

Form PTO-1595 (Rev. 07/05)
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET
PATENTS ONLY

S-115,641

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Battelle Energy Alliance LLC

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 09/02/2009

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☒ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: United States Department of Energy

Internal Address: _____

Street Address: 1000 Independence Ave., S.W

City: Washington

State: D.C.

Country: USA Zip: 20585

Additional name(s) & address(es) attached? ☐ Yes ☒ No
☐ This document is being filed together with a new application.

4. Application or patent number(s):

A. Patent Application No.(s)

12/380,554

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Mark P. Dvorscak

Internal Address: U. S. Dept. of Energy, IPLD

Street Address: 9800 S. Cass Ave

City: Argonne

State: IL Zip: 60439

Phone Number: 630-252-2393

Fax Number: 630-252-2779

Email Address: _____

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ _____

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☐ Enclosed
☒ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number _____

Authorized User Name _____

9. Signature: Michael J. Dobbs

Signature

Michael J. Dobbs #58,093

Name of Person Signing

Date

9/30/09

Total number of pages including cover sheet, attachments, and documents: 2

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT

REEL: 023332 FRAME: 0466

700419611

DOE Case No.: S-115,641
BA-283B

CONFIRMATORY LICENSE

Application for: THERMOPHILIC AND THERMOACIDOPHILIC SUGAR
TRANSPORTER GENES AND ENZYMES FROM
ALICYCLOBACILLUS ACIDOCALDARIUS AND RELATED
ORGANISMS, METHODS
Inventor(s): David N. Thompson; William A. Apel; Vicki S. Thompson; David W.
Reed; Jeffrey A. Lacey
Serial No.: 12/380,554
Filing Date (U.S.): 02/26/2009
DOE Contract No.: DE-AC07-05ID14517
Contractor: Battelle Energy Alliance, LLC

The invention identified above is a "Subject Invention" under the above-numbered contract with Battelle Energy Alliance, LLC. A copy of the provisions of the above-numbered contract governing patent rights in the Subject Invention at the time the invention was made has been submitted to the U.S. Department of Energy.

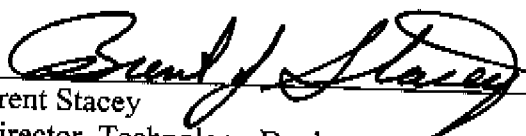
The Contractor hereby confirms that under the provisions of the above-numbered contract governing patent rights, it has granted to the Government a nonexclusive, nontransferable, irrevocable, paid-up license to practice or to have practiced for or on behalf of the United States the Subject Invention throughout the world. This License applies to the invention in the above-identified patent application and any and all divisions or continuations thereof and any resulting patent or reissue patent which may be granted thereon.

It is understood and agreed that this License does not preclude the Government from asserting rights under the provisions of said Contract or any other agreement between the Government and the Contractor, or any other rights of the Government with respect to the above-identified invention.

The Contractor hereby grants the Government an irrevocable power to inspect and make copies of the above-identified application.

Signed this 2nd day of September, 2009.

BATTELLE ENERGY ALLIANCE, LLC

By: 
Brent Stacey
Director, Technology Deployment
P. O. Box 1625
Idaho Falls, ID 83415-3790