

10-28-2009



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To the Director of the U.S. Patent and

103578923

Documents or the new address(es) below.

**1. Name of conveying party(ies)**

Awadh B. Pandey

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No**3. Nature of conveyance/Execution Date(s):**

Execution Date(s)

10/15/2009

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Joint Research Agreement☐ Government Interest Assignment☐ Executive Order 9424, Confirmatory License☐ Other**2. Name and address of receiving party(ies)**Name: United Technologies Corporation

Internal Address: \_\_\_\_\_

Street Address: One Financial PlazaCity: HartfordState: CTCountry: US Zip: 06101Additional name(s) & address(es) attached? ☐ Yes ☒ No**4. Application or patent number(s):**

A. Patent Application No.(s)

☒ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No**5. Name and address to whom correspondence concerning document should be mailed:**Name: David R. FairbairnInternal Address: Kinney & Lange, P.A.Street Address: 312 South Third StreetCity: MinneapolisState: MN Zip: 55415-1002Phone Number: 612-339-1863Fax Number: 612-339-6580Email Address: drfairbairn@kinney.com**6. Total number of applications and patents involved: 1****7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00**☐ Authorized to be charged by credit card☒ Authorized to be charged to deposit account☐ Enclosed☐ None required (government interest not affecting title)**8. Payment Information**

a. Credit Card

Last 4 Numbers \_\_\_\_\_

Expiration Date \_\_\_\_\_

b. Deposit Account Number

21-0279

Authorized User Name David R. Fairbairn**9. Signature:**

Signature

David R. Fairbairn

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

2

October 16, 2009

Date

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
 Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

**PATENT**  
**REEL: 023433 FRAME: 0945**

# ASSIGNMENT

Attorney Docket No.

PA0008546U-U73.12-406KL

First Named Inventor : Awadh B. Pandey

Title : HOT AND COLD ROLLING HIGH STRENGTH L1<sub>2</sub> ALUMINUM ALLOYS

WHEREAS, I, Awadh B. Pandey, of Jupiter, FL, U.S.A. have invented certain new and useful improvements as described in an application entitled HOT AND COLD ROLLING HIGH STRENGTH L1<sub>2</sub> ALUMINUM ALLOYS for Letters Patent of the United States, the application being identifiable by its title and named inventors above, and/or being identifiable in the United States Patent and Trademark Office by Application No. \_\_\_\_\_, filed \_\_\_\_\_; and


WHEREAS, United Technologies Corporation, a corporation organized and existing under the laws of the State of Delaware, and having offices at One Financial Plaza, Hartford, Connecticut 06101, ("Assignee") is desirous of acquiring the entire right, title and interest in and to the invention, the application, and any and all Letters Patent or similar legal protection, foreign or domestic, to be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, I transfer to Assignee, its successors and assigns, my entire right, title and interest in and to the invention, the above-identified application, corresponding domestic and foreign applications, all Letters Patent or similar legal protection issuing thereon, and all rights and benefits under any applicable treaty or convention; and I authorize the Commissioner of Patents and Trademarks of the United States or foreign equivalent thereof to issue the Letters Patent or similar legal protection to the Assignee.

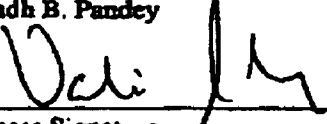
I authorize the Assignee, its successors and assigns, to insert in this instrument the filing date and application number of the application when ascertained.

I authorize the Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent or similar legal protection, in its own name if desired, in any and all foreign countries.

I represent to the Assignee, its successors and assigns, that I have not and shall not execute any writing or do any act whatsoever conflicting with this Assignment. I, my executors or administrators, will at any time upon request, without additional consideration, but at the expense of the Assignee, its successors and assigns, execute such additional writings and do such additional acts as the Assignee, its successors and assigns, may deem desirable to perfect its enjoyment of this grant, and render all assistance in making application for and obtaining, maintaining, and enforcing the Letters Patent or similar legal protection on the invention in any and all countries.

  
\_\_\_\_\_  
Awadh B. Pandey

10/15/09  
\_\_\_\_\_  
Date

  
\_\_\_\_\_  
Witness Signature

Valeri V. Prode  
\_\_\_\_\_  
Witness Printed Name