

RECORDATION FORM COVER SHEET

Patent and Trademark Office

PATENTS ONLY

To: The Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy(ies).

Submission Type

- ☒ New
☐ Resubmission (Non-Recordation)
 Document ID# _____
☐ Correction of PTO Error
 Reel # _____ Frame# _____
☐ Corrective Document
 Reel# _____ Frame# _____

Conveyance Type

- ☒ Assignment
☐ License
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other: _____

Conveying Party(ies):

Name (1) Stephen R. Jaspers
 Name (2) Mark W. Rixon
 Name (3) Stacey R. Dillon
 Name (4) Frederick J. Ramsdell
 Name (5) Cecile M. Krejsa
 Name (6) Eugene C. Yi
 Name (7)
 Name (8)

☐ Mark if additional names of conveying parties attached

Execution Date: June 26, 2009

Execution Date: June 18, 2009

Execution Date: June 19, 2009

Execution Date: September 11, 2009

Execution Date: June 24, 2009

Execution Date: June 19, 2009

Execution Date:

Execution Date:

Receiving Party(ies):

Name: ZymoGenetics, Inc.
 Address: 1201 Eastlake Avenue East
 Seattle, WA 98102

☐ Mark if additional names of receiving parties attached

Correspondent Name and Address

Name: Michelle L. Lewis
 ZymoGenetics, Inc.
 Address: 1201 Eastlake Avenue East
 Seattle, WA 98102
 (206) 442-6627

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

12

Application Number(s) or Patent Number(s)

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

12/483,098

☐ Mark if additional numbers attached

Patent Number(s)

Number of Properties

Number of Applications and Patents Involved: _____

Fee Amount

Fee Amount for Properties List (37 C.F.R. 3.41): \$40.00

Method of Payment: Enclosed ☐ Deposit Account ☒ Deposit Account Number: 26-0290Authorization to charge additional fees: Yes ☒ No ☐

DO NOT USE THIS SPACE

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Michelle L. Lewis

Michelle L. Lewis

36,352

Registration No. 36,352

10/22/09

Date

PATENT
File No.: 07-16C1

ASSIGNMENT OF APPLICATION FOR PATENT

WHEREAS:

Stephen R. Jaspers, a citizen of the United States, residing at 5829 150th Place S.W., Edmonds, WA 98026;

Mark W. Rixon, a citizen of the United States, residing at 3724 248th Place S.E., Issaquah, WA 98029;

Stacey R. Dillon, a citizen of the United States, residing at 8057 28th Avenue N.E., Seattle, WA 98115;

Frederick J. Ramsdell, a citizen of the United States, residing at 2205 Country View Lane, Bainbridge Island, WA 98110;

Cecile M. Krejsa, a citizen of the United States, residing at 5216 9th Avenue N.W., Seattle, WA 98107; and

Eugene C. Yi, a citizen of the United States, residing at 15417 3rd Drive S.E., Mill Creek, WA 98012;

(hereinafter ASSIGNORS), have made a discovery or invention entitled:

ANTI-HUMAN IL-21 MONOCLONAL ANTIBODIES

for which application of Letters Patent of the United States has been filed on June 11, 2009 and granted serial number 12/483,098 which is a continuation-in-part of U.S. Patent Application No. 12/330,334, filed December 8, 2008 and for which parent application no. 12/330,334 and International Application No. PCT/US2008/085923 were filed on December 8, 2008, all of which claim priority to U.S. Provisional Patent Application No. 61/012,329, filed December 7, 2007; and

File No.: 07-16C1

2 of 7

WHEREAS:

ZymoGenetics, Inc., a corporation of the State of Washington having a place of business at 1201 Eastlake Avenue East, Seattle, Washington (hereinafter ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, in consideration of the payment by ASSIGNEE to ASSIGNORS of the sum of one dollar (\$1) or the equivalent thereof, the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNORS hereby sell, assign and transfer to ASSIGNEE, its successors, legal representatives and assigns, the full and exclusive rights, titles and interests to said discovery or invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, division, renewal, extension, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

SAID ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America and any Official of any country or countries foreign to the United States of America whose duty it is to issue Letters Patent on applications as aforesaid, to issue all such Letters Patent for said discovery or invention to the ASSIGNEE, as assignee of the entire right, title and interest in, to and under the same, for the sole use and behalf of the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

SAID ASSIGNORS hereby covenant that we have full right to convey the entire right, title and interest herein sold, assigned, transferred and set over.

