### PATENT ASSIGNMENT

# Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE: NEW ASSIGNMENT

NATURE OF CONVEYANCE: ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
HARAKOSAN CO., LTD.	09/24/2009

#### **RECEIVING PARTY DATA**

Name:	STX Heavy Industries Co., Ltd.	
Street Address:	66-5, Sinchon-Dong, Changwon-Si	
City:	Gyeongsangnam-Do	
State/Country:	REPUBLIC OF KOREA	
Postal Code:	641-371	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Patent Number:	7161259

# CORRESPONDENCE DATA

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NAME OF SUBMITTER: Taeksoo Lee

**Total Attachments: 4** 

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## **ASSIGNMENT**

WHEREAS, as of the 21<sup>st</sup> day of August, 2009, **HARAKOSAN CO., LTD.**, a corporation of Japan, whose post office address is Daiichi Harakosan BLDG. 26-1, HATABUMIYANOSHITA-CHO, SHIMONOSEKI-SHI, YAMAGUCHI-KEN, JAPAN 751-0829, hereinafter referred to as the ASSIGNOR, possesses the right, title and interest for and in an Invention entitled

#### WIND POWER GENERATOR

described in U.S. Patent No. 7,161,259 issued on January 9, 2007.

AND WHEREAS, STX Heavy Industrics Co., Ltd, a corporation organized and existing under the laws of Republic of Korea, whose post-office address is 66-5, Sinchon-Dong, Changwon-Si, Gyeongsangnam-Do 641-371, Republic of Korea, hereinafter known as the ASSIGNEE, is desirous of acquiring the entire right, title and interest for the United States in and to said Invention, including any and all divisions and continuations thereof, and any and all Letters Patents which have been granted thereon, including any and all renewals, reissues, and prolongations thereof.

NOW, THIS WITNESSETH that for and in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt whereof is hereby acknowledged, said ASSIGNOR hereby assigns, sells and transfers to said ASSIGNEE, its assigns and legal representatives, the entire and exclusive right, title and interest in and to said Invention, for the United States including any and all divisions and continuations thereof, and any and all Letters Patents which have been granted therefor, said ASSIGNEE and its assigns and legal representatives to have, hold, exercise and enjoy the said Invention, including any and all divisions and continuations thereof, and any and all Letters Patents which have been granted thereon, including any and all renewals, reissues and prolongations thereof, with all the rights, powers, privileges, and advantages in any ways arising from or pertaining thereto, for and during the term or terms of any and all such Letters Patents, including any and all renewals, reissues, and prolongations thereof, for the use and benefit of said ASSIGNEE and its assigns and legal representatives in as ample and beneficial a manner to all intents and purposes as the said ASSIGNOR might or could have held and enjoyed the same, if this assignment had not been made.

AND said ASSIGNOR hereby agrees to execute all papers necessary to assign the same to said ASSIGNEE, or any assignee acquiring title to said invention, and to execute any other papers that may be needed in connection with securing Letters Patents thereon.

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IN TESTIMONY WHEREOF, this assignment is executed by said ASSIGNOR, on the respective date(s) indicated below.

HARACOSAN CO,. LTD.

24th Seltember 2009
Date

Title President & CEO

Name MASAAKI . HARA

Witnessed by:

24 September, 2009

Date

J. Shibam

Name Yokichi Shibamura

PATENT **REEL: 023304 FRAME: 0023** 

PTO/SB/96 (07-09)
Approved for use through 07/31/2012. OM8 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)
Applicant/Patent Owner: HARAKOSAN CO. LTD
Application No./Patent No.: US 7,161,259 Filed/Issue Date: 01/09/2007
Titled: Wind Power Generator
HARAKOSAN CO. LTD , a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.
states that it is:
1. X the assignee of the entire right, title, and interest in;
2. an assignee of less than the entire right, title, and interest in (The extent (by percentage) of its ownership interest is
3.  the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)
the patent application/patent identified above, by virtue of either:
A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a
copy therefore is attached.  OR
B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:
1. Fram: To:
The document was recorded in the United States Patent and Trademark Office at
Reel, Frame, or for which a copy thereof is attached.
2. From:
The document was recorded in the United States Patent and Trademark Office at
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3. From: To:
The document was recorded in the United States Patent and Trademark Office at
Reel, Frame, or for which a copy thereof is attached.
Additional documents in the chain of title are listed on a supplemental sheet(s).
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division i accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]
The undersigned (whose title is cumplied below) is authorized to act on behalf of the assignee.
MASAAKI HARA  Zath Schtember. 200  Date  President & CEO
MASAAKI HARA President&CEO

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**PATENT REEL: 023304 FRAME: 0024** 

### Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of
  presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to
  opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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**RECORDED: 09/30/2009**