

FORM PTO-1595 (modified)

(Rev 6-93)

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U.S. DEPARTMENT OF COMMERCE

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To the Director of the United States Patent and Trademark Office

Attached original documents or copies thereof.

1. Name of conveying party(ies):

Neal R. Verfuert

2. Name and address of receiving party(ies):

**Orion Energy Systems, Inc.
1204 Pilgrim Road
Plymouth, Wisconsin 53073**

Additional conveying party(ies)

NO

3. Nature of conveyance:

ASSIGNMENT

Execution Date:

09/02/2009

Additional name(s) & address(es) attached?

NO

4. Application number(s) or patent number(s):

If this is being filed together with a new application, the execution date of the application is:

A. Patent Application Number(s):

29/343,007

B. Patent Number(s):

OCT 15Additional numbers attached? **NO**

5. Name and address of party to whom correspondence concerning document should be mailed:

**John M. Lazarus
FOLEY & LARDNER LLP
777 East Wisconsin Avenue
Suite 3800
Milwaukee, Wisconsin 53202-5306**6. Total number of applications/patents involved: **1**7. Total fee (37 C.F.R. § 3.41): **\$40.00**

Check Enclosed

☒ Authorized to be charged to credit card

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8. Payment Information

a. Credit Card Last 4 Numbers **7844**
Expiration Date **11/30/2011**b. Deposit account number **19-0741**
Authorized User Name **John M. Lazarus**

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copies are true copy of the original document. The Commissioner is hereby authorized to charge any additional recordation fees which may be required in this matter to the above-identified deposit account.

John M. LazarusName of person signing
Registration No.: 48,367

Signature

Date

10/15/2009Total number of pages including cover sheet, attachments, and document: **4**

ASSIGNMENT - WORLDWIDE

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor (hereinafter referred to singly and collectively as "ASSIGNOR") has sold, assigned, and transferred, and by these presents hereby sells, assigns, and transfers, unto

Orion Energy Systems, Inc.
1204 Pilgrim Road
Plymouth, Wisconsin 53073

(hereinafter referred to as "ASSIGNEE") its successors and assigns, the full and exclusive right, title and interest for the United States, its territories and possessions, and all foreign countries in and to this invention relating to

LIGHTING FIXTURE

as set forth in this United States Patent Application

check one ☐ executed concurrently herewith,
 ☐ executed on _____,
 ☒ Serial No. **29/343,007** **Filed September 4, 2009**

as well as in and to (a) all improvements and modifications of the above-identified invention or inventions, (b) the above-identified application and all other applications for Letters Patent of the United States and countries foreign thereto for above-identified invention or inventions and all improvements and modifications thereof, (c) all Letters Patent which may issue from said applications in the United States and countries foreign thereto, (d) all divisions, continuations, reissues, and extensions of said applications and Letters Patent, and (e) the right to claim for any of said applications the full benefits and priority rights under the International Convention and any other international agreement to which the United States adheres; such right, title, and interest to be held and enjoyed by ASSIGNEE, its successors and assigns, to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by ASSIGNOR had this Assignment not been made.

ASSIGNOR HEREBY AUTHORIZES ASSIGNEE to file patent applications in any or all countries on the above-identified invention or inventions in the name of the undersigned or in the name of ASSIGNEE or otherwise as ASSIGNEE may deem advisable under the International Convention or otherwise.

ASSIGNOR HEREBY AUTHORIZES AND REQUESTS the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE as assignee of the entire interest, for the sole use and benefit of ASSIGNEE, its successors and assigns.

ASSIGNOR HEREBY AGREES (a) to communicate to ASSIGNEE, its successors and assigns, or their representative or agents, all facts and information known or available to ASSIGNOR respecting said invention or inventions, improvements, and modifications including evidence for interference, reexamination, reissue, opposition, revocation, extension, or infringement purposes or other legal, judicial, or administrative proceedings, whenever requested by ASSIGNEE; (b) to testify in person or by affidavit as required by ASSIGNEE, its successors and assigns, in any such proceeding in the United States or a country foreign thereto; (c) to execute and deliver, upon request by ASSIGNEE, all lawful papers including, but not limited to, original, divisional, continuation, and reissue applications, renewals, assignments, powers of attorney, oaths, affidavits, and declarations, depositions; and (d) to provide all reasonable assistance to ASSIGNEE, its successors and assigns, in obtaining and enforcing proper title in and protection for said invention or inventions, improvements, and modifications under the intellectual property laws of the United States and countries foreign thereto.

ASSIGNOR HEREBY REPRESENTS AND WARRANTS that ASSIGNOR has the full and unencumbered right to sell, assign, and transfer the interests sold, assigned, and transferred herein, and that ASSIGNOR has not executed and will not execute any document or instrument in conflict herewith.

ASSIGNOR HEREBY GRANTS to the law firm of **Foley & Lardner LLP** the power and authority to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

ASSIGNOR UNDERSTANDS AND AGREES that the attorneys and agents of the law firm of **Foley & Lardner LLP** do not personally represent ASSIGNOR OR ASSIGNOR's legal interests, but instead represent the interests of ASSIGNEE; since said attorneys and agents cannot provide legal advice to ASSIGNOR with respect to this Assignment, ASSIGNOR acknowledges its right to seek its own independent legal counsel.

Executed this 2nd day of September, 2007.

NEAL R. VERFUERTH
NEAL R. VERFUERTH

State of WISCONSIN)

County of MANITOWOC)

On this 2nd day of September, 2007, before me, Dianne M. Coonen a notary public in and for said county), personally appeared NEAL R. VERFUERTH, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

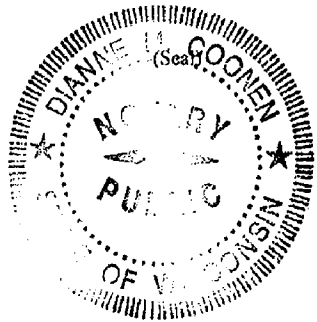
WITNESS my hand and official seal.

Signature

Dianne M. Coonen

DIANNE M. COONEN
NOTARY PUBLIC

My Commission Expires: 2-27-11



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Verfuert et al.
 Title: LIGHTING FIXTURE
 Appl. No.: 29/343,007
 Filing Date: 09/04/2009
 Examiner: Krakower, Susan E.
 Art Unit: 2917
 Confirmation No.: 7737

CERTIFICATE OF EXPRESS MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
EM 009603895 US (Express Mail Label Number)	October 15, 2009 (Date of Deposit)
Deborah A. Kocorowski (Printed Name)	
<i>Deborah A. Kocorowski</i> (Signature)	

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OCT 15

TRANSMITTAL IN RESPONSE TO
NOTICE OF NON-RECORDATION OF DOCUMENT

In response to the Notice of Non-Recordation of Document mailed September 22, 2009, in the above-referenced design patent application, transmitted herewith are the documents in response to the Notice of the subject patent application.

Enclosed are:

- [X] Return Copy of Notice of Non-Recordation of Document (1 page).
- [X] New Recordation Form Cover Sheet reflecting Document ID No.: 500967297 (1 page).
- [X] Copy of original Patent Assignment Cover Sheet that includes a stamp with the official date of receipt (September 21, 2009) (1 page).
- [X] Newly submitted legible Assignment (3 pages).