Form PTO-1595 (Rev. 08/08)
OMB No. 0651-0027 (exp. 9/30/2008)

U.S. DEPARTMENT OF COMMERCE United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY \$-118,661	
To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.	
1. Name of conveying party(ies) LAWRENCE LIVERMORE NATIONAL SECURITY, LLC	2. Name and address of receiving party(les) Name: <u>U.S. Department of Energy</u> Internal Address: GC-62 6F-067
Additional name(s) of conveying party(les) attached? Yes No No Nature of conveyance/Execution Date(s): Execution Date(s) 09/01/2009	Street Address: 1000 Independence Avenue, S.W.
 □ Assignment □ Merger □ Security Agreement □ Joint Research Agreement □ Government Interest Assignment ☑ Executive Order 9424, Confirmatory License □ Other 	City: Washington State: D.C. Country: Zip: 20585-0162 Additional name(s) & address(es) attached? Yes No
A. Patent Application No.(s) 12/536670 Additional numbers att	document is being filed together with a new application. B. Patent No.(s) ached? ☐ Yes ☑ No
5. Name and address to whom correspondence concerning document should be malled:	6. Total number of applications and patents involved:
Name: Brenda K. Banks Internal Address: GC-62 6F-067 U.S. Department of Energy Street Address: 1000 Independence Avenue, S.W.	7. Total fee (37 CFR 1.21(h) & 3.41) \$ Authorized to be charged to deposit account Enclosed None required (government interest not affecting title)
City: Washington State: D.C. Zip: 20585-0162 Phone Number: 202-586-2802 Fax Number: 202-586-2805	8. Payment Information Deposit Account Number
Email Address: brenda.banks@hq.doe.gov	Authorized User Name
9. Signature: Signature Brenda K. Banks Name of Person Signing	Total number of pages including cover sheet, attachments, and documents:

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

PATENT REEL: 023286 FRAME: 0213

CONFIRMATORY LICENSE

Title: Shape Memory System with Integrated Actuation Using Embedded Particles Inventor(s): Buck ey, Partick, Marriand, Duncan 37

DOE Docket No.: S-118, 668

Docket No./Sub: IL-11255 Docket No./Sub: IL-11255/B

Contract Number: DE-AC52-07NA27344 U.S. Patent Application S.N.: 12/536670

Contractor: Lawrence Livermore National Security, LLC Filing Date: 08/06/09 Lawrence Livermore National Laboratory Welver No.: W(C) 92-002

Foreign patent applications filed or intended to be filed at contractor's expense in (countries):

The Contractor certifies that a true copy of the provisions which govern patent rights in "subject inventions" under the above-identified contract is herewith submitted or has been submitted to the U.S. Department of Energy by certification dated June 15, 1999.

WHEREAS, the above-identified invention is a subject invention under the above-identified contract;

WHEREAS, the above-identified Class Waiver of Government rights in certain identified inventions and the aboveidentified contract provides the Contractor with the right to elect to retain title in certain subject inventions and the Contractor has elected to retain title in the above-identified subject invention, EXCEPT FOR a paid-up license under the above-identified contract and the above-identified waiver.

ACCORDINGLY, the Contractor hereby confirms that under the provisions of the above-identified contract governing patent rights, it has granted to the Government a nonexclusive, nontransferable, irrevocable, paid-up license to practice or have practiced for or on behalf of the United States the subject invention throughout the world. This license applies to the above-identified invention, the above-identified patent application(s), and any and all divisions or continuations thereof and any resulting patent or reissue patent which may be granted thereon.

The Government reserves for itself, and is hereby granted by the Contractor, the irrevocable power to inspect and make copies of the file wrapper(s) of the above-identified U.S. patent application and of any related or continuation patent application(s), whether domestic or foreign, for the above-identified invention.

It is understood and agreed that this instrument does not preclude the Government from asserting rights under the provisions of the above-identified contract or any other agreement between the Government and the Contractor, or any other rights of the Government with respect to the above-identified invention.

Signed on behalf of:

LAWRENCE LIVERMORE NATIONAL SECURITY, LLC

Witness:

Wanda Nugent, Patent Administrator

(Qontractor)

---09/01/2009 John H. Leg. Acting Deputy General Counsel for Intellectual Property

Lawrence Livermore National Laboratory

P.O. Box 808, L-701

Livermore, CA 94551-0808

REEL: 023286 FRAME: 0214

PATENT

RECORDED: 09/25/2009