

Form PTO-1595 (Rev. 03-09)
OMB No. 0651-0027 (exp. 03/31/2009)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Amit LAL (09/10/2009) and
Shankar Radhakrishnan (09/15/2009)

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: Cornell University
Internal Address: Cornell Center for Technology, Enterprise & Commercialization (CCTEC)

Street Address:

395 Pine Tree Road, Suite 310

City: Ithaca

State: New York

Country: United States of America Zip: 14850

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): in parentheses after inventor name

Assignment Merger Change of Name

Security Agreement Joint Research Agreement

Government Interest Assignment

Executive Order 9424, Confirmatory License

Other Corrective Assignment correcting Assignment originally recorded on 10/7/09 on Reel 023338

4. Application or patent number(s):

This document is being filed together with a new application.

A. Patent Application No.(s)

11/447,201
Document I.D. No.
500994196

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Anne M. Schneiderman
MARJAMA MULDOON BLASIAK & SULLIVAN LLP

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Street Address: 250 South Clinton Street
Suite 300

City: Syracuse

State: NY Zip: 13202

Phone Number: (315) 425-9000

Fax Number: (315) 425-9114

Email Address: _____

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

Authorized to be charged to deposit account

Enclosed

None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 50-0289

Authorized User Name Anne M. Schneiderman

9. Signature:

Anne M. Schneiderman
Signature

October 23, 2009
Date

Anne M. Schneiderman - 43,095
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 14

Recordation Form Cover Sheet

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted by facsimile to the Patent and Trademark Office, (facsimile no. (571) 273-0140, on the date shown below.

Dated: October 23, 2009

Signature: Meg Saccal (Meg Saccal)

TO:MARJAMA MULDOON BLASIAK & SULLIVAN LLP COMPANY:250 SOUTH CLINTON ST. SUITE

PATENT ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

10/07/2009
500982336

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
Amit Lal	09/10/2009
Shankar Radhakrishnan	09/15/2009

RECEIVING PARTY DATA

Name:	Cornell University
Street Address:	395 Pine Tree Road, Suite 310
Internal Address:	Cornell Center for Technology, Enterprise & Commercialization
City:	Ithaca
State/Country:	NEW YORK
Postal Code:	14850

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	11447201

CORRESPONDENCE DATA

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ATTORNEY DOCKET NUMBER:	1258_3706NP
NAME OF SUBMITTER:	Anne M. Schneiderman

Total Attachments: 3
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Total Attachments: 3

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ASSIGNMENT

WHEREAS, We, Amit Lal, a US citizen, residing in Ithaca, New York and Shankar Radhakrishnan, a citizen of India, residing in New York, New York have invented certain new and useful improvements in ALKALI METAL-WAX MICROPACKETS FOR ALKALI METAL HANDLING for which an application for Letters Patent in the United States was filed as U.S. Serial No. 11/447,201 on June 6th, 2006; claiming priority to U.S. Provisional Application No. 60/687,306 on June 6th, 2005;

AND WHEREAS, Cornell University, with its principal place of business at Cornell Center for Technology, Enterprise & Commercialization ("CCTEC"), 395 Pine Tree Road, Suite 310, Ithaca, New York 14850 USA, is entitled to acquire the entire right, title and interest in and to the said improvements and the said Application;

NOW, THEREFORE, for good and valuable consideration, including salary or payment for the making of inventions, or employee benefits, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said Cornell University, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals, and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said Cornell University, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said Cornell University, its successors, legal representatives, and assigns, any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, and reissue applications, make all rightful oaths and generally do everything possible to aid the said Cornell University, its successors, legal representatives, and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 10th day of September, 2009


Amit Lal [assigned]

STATE OF New York
COUNTY OF Tompkins SS:

This 10th day of September, 2009, before me personally came the above-named Amit Lal, to me personally known as the individual who executed the same of his/her own free will for the purposes therein set forth,

- 2 -

Michelle M. Shields
Notary Public - State of New York
No. 015H6180887
Qualified in Cayuga County
Commission Expires 08-25-11

Michelle M. Shields
Notary Public
Commission expires on 6/25/2011

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this ____ day
of _____, 2009.

Shanker Radhakrishnan [assignor]

STATE OF _____
COUNTY OF _____, SS:

This ____ day of _____, 2009, before me personally came the
above-named _____ to me personally known as the individual
who executed the same of his/her own free will for the purposes therein set forth.

Notary Public
Commission expires on _____

- 2 -

Notary Public
Commission expires on _____

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 15th day
of September, 2009.

R. Shankar

Shankar Radhakrishan [assignor]

STATE OF New York
COUNTY OF Tompkins SS:

This 15th day of September, 2009, before me personally came the
above-named Shankar Radhakrishan, to me personally known as the individual
who executed the same of his/her own free will for the purposes therein set forth.

Michelle M. Shields
Notary Public - State of New York
No. 016149100007
Qualified in Cayuga County
Commission Expires 08-25-2011

Michelle M. Shields

Notary Public
Commission expires on 6/25/2011

10/23/09