# Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE: NEW ASSIGNMENT

NATURE OF CONVEYANCE: ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
Sunoco, Inc. (R&M)	01/01/2009

#### **RECEIVING PARTY DATA**

Name:	Sunoco Chemicals, Inc.
Street Address: 1735 Market Street	
City:	Philadelphia
State/Country:	PENNSYLVANIA
Postal Code:	19103

#### PROPERTY NUMBERS Total: 10

Property Type	Number
Application Number:	09865190
Application Number:	10844640
Application Number:	11090523
Application Number:	11110006
Application Number:	11715686
Application Number:	11796887
Application Number:	11998583
Application Number:	12286773
Application Number:	12286774
Application Number:	12286834

#### **CORRESPONDENCE DATA**

501020436

Fax Number: (215)689-3640

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 2159791853

Email: rapaikoff@duanemorris.com

PATENT

REEL: 023544 FRAME: 0271

09865190

CH \$400.00

Correspondent Name: Richard A. Paikoff Address Line 1: Duane Morris LLP; 30 South 17th Street Address Line 4: Philadelphia, PENNSYLVANIA 19103-4196 ATTORNEY DOCKET NUMBER: E9427-00000 NAME OF SUBMITTER: Richard A. Paikoff **Total Attachments: 14** source=Assignment#page1.tif source=Assignment#page2.tif source=Assignment#page3.tif source=Assignment#page4.tif source=Assignment#page5.tif source=Assignment#page6.tif source=Assignment#page7.tif source=Assignment#page8.tif source=Assignment#page9.tif source=Assignment#page10.tif source=Assignment#page11.tif source=Assignment#page12.tif source=Assignment#page13.tif source=Assignment#page14.tif

#### TRANSFER AND ASSIGNMENT OF INTELLECTUAL PROPERTY RIGHTS

This TRANSFER AND ASSIGNMENT OF INTELLECTUAL PROPERTY RIGHTS (this "Assignment"), dated as of January 1, 2009, is entered into by and between SUNOCO, INC (R&M), a Pennsylvania corporation ("Assignor") and SUNOCO CHEMICALS, INC., a Delaware corporation ("Assignee").

- A. Assignor and Assignee have entered into a Simplification and Restructuring Agreement dated as of January 1, 2009 (the "Simplification Agreement"), providing for the contribution and transfer by the Assignor to Assignee of the Contributed R&M Chemicals Assets. Capitalized terms not otherwise defined herein have the meaning ascribed to such terms in the Simplification Agreement.
- B. The Intellectual Property Rights to be assigned to Assignee form part of the Contributed R&M Assets and include, without limitation, the patents ("Patents") set forth in Schedule 1, the trademarks, copyrights, trade names, service marks and registrations and applications for any of the foregoing (together with the goodwill associated with such trade names, trademarks and service marks), trade secrets, inventions, other proprietary information and licenses from third persons granting the right to use any of the foregoing used exclusively in the Chemicals Business, but expressly excluding any of the foregoing which are used by Sunoco, Inc. or Sunoco, Inc. R&M on a company-wide basis; and
- C. For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged by Assignor, Assignor desires to execute and deliver this Assignment for the purpose of effecting such assignment pursuant to the terms and conditions of the Simplification and Restructuring Agreement.

Now, therefore, intending to be legally bound, the parties hereby agree as follows:

#### **AGREEMENT:**

- 1. Assignment of Intellectual Property Rights. In accordance with, and subject to the terms and conditions set forth in, the Simplification Agreement, Assignor hereby sells, assigns, transfers, conveys and delivers to Assignee all of its right, title and interest in and to the Intellectual Property Rights, including, without limitation, the following: (a) Assignor's right to apply for and maintain all national and foreign registrations, renewals and extensions relating to the Patents; (b) any contractual rights of Assignor related to the Intellectual Property Rights; (c) all of Assignor's benefits, privileges, causes of action and remedies relating to the foregoing, whether heretofore accrued or hereafter accruing; and (d) Assignor's right to sue for all past, present and future infringements or other violations of rights relating to the Intellectual Property Rights and to settle and retain proceeds from any such actions.
- 2. <u>Discontinuation of Use</u>. As soon as practicable after the date hereof, except as otherwise specified in the Simplification Agreement or any collateral agreement between the parties, Assignor will immediately discontinue use of the Intellectual Property Rights. Assignor will not take any action to limit or interfere with, in any manner, Assignee's ownership of and rights with respect to the Intellectual Property Rights.

