


Client Code: CALTE.011CP1C

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To the Director, U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): (List using letters or numbers for multiple parties) 1. Irvin S Y Chen 2. Dong Sung An  Additional name(s) of conveying party(ies) attached? ( ) Yes (X) No	2. Name and address of receiving party(ies): <b>Name:</b> The Regents of the University of California <b>Internal Address:</b> <b>Street Address:</b> 1111 Franklin Street <b>City:</b> Oakland <b>State:</b> CA <b>ZIP:</b> 94607-5200  Additional name(s) of receiving party(ies) attached? ( ) Yes (X) No
3. Nature of conveyance: (X) Assignment ( ) Security Agreement ( ) Merger ( ) Change of Name ( ) Other:  Execution Date: (List as in section 1 if multiple signatures) 1. February 3, 2009 2. February 3, 2009	4. US or PCT Application number(s) or US Patent number(s): (X) Patent Application No.: 11/689,407 Filing Date: March 21, 2007  Additional numbers attached? ( ) Yes (X) No
5. Party to whom correspondence concerning document should be mailed:  <b>Customer No.</b> 20,995 <b>Address:</b> Knobbe, Martens, Olson & Bear, LLP 2040 Main Street, 14 <sup>th</sup> Floor Irvine, CA 92614 <b>Return Fax:</b> (949) 760-9502 <b>Attorney's Docket No.:</b> Calte.011CP1C	6. Total number of applications and patents involved: 1
7. Total fee (37 CFR 1.21(h)): \$40 (X) Authorized to be charged to deposit account	8. Deposit account number: 11-1410  Please charge this account for any additional fees which may be required, or credit any overpayment to this account.
9. Statement and signature.  To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.  <div style="display: flex; justify-content: space-between;"> <div style="width: 30%;"> <u>Bryan Wahl</u>  Name of Person Signing   58,878  Registration No. </div> <div style="width: 30%; text-align: center;">   _____  Signature </div> <div style="width: 30%; text-align: center;"> <u>10/12/09</u>  _____  Date </div> </div> Total number of pages including cover sheet, attachments and document: 2	

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**PATENT**  
**REEL: 023362 FRAME: 0379**

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ASSIGNMENT

UC. Case No. 2004-286

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

Irvin S Y Chen, Dong Sung An

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

*METHOD FOR EXPRESSION OF SMALL ANTIVIRAL RNA MOLECULES WITHIN A CELL*

and which is found in:

- X   U.S. Provisional Application Serial No. 60/322,031, filed 9/13/01 and listing the above named persons as inventors
- X   U.S. Provisional Application Serial No. 60/347,782, filed 1/9/02 and listing the above named persons as inventors
- X   U.S. Provisional Application Serial No. 60/389,592, filed 6/18/02 and listing the above named persons as inventors
- X   U.S. Provisional Application Serial No. 60/406,436, filed 8/27/02 and listing the above named persons as inventors
- X   U.S. Patent Application Serial No. 10/243,553, filed 9/13/02 and listing the above named persons as inventors
- X   U.S. Patent Application Serial No. 10/319,341, filed 12/12/2002 and listing the above named persons as inventors
- X   U.S. Patent Application Serial No. 11/689,407, filed 3/21/2007 and listing the above named persons as inventors
- X   U.S. Patent Application Serial No. 11/683,962, filed 3/08/2007 and listing the above named persons as inventors
- U.S. Patent No. \_\_\_\_\_, issued \_\_\_\_\_  
and listing the above named persons as inventors
- International Patent Application Serial No. \_\_\_\_\_, filed \_\_\_\_\_ and  
listing the above named persons as inventors

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

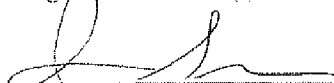

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

03 day of February, 200903 day of February, 2009  
Irvin S Y Chen  
Dong Sung An

assign.doc