Form PTO-1595 (Rev. QMB No. 0651-0027_	07/05) (exp. 6/30/2008)	<u> </u>	U.S. DEPARTMENT OF COMMERCE Inited States Patent and Trademark Office
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Additional name(s) of conveying party(ies) attached? Yes No  3. Nature of conveyance/Execution Date(s):  Execution Date(s) September 8, 2009  Assignment Merger  Security Agreement Change of Name		Street Address: 1000 Independence Ave., S.W	
		City: <u>Washington</u>	
Joint Research Agreement		State: D.C.	
_	Interest Assignment	Country: <u>USA</u>	Zip: <u>20585</u>
Other	rder 9424, Confirmatory License	Additional nama(s) & s	ddress(es) attached? Yes 🗸 No
Serial No. 12.	/505,586 Additional numbers al	ttached?	
5. Name and address to whom correspondence concerning document should be mailed:  Name:Mark P. Dvorscak		6. Total number of applications and patents involved: 1	
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PATENT REEL: 023511 FRAME: 0426

## CONFIRMATORY LICENSE

Title:

Nanotube Phonon Waveguide

Contractor:

The Regents of the University of California

Contract No:

DE-AC02-05CH11231

LBNL Docket No:

JIB-2335

DOE Docket No.: \$-110,932

Inventor(s): Chang, Chih-Wei; Zettl,

Alexander K.

US Patent App No.: 12/505,586

Filing Date:

July 20, 2009

U.S. Patent No.: Issue Date:

Foreign patent applications filed or intended to be filed at contractor's expense in (countries): (None)

The Contractor certifies that a true copy of the provisions which govern patent rights in "subject inventions" under the above-identified contract is herewith submitted or has been submitted to the U.S. Department of Energy by certification dated October 29, 1996.

WHEREAS, the above-identified contract provides the Contractor with the right to elect to retain title in certain inventions and the Contractor has reported the above-identified invention as a subject invention under the contract, has elected to retain title therein, and has agreed to file a domestic patent application thereon, if not previously filed.

ACCORDINGLY, the Contractor hereby confirms that under the provisions of the above-identified contract governing patent rights, it has granted to the Government a nonexclusive, nontransferable, irrevocable, paid-up license to practice or have practiced for or on behalf of the United States the subject invention throughout the world. This license applies to the above-identified invention, the aboveidentified patent application(s), and any and all divisions or continuations thereof and any resulting patent or reissue patent which may be granted thereon.

The Government reserves for itself, and is hereby granted by the Contractor, the irrevocable power to inspect and make copies of the file wrapper(s) of the above-identified U.S. patent application and of any related or continuation patent application(s), whether domestic or foreign, for the above-identified invention.

It is understood and agreed that this instrument does not preclude the Government from asserting rights under the provisions of the above-identified contract or any other agreement between the Government and the Contractor, or any other rights of the Government with respect to the above-identified invention.

(Contractor)

Signed on behalf of: The Regents of the University of California, Lawrence Berkeley National Laboratory

Mark D. Menge, Admin. Asst.

(Name and Title)

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Patent Attorney

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Berkeley, CA 94720-8127

**PATENT** REEL: 023511,FRAME, 0421 \*\*

RECORDED: 11/12/2009