R&M IP Assignment Agreement

- 3. Registration of Transfer; Further Assurances. Immediately following execution of this Assignment, and subject to the terms of the Simplification Agreement, Assignor will take all necessary steps to register the transfer of the Patents to Assignee or its designee by filing a copy of this Assignment with the United States Patent and Trademark Office and any foreign patent and trademark or similar authority all reasonably necessary forms and other documents. Assignor and Assignee agree to cooperate with each other to execute and deliver such other documents and instruments and to do such further acts and things as may be reasonably requested by the other to evidence, document or carry out the provisions of this Assignment or to further the purposes hereof.
- 4. <u>Miscellaneous</u>. This Assignment shall be construed, interpreted and the rights of the parties determined in accordance with the laws of the Commonwealth of Pennsylvania, without regard to the conflict of law principles thereof. This Assignment may be executed in two or more counterparts, each of which shall be deemed to be an original, but all of which together shall constitute one and the same document.
- 5. No Modification of Simplification Agreement. Notwithstanding any other provision of this Assignment to the contrary, nothing contained in this Assignment in any way supersedes, modifies, replaces, amends, changes, rescinds, waives, exceeds, expands, enlarges or in any way affects the Simplification and Restructuring Agreement. This Assignment is intended only to effectuate the transfer of certain property transferred pursuant to the Contribution Agreement and will be governed entirely in accordance with the terms and conditions of the Contribution Agreement.

[SIGNATURES ON FOLLOWING PAGE]

R&M IP Assignment Agreement

IN WITNESS WHEREOF, the parties hereto have caused this Assignment and Transfer of Intellectual Property Rights to be signed by duly authorized officers or representatives as of the date first set forth above.

#### **ASSIGNOR:**

SUNOCO, INC. (R&M)

By:
Name: Bruce G. Mischer

Title: Senior Vice President

Strategy and Portfolio

**ASSIGNEE:** 

SUNOCO CHEMICALS, INC.

Name Bruce D. Rubin

Title: Vice President

**REEL: 023544 FRAME: 0275** 

# SCHEDULE 1 PATENTS

R&M IP Assignment Agreement

## **POLYPROPYLENE PATENTS**

Invention: Polypropylene Composition For Producing Clear Thermoformed Parts

Patent No.			Expiration
(Application No.)	Country	Status	Date
6,387,317	US	Granted	20-JAN-2020
(2,397,864)	Canada	Allowed	

Invention: Polypropylene Impact Copolymer Having Bimodal Sized Rubber Particles

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Patent No.			Expiration
(Application No.)	Country	Status	Date
6,576,709	US	Granted	25-MAR-2021

Invention: Polypropylene Film Having Good Drawability In A Wide Temperature Range And

Film Properties

Patent No.			Expiration
(Application No.)	Country	Status	Date
6,596,814	US	Granted	28-NOV-2021

Invention: Polypropylene Materials With High Melt Flow Rate and Good Molding

Characteristics and Methods of Making

Patent No. (Application No.)	Country	Status	Expiration Date
6,599,985	US	Granted	18-OCT-2021

Invention: Polypropylene Impact Copolymer Having Bimodal Sized Rubber Particles

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Patent No.			Expiration
(Application No.)	Country	Status	Date
6,660,808	US	Granted	23-MAR-2021

Invention: Polypropylene Biaxially Oriented Film

		,	
Patent No.			Expiration
(Application No.)	Country	Status	Date
6,733,719	US	Granted	10-OCT-2022

Invention: Resin Compositions For Producing Biaxially Oriented Polypropylene Films

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Patent No.			Expiration
(Application No.)	Country	Status	Date
6.733.898	US	Granted	27-AUG-2022

Invention: Flexible Polyolefin Compounds for Vehicle Applications

[	Patent No.			Expiration
	(Application No.)	Country	Status	Date
ı	6,953,541	US	Granted	8-MAY-2022

Invention: Propylene Homopolymers for Biaxially Oriented Films

Patent No.			Expiration
(Application No.)	Country	Status	Date
7,056,592	US	Granted	2-JUL-2024
(2,544,729)	Canada	Pending	
(PA/A/2006/005629)	Mexico	Pending	

PATENT REEL: 023544 FRAME: 0277

Invention: Paintable, In-Reactor Blended, Thermoplastic Polyolefin

[	Patent No.			Expiration
	(Application No.)	Country	Status	Date
	7,217,768	US	Granted	10-AUG-2025

Invention: Process for Production of Propylene Homopolymers

Patent No. (Application No.)	Country	Status	Expiration Date
7,217,772	US	Granted	25-MAR-2025
(2,600,364)	Canada	Pending	
(MX/A/2007/011776)	Mexico	Pending	

Invention: High Melt Flow Rate Thermoplastic Polyolefins Produced In-Reactor

Patent No. (Application No.)	Country	Status	Expiration Date
7,226,977	US	Granted	17-MAR-2025
(2,562,888)	<del>                                     </del>	Pending	17-101/(17-2020
· · · · · · · · · · · · · · · · · · ·	Canada		17-MAR-2025
254455	Mexico	Granted	17-IVIAR-2025

Invention: Resin Compositions For Producing Biaxially Oriented Polypropylene Films