AND SAID ASSIGNORS hereby further covenant and agree that the ASSIGNEE, its successors, legal representatives, and assigns, may apply for foreign Letters Patent on said discovery or invention and claim the benefits of the International Convention, and that we will, at any time, when called upon to do so by the ASSIGNEE, its successors, legal representatives, or assigns, communicate to the ASSIGNEE, its successors, legal representatives, or assigns, as the case may be, any facts known to us respecting said discovery or invention, and execute and deliver any and

File No.: 07-16C1

3 of 7


all lawful papers that may be necessary or desirable to perfect the title to the said discovery or invention, the said applications and the said Letters Patent in the ASSIGNEE, its successors, legal representatives and assigns, and that if reissues of the said Letters Patent or disclaimers relating thereto, or divisions, continuations, or refilings of the said applications, or any thereof, shall hereafter be desired by the ASSIGNEE, its successors, legal representatives or assigns, we will, at any time, when called upon to do so by the ASSIGNEE, its successors, legal representatives, or assigns, sign all lawful papers, make all rightful oaths, execute and deliver all such disclaimers and all divisional, continuation and reissue applications so desired, and do all lawful acts requisite for the application for such reissues and the procuring thereof and for the filing of such disclaimers and such applications, and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said invention or discovery in all countries, all without further compensation but at the expense of the ASSIGNEE, its successors, legal representatives and assigns.

This Assignment is made effective as of December 7, 2007.

File No.: 07-16C1

4 of 7

Date:

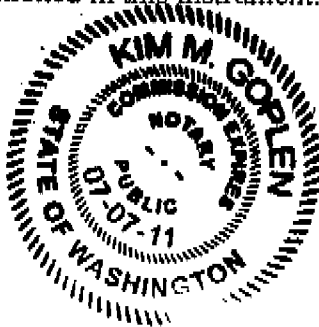
6/26/09
Stephen R. Jaspers

State of Washington

)
) ss.
)

County of King

I certify that I know or have satisfactory evidence that Stephen R. Jaspers signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in this instrument.




Dated

June 26, 2009Signature of
Notary PublicKim M. Goplen

My Appointment expires

7-7-11

Date:

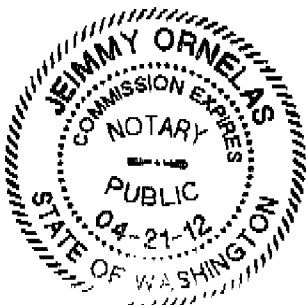
6/18/09
Mark W. Rixon

State of Washington

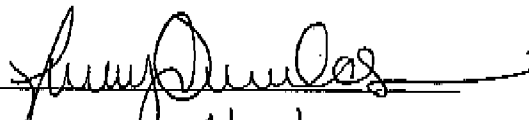
)
) ss.
)

County of King

I certify that I know or have satisfactory evidence that Mark W. Rixon signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in this instrument.



Dated

6/18/09Signature of
Notary Public

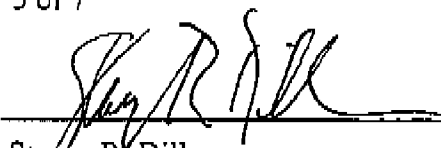
My Appointment expires

4/21/12

File No.: 07-16C1

5 of 7

Date:

6/19/09
Stacey R. Dillon

State of Washington

)
)
ss.

County of King

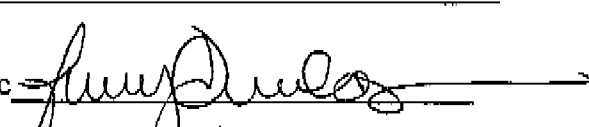
I certify that I know or have satisfactory evidence that Stacey R. Dillon signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in this instrument.




Dated

6/19/09Signature of
Notary Public

My Appointment expires


4-21-12

Date:

9/11/09
Frederick J. Ramsdell

State of Washington

)
)
ss.

County of King

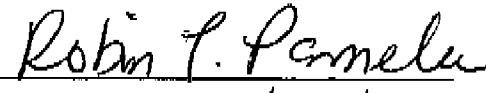
I certify that I know or have satisfactory evidence that Frederick J. Ramsdell signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in this instrument.



Dated

9/11/09Signature of
Notary Public

My Appointment expires


07/30/2013

PATENT

REEL: 023412 FRAME: 0691

File No.: 07-16C1

6 of 7

Date:

6/24/09

Cecile M. Krejsa

State of Washington

)

) ss.

County of King

)

I certify that I know or have satisfactory evidence that Cecile M. Krejsa signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in this instrument.



Dated

6/24/09Signature of
Notary PublicJimmy Ornelas

My Appointment expires

4-21-12

Date:

Eugene C. Yi

State of Washington

)

) ss.

County of King

)

I certify that I know or have satisfactory evidence that Eugene C. Yi signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in this instrument.

