors, legal representatives and assigns, that Assignors will sign all papers and documents, take all lawful oaths and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of any Letters Patent and applications for Letters Patent for said inventions, without charge to Assignee, its successors, legal representatives and assigns, whenever counsel of Assignee, or counsel of its successors, legal representatives and assigns, shall advise: that any proceeding in connection with said inventions, or said Patent application for Letters Patent, or any proceeding in connection with any Letters Patent or applications for Letters Patent for said inventions in any country, including but not limited to interference proceedings, is lawful and desirable; or, that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue, reexamination or extension of any Letters Patent, to be obtained thereon, is lawful and desirable.

AND Assignors hereby request the Commissioner of Patent and Trademarks to issue said Letters Patent of the United States to Assignee, as Assignee of said inventions and

the Letters Patent to be issued thereon, for the sole use and benefit of Assignee, its successors, legal representatives and assigns.

AND Assignors hereby grant the following individuals the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document:

CONNOLLY BOVE LODGE & HUTZ LLP

All practitioners at Customer Number 23416

AND Assignors acknowledge an obligation of assignment of this invention to Assignee at the time the invention was made.

Date: Sept. 9, 2009 Signature: 
Xiufeng Han

Date: Sept. 9, 2009 Signature: Ming Ma
Ming Ma

Date: Sept. 9, 2009 Signature: Qin Qi Hong
Qihang Qin

Date: Sept. 9, 2009 Signature: Hongxiang Wei
Hongxiang Wei

Date: Sept. 9, 2009 Signature: Lixian Jiang
Lixian Jiang

Date: Sept. 9, 2009 Signature: Yunan Han
Yunan Han