

PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
James T Kociuba	10/07/2009
RECEIVING PARTY DATA	
Name:	BNY ConvergeEx Group LLC
Street Address:	1633 Broadway, 30th Floor
City:	New York
State/Country:	NEW YORK
Postal Code:	10019
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	12472364
CORRESPONDENCE DATA	
Fax Number:	(212)931-8521
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	2129318560
Email:	matt@byrnepoh.com
Correspondent Name:	Byrne Poh LLP
Address Line 1:	11 Broadway, Ste 865
Address Line 4:	New York, NEW YORK 10004
ATTORNEY DOCKET NUMBER:	2003180.126-US2
NAME OF SUBMITTER:	Matthew T Byrne
Total Attachments: 2 source=2003180_126_US2_Assign#page1.tif source=2003180_126_US2_Assign#page2.tif	

OP \$40.00 12472364

500987904

PATENT
REEL: 023369 FRAME: 0172

ASSIGNMENT

WHEREAS, I, James T. Kociuba, residing at 43 Oxford Road, Wellesley Hills, MA 02481, USA, (the "Assignor") am the sole inventor of a certain new and useful invention and discovery entitled "Systems, Methods, And Media For Automatically Controlling Trade Executions Based On Percentage Of Volume Trading Rates" ("the Invention") for which a patent application was filed with the United States Patent and Trademark Office on May 26, 2009 and assigned Application Number 12/472,364 (the "Application").

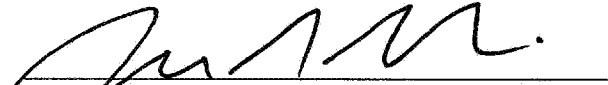
WHEREAS, BNY ConvergeX Group, LLC, existing under the laws of the State of Delaware, whose address is 1633 Broadway, 30th Floor, New York, NY 10019, which, together with its successors and assigns (collectively the "Assignee"), is desirous of acquiring the title, rights, benefits, and privileges hereinafter recited;

For valuable consideration, receipt of which is acknowledged, Assignor hereby assigns to Assignee all of Assignor's right, title and interest in and to the Invention, all improvements therein, the Application and all priority rights arising therefrom, and any applications and/or patents, and any reissues and extensions thereof, which issue in any country upon any patent applications which correspond with any of the following: the Application; any application that claims priority from the Application (including divisionals, continuations, continuation-in-parts, or as an application claiming priority from a provisional application); or any application based in whole or in part on any of the foregoing.

Assignor agrees that such Assignor and Assignor's heirs and legal representatives will, without further consideration, cooperate with Assignee in the prosecution of all of the above applications, execute, verify, acknowledge and deliver all such further papers, including applications for patents and for reissues and extensions therefor, and instruments of assignment and transfer thereof, and will communicate any facts known to Assignor relating to the Invention, to obtain or maintain or enforce patents for the Invention and improvements therein any and all countries and to vest title thereto in Assignee. Assignor further agrees that such Assignor will, without further compensation to Assignor during the term of such Assignor's employment by Assignee and thereafter for reasonable

compensation as determined by Assignee, perform such other acts as may be reasonably required when requested by Assignee, including attending depositions, preparing and executing declarations and affidavits and testifying as a witness, to obtain or maintain or enforce patents for the Invention and improvements therein in any and all countries and to vest title thereto to Assignee.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 7 day of Oct 2009 (Month/Year).

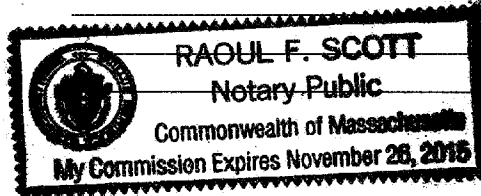

James T. Kociuba

On this 7th day of OCTOBER 2009 (Month/Year), James T. Kociuba, personally known to me to be the same individual who executed the foregoing Assignment, acknowledged to me that execution of the same was of his/her own free will for the use and purposes therein set forth.

WITNESS: 

Printed Name: RAOUL SCOTT

Residential Address:



120 W. 7th
BOSTON, MA