10: 2-1.09 WEE	Docket No.: PHCF-09071US
FORM PTO-1595 (Modified) (Rev. 03-09)	0-2009 U.S. DEPARTMENT OF COMMERCE
OMB No. 0651-0027 (exp.3/31/2009)	Patent and Trademark Office
אינות וווער בעריים אווער העריים אינות העריים אונות העריים	
To the Director of the United Tales Reservance and Traueman 0.35	579155
1. Name of conveying party(ies):	2. Name and address of receiving party(ies):
1) Hiroshi ISHIKAWA 5) Masahiko MORI	National Institute of Advanced Industrial Science and Technology
2) Toshifumi HASAMA 6) Hisato UETSUKA 3) Hitoshi KAWASHIMA 7) Hiroyuki TSUDA	Name:
4) Kenji KINTAKA 8) Keisuke SORIMOTO	Address: 3-1, Kasumigaseki 1-chome, Chiyoda-ku
Additional names(s) of conveying party(ies)	
3. Nature of conveyance/Execution Date(s):	
Execution Date(s): September 11, 2009	_
	City: Tokyo
☐ Security Agreement ☐ Change of Name	State/Prov.:
Joint Research Agreement	Country: JAPAN ZIP: 100-8921
☐ Government Interest Assignment ☐ Executive Order 9424, Confirmatory License	
Other	Additional name(s) & address(es) attached? ☑ Yes ☐ No
4. Application or patent numbers(s):	☐ This document is being filed together with a new application.
A. Patent Application No. (s)	B. Patent No.(s)
, ,	
12/585,516	
Additional numbers a	
5. Name and address to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved:
Name: Sean M. McGinn, Esq.	
Registration No.: 34,386	7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00
Address: McGinn Intellectual Property Law Group, PLLC	
8321 Old Courthouse Road	☐ Authorized to be charged by credit card
Suite 200	
City: Vienna	 ✓ Enclosed ✓ None required (government interest not affecting title)
State/Prov.: Virginia	8. Payment Information
Country: USA ZIP: 22182-3817	
Phone Number: (703) 761-4100	a. Credit Card Last 4 Numbers
Fax Number: (703) 761-2375 or 76	b. Deposit Account Number 50-0481 (deficiencies only)
Email Address:	
	Authorized User Name Sean M. McGinn, Esq.
9. Signature:	
Signature	October 27, 2009 Date
·	Date
Sean M. McGinn, Esq. Name of Person Signing	Total number of pages including cover
Documents to be recorded (including cove	sheet, attachments, and document: 16/50 16
man Goop Assignment Nacordation Services, Dire	or sheet) should be faxed to (57)273/486.3-144449.4: actor of the USPTO, P.O. Box 1450, Alexandria, VA 22313-149/98/195 1258/951b
	46.80 Qr
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Hiroshi ISHIKAWA, et al.

Serial No.: 12/585,516

Docket No.: PHCF-09071US

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RECORDATION FORM COVER SHEET

2. Name and Address of Receiving Party(ies): Continued

Name: Hitachi Cable, Ltd.

Address: 14-1, Sotokanda 4-chome, Chiyoda-ku

City: Tokyo

State/Prov

Country: JAPAN

3. Name and Address of Receiving Party(ies): Continued

Name: KEIO UNIVERSITY

Address: 15-45, Mita 2-chome, Minato-ku

City: Tokyo

State/Prov

Country: JAPAN Zip Code 108-8345

ASSIGNMENT OF APPLICATION FOR PATENT

WHEREAS

NAME AND ADDRESS OF ASSIGNOR Hiroshi ISHIKAWA c/o National Institute of Advanced Industrial Science and Technology, Tsukuba Central 2, 1-1, Umezono 1-Chome, Tsukuba-shi, Ibaraki 305-8568 Japan

Toshifumi HASAMA c/o National Institute of Advanced Industrial Science and Technology, Tsukuba Central 2, 1-1, Umezono 1-Chome, Tsukuba-shi, Ibaraki 305-8568 Japan

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Masahiko MORI c/o National Institute of Advanced Industrial Science and Technology, Tsukuba Central 2, 1-1, Umezono 1-Chome, Tsukuba-shi, Ibaraki 305-8568 Japan

Hisato UETSUKA c/o Hitachi Cable, Ltd., 14-1, Sotokanda 4-chome, Chiyoda-ku, Tokyo, Japan

Hiroyuki TSUDA c/o Faculty of Science and Technology, Keio University, 14-1, Hiyoshi 3-chome, kohoku-ku, Yokohama-shi, Kanagawa 223-8522 Japan

Keisuke SORIMOTO c/o Faculty of Science and Technology, Keio University, 14-1, Hiyoshi 3-chome, kohoku-ku, Yokohama-shi, Kanagawa 223-8522 Japan

(HEREINAFTER REFERRED TO AS ASSIGNOR), have invented and own a certain new and useful invention entitled:

TITLE OF INVENTION:

OPTICAL WAVEGUIDE-TYPE WAVELENGTH DOMAIN SWITCH

WHEREAS

FULL NAME AND ADDRESS OF ASSIGNEE

National Institute of Advanced Industrial Science and Technology 3-1, Kasumigaseki 1-chome, Chiyoda-ku, Tokyo 100-8921, Japan

Hitachi Cable, Ltd. 14-1, Sotokanda 4-chome, Chiyoda-ku, Tokyo, Japan

KEIO UNIVERSITY 15-45, Mita 2-chome, Minato-ku, Tokyo 108-8345 Japan

(hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire right, title, and interest in, to and under said invention and the United States Letters Patent to be obtained therefor:

NOW THEREFORE, TO ALL WHOM IT MAY CONCERN:

it known that in consideration of the payment of ASSIGNEE

to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR, by these presents, hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest to said invention and all Letters Patent of the United States to be obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof for the full term or terms for which the same may be granted.

ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the

United States Patent obtained therefor on said application or any (Assignment of Application for Patent -- page 2 of 3

continuation, division, renewal, substitute or reissue thereof for the full term or terms to said ASSIGNEE, of the entire right, title, and interest in and to the same, for his sole use and behoof, and for the use and behoof of his legal representatives, to the full end of the term or terms for which said Letters Patent obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof for may be granted, as full and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said application,

Be

PHCF-09071US

said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

DATE OF SIGNING

IN WITNESS WHEREOF, I/We have hereunto set

hand and seal this 11th day of September, 2009.

SIGNATURE

By: Hinshi Ishikawa

Hiroshi ISHIKAWA

SIGNATURE

By: Toshifumi Hasama

SIGNATURE

By: Hypshi Kawashima
Hitoshi KAWASHIMA

SIGNATURE

By: Kenji Kintaka

Kenji KINTAKA

SIGNATURE

By: Mushila Om

SIGNATURE

By: Hisato UETSUKA

SIGNATURE

By: Mroyna Isush Hiroyuki TSUDA

SIGNATURE

By: Klisuke Sorimoto
Keisuke SORIMOTO

WITNESS 1

Masahiro TAGUCHI Masahiri KATSURA M.K Sep. 11. 2009

WITNESS 2

M. Kato

WITNESS 3

Satoshi Doi

(Assignment of Application for Patent -- page 3 of 3)

PATENT REEL: 023445 FRAME: 0915

RECORDED: 10/27/2009