Dated

Signature of
Notary Public

My Appointment expires

PATENT

REEL: 023412 FRAME: 0692

PATENT
File No.: 07-16C1

ASSIGNMENT OF APPLICATION FOR PATENT

WHEREAS:

Stephen R. Jaspers, a citizen of the United States, residing at 5829 150th Place S.W., Edmonds, WA 98026;

Mark W. Rixon, a citizen of the United States, residing at 3724 248th Place S.E., Issaquah, WA 98029;

Stacey R. Dillon, a citizen of the United States, residing at 8057 28th Avenue N.E., Seattle, WA 98115;

Frederick J. Ramsdell, a citizen of the United States, residing at 2205 Country View Lane, Bainbridge Island, WA 98110;

Cecile M. Krejsa, a citizen of the United States, residing at 5216 9th Avenue N.W., Seattle, WA 98107; and

Eugene C. Yi, a citizen of the United States, residing at 15417 3rd Drive S.E., Mill Creek, WA 98012;

(hereinafter ASSIGNORS), have made a discovery or invention entitled:

ANTI-HUMAN IL-21 MONOCLONAL ANTIBODIES

for which application of Letters Patent of the United States has been filed on June 11, 2009 and granted serial number 12/483,098 which is a continuation-in-part of U.S. Patent Application No. 12/330,334, filed December 8, 2008 and for which parent application no. 12/330,334 and International Application No. PCT/US2008/085923 were filed on December 8, 2008, all of which claim priority to U.S. Provisional Patent Application No. 61/012,329, filed December 7, 2007; and

PATENT
REEL: 023412 FRAME: 0693

File No.: 07-16C1

2 of 7

WHEREAS:

ZymoGenetics, Inc., a corporation of the State of Washington having a place of business at 1201 Eastlake Avenue East, Seattle, Washington (hereinafter ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, in consideration of the payment by ASSIGNEE to ASSIGNORS of the sum of one dollar (\$1) or the equivalent thereof, the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNORS hereby sell, assign and transfer to ASSIGNEE, its successors, legal representatives and assigns, the full and exclusive rights, titles and interests to said discovery or invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, division, renewal, extension, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

SAID ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America and any Official of any country or countries foreign to the United States of America whose duty it is to issue Letters Patent on applications as aforesaid, to issue all such Letters Patent for said discovery or invention to the ASSIGNEE, as assignee of the entire right, title and interest in, to and under the same, for the sole use and behalf of the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

SAID ASSIGNORS hereby covenant that we have full right to convey the entire right, title and interest herein sold, assigned, transferred and set over.

AND SAID ASSIGNORS hereby further covenant and agree that the ASSIGNEE, its successors, legal representatives, and assigns, may apply for foreign Letters Patent on said discovery or invention and claim the benefits of the International Convention, and that we will, at any time, when called upon to do so by the ASSIGNEE, its successors, legal representatives, or assigns, communicate to the ASSIGNEE, its successors, legal representatives, or assigns, as the case may be, any facts known to us respecting said discovery or invention, and execute and deliver any and

File No.: 07-16C1

3 of 7

all lawful papers that may be necessary or desirable to perfect the title to the said discovery or invention, the said applications and the said Letters Patent in the ASSIGNEE, its successors, legal representatives and assigns, and that if reissues of the said Letters Patent or disclaimers relating thereto, or divisions, continuations, or refilings of the said applications, or any thereof, shall hereafter be desired by the ASSIGNEE, its successors, legal representatives or assigns, we will, at any time, when called upon to do so by the ASSIGNEE, its successors, legal representatives, or assigns, sign all lawful papers, make all rightful oaths, execute and deliver all such disclaimers and all divisional, continuation and reissue applications so desired, and do all lawful acts requisite for the application for such reissues and the procuring thereof and for the filing of such disclaimers and such applications, and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said invention or discovery in all countries, all without further compensation but at the expense of the ASSIGNEE, its successors, legal representatives and assigns.

This Assignment is made effective as of December 7, 2007.

File No.: 07-16C1

4 of 7

Date: _____

Stephen R. Jaspers

State of Washington

)
)
ss.
)

County of King

I certify that I know or have satisfactory evidence that Stephen R. Jaspers signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in this instrument.

Dated _____

Signature of
Notary Public _____

My Appointment expires _____

Date: _____

Mark W. Rixon

State of Washington

)
)
ss.
)

County of King

I certify that I know or have satisfactory evidence that Mark W. Rixon signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in this instrument.

Dated _____

Signature of
Notary Public _____

My Appointment expires _____

File No.: 07-16C1

5 of 7

Date: _____

Stacey R. Dillon

State of Washington

)

) ss.

County of King

)

I certify that I know or have satisfactory evidence that Stacey R. Dillon signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in this instrument.

Dated _____

Signature of
Notary Public _____

My Appointment expires _____

Date: _____

Frederick J. Ramsdell

State of Washington

)

) ss.

County of King

)

I certify that I know or have satisfactory evidence that Frederick J. Ramsdell signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in this instrument.

Dated _____

Signature of
Notary Public _____

My Appointment expires _____

File No.: 07-16C1

6 of 7

Date: _____

Cecile M. Krejsa

State of Washington

) ss.
)

County of King

I certify that I know or have satisfactory evidence that Cecile M. Krejsa signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in this instrument.

Dated _____

Signature of
Notary Public _____

My Appointment expires _____

Date: 6/19/09

Eugene C. Yi

State of Washington

) ss.
)

County of King

I certify that I know or have satisfactory evidence that Eugene C. Yi signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in this instrument.

Dated 6-19-09Signature of
Notary Public My Appointment expires 5-9-12