

10-15-2009



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1. Name of conveying party(ies)

Eric Ka Wai Cheng, Xiangdang Xue

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 02/19/2009

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: The Hong Kong Polytechnic University

Internal Address: _____

Street Address: _____

City: Hung Hom, Kowloon

State: _____

Country: Hong Kong

Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

12/379,394

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: The Hong Kong Polytechnic University

Internal Address: Partnership Development Office

The Hong Kong Polytechnic University

Street Address: _____

City: Hung Hom, Kowloon, Hong Kong

State: _____ Zip: _____

Phone Number: 852-3400 2812

Fax Number: 852-2333 2410

Email Address: pdtrevor@inet.polyu.edu.hk

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$40

- ☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number _____

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28.11.2009 10.00 DP

Date

9. Signature:

Signature

Dr. Sun-wing LUI, Vice President, Partnership Development

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
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ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration, of which receipt is acknowledged, I Eric Ka Wai CHENG of The Hong Kong Polytechnic University, sell and assign to The Hong Kong Polytechnic University, a Hong Kong entity, the entire right, title, and interest in and to the invention titled Energy-Saving Controller for Three-Phase ... (IP-446A) invented by me as described in the application for United States patent executed by me concurrently herewith, and any and all applications for patent and patents in any and all countries, including all divisions, continuations, reissues, and extensions thereof and all rights of priority resulting from the filing of said United States application and authorize and request any official whose duty it is to issue patents to issue any patent on said improvements or resulting therefrom to said The Hong Kong Polytechnic University, or its successors, assigns, or nominees and agree that on request and without further consideration, but at the expense of The Hong Kong Polytechnic University, I will communicate to the University or its representatives or nominees any facts known to me respecting said improvements and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuing, and reissue applications, make all rightful oaths and generally aid said University, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention in all countries.

 _____, this 19 day of Feb 2009

ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration, of which receipt is acknowledged, I Xiangdang XUE of The Hong Kong Polytechnic University, sell and assign to The Hong Kong Polytechnic University, a Hong Kong entity, the entire right, title, and interest in and to the invention titled Energy-Saving Controller for Three-Phase ... (IP-446A) invented by me as described in the application for United States patent executed by me concurrently herewith, and any and all applications for patent and patents in any and all countries, including all divisions, continuations, reissues, and extensions thereof and all rights of priority resulting from the filing of said United States application and authorize and request any official whose duty it is to issue patents to issue any patent on said improvements or resulting therefrom to said The Hong Kong Polytechnic University, or its successors, assigns, or nominees and agree that on request and without further consideration, but at the expense of The Hong Kong Polytechnic University, I will communicate to the University or its representatives or nominees any facts known to me respecting said improvements and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuing, and reissue applications, make all rightful oaths and generally aid said University, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention in all countries.

 _____, this 19 day of Feb 2009