

Form PTO-1595 (Rev. 03-09)
OMB No. 0651-0027 (exp. 03/31/2009)

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1. Name of conveying party(ies)

Cheng Der, Tony Yu, Ngoc Do

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) January 23, 2004

- Assignment Merger
- Security Agreement Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other _____

2. Name and address of receiving party(ies)

Name: UPM Pharmaceuticals, Inc.

Internal Address: _____

Street Address: 340 Martin Luther King Jr. Blvd.

Suite 200

City: Bristol

State: Tennessee

Country: U.S. Zip: 37620

Additional name(s) & address(es) attached? Yes No

4. Application or patent number(s):

This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

10/749,339

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Gail Knott

Internal Address: University of Maryland, Baltimore

Office of Research and Development

Street Address: 620 W. Lexington Street, 4th floor

City: Baltimore

State: MD Zip: 21201

Phone Number: 410-706-2380

Fax Number: 410-7061066

Email Address: gknott001@umaryland.edu

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 21-0684

Authorized User Name Gail Knott

9. Signature:


Signature

October 2, 2009
Date

Gail Knott

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

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JOINT

ASSIGNMENT

WHEREAS, WE, **CHENG DER TONY YU AND NGOC DO**, each citizens of the United States and **MIN MICHAEL HE**, citizen of the People's Republic of China, **ASSIGNORS**, residing at 9812 Whitney Drive, Apt. #324, Baltimore, Maryland 21237 and 618C Harborside Drive, Joppatowne, Maryland 21085, and 8209 Michael's Ridge, Ellicott City, Maryland respectively, are co-inventors with **Larry Augsburg** of the invention in **METHODS FOR MAKING PHARMACEUTICAL DOSAGE FORMS CONTAINING ACTIVE CUSHIONING COMPONENTS** for which we have executed an application for a Patent of the United States

- Which is executed on [] even date herewith or []
- Which is identified by Jones Day docket no. 011478-0014-999
- Which was filed on December 31, 2003, Application No. *To be assigned* (identified by attorney docket no. 11478-0014-999)
- We hereby authorize and request our attorney, Francis D. Cerrito, of Jones Day, 222 East 41st Street, New York, New York 10017, to insert here in parentheses (Application number, 10/749,339 filed December 31, 2003) application serial number of said application when known.

and WHEREAS, **UPM PHARMACEUTICALS, INC.**, **ASSIGNEE** is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said **ASSIGNORS**, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said **ASSIGNEE**, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said **ASSIGNEE**, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said **ASSIGNEE**, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said **ASSIGNEE**, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date JAN 23, 2004 [Signature] L.S.
 Date JAN 23, 2004 [Signature] L.S.
 Date Jan 23, 2004 [Signature] L.S.

State of Maryland
County of Harford } SS.:

On January 23, 2004, before me, Karen Hudson, Notary Public, personally appeared NCS Health Co, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal
SS. Maryland
County of Harford [Signature]

On January 23, 2004, before me, Karen Hudson, Notary Public, personally appeared M J M HE, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal
[Signature]

State of Maryland
County of Harford } SS.:

On January 23, 2004, before me, Karen Hudson, Notary Public, personally appeared Carina De Troy Yu, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal
[Signature]