Γ	Patent No.			Expiration
	(Application No.)	Country	Status	Date
	7,282,539	US	Granted	13-JUN-2023

Invention: Polypropylene Blends for Non-Woven Fabrics

Patent No.	•		Expiration
(Application No.)	Country	Status	Date
(10/844,640)	US	Pending	
(2,563,984)	Canada	Pending	
(PA/A/2006/013107)	Mexico	Pending	

Invention: Improved Clarity, Low Haze Polymer

Patent No. (Application No.)	Country	Status	Expiration Date
(10/855,922)	US	Allowed	i a
(2,566,532)	Canada	Pending	製
(PA/A/2006/013760)	Mexico	Pending	:

Invention: Process for the Production of Propylene Copolymers

Patent No. (Application No.)	Country	Status	Expiration Date
(11/090,523)	US	Pending	
(2,600,362)	Canada	Pending	
(MX/A/2007/011777)	Mexico	Pending	

Invention: In-Reactor Produced Polypropylene Blends

Patent No.			Expiration
(Application No.)	Country	Status	Date
(11/110,006)	US	Pending	
(2,600,256)	Canada	Pending	
(MX/A/2007/013166)	Mexico	Pending	

Invention: Resin Compositions For Producing Biaxially Oriented Polypropylene Films

Γ	Patent No.			Expiration
	(Application No.)	Country	Status	Date
	(11/715,686)	US	Pending	

As of 4/29/2009

Invention: Impact Copolymers Having Improved Properties

Patent No. (Application No.)	Country	Status	Expiration Date
(11/796,887)	US	Pending	

Invention: High Crystallinity, High Melt Flow Rate Polypropylene

Patent No.			Expiration
(Application No.)	Country	Status	Date
(11/809,114)	US	Allowed	
11/998,583	US	Pending	
(PCT/US07/012868)	PCT	Pending	

Invention: Extensible Spunbond Non-Woven Fabrics

Patent No.			Expiration
(Application No.)	Country	Status	Date
61/132,145	US	Pending	

Invention: Film Comprising A Random Copolymer With Enhanced Ethylene Content

Patent No.			Expiration
(Application No.)	Country	Status	Date
t/b/a	US	Filed 1-OCT-2008	

Invention: Random Copolymer With Enhanced Ethylene Content

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Patent No.			Expiration
(Application No.)	Country	Status	Date
t/b/a	US	Filed 1-OCT-2008	

Invention: Method For Preparing A Random Copolymer With Enhanced Ethylene Content

Triverition. Method i	or repairing	A Nandom Copolymer With Emilanced Em	iylerie conten
Patent No.			Expiration
(Application No.)	Country	Status	Date
t/b/a	US	Filed 1-OCT-2008	

## PHENOL PATENTS

Invention: Distillation Process for Recovery of High Purity Phenol (Assigned to "Sun Company Inc."

from Allied Signal)

Patent No.			Expiration
(Application No.)	Country	Status	Date
5,064,507	US	Granted	27-SEP-2010

High Quality Phenol Rectification With Energy Recovery (Assigned to "Sun Company Inc." from Allied

Signal)

Patent No.			Expiration
(Application No.)	Country	Status	Date
5,131,984	US	Granted	23-SEP-2011

Invention: Inhibiting Color Change in Cumene Hydroperoxide

Detect No	1		Evairation
Patent No.			Expiration
(Application No.)	Country	Status	Date
5,202,498	US	Granted	20-APR-2012

Invention: Removal of Acetophenone from Phenol Purification Residues (Assigned to "Sun Company

Inc." from Allied Signal)

Patent No.			Expiration
(Application No.)	Country	Status	Date
5,240,568	US	Granted	29-AUG-2011
0642487	EP	Granted	
0642847	Belgium	Granted	28-AUG-2012
69215109	Germany	Granted	28-AUG-2012
106194	Finland	Granted	28-AUG-2012
0642847	France	Granted	28-AUG-2012
0642847	Italy	Granted	28-AUG-2012
2106336	Russian Federation	Granted	28-AUG-2012
0642847	Spain	Granted	28-AUG-2012

Invention: Two-Stage Cleavage of Cumene Hydroperoxide

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Patent No.			Expiration
(Application No.)	Country	Status	Date
5 245 090	US	Granted	11-SEP-2012

Invention: Recovery of Phenol from Low Concentrations with Sodium Sulfate

Patent No. (Application No.)	Country	Status	Expiration Date
5,344,528	US	Granted	04-AUG-2013

PATENT REEL: 023544 FRAME: 0280

**PHENOL** 

Invention: Phenol with Low Levels of Methylbenzofuran (Assigned to "Sun Company Inc." from Allied

Signal)

Patent No.			Expiration
(Application No.)	Country	Status	Date
5,414,154	US	Granted	06-JUN-2014
ZL951934511	China	Granted	03-MAY-2015
0764146	EP	Granted	
0764146	France	Granted	03-MAY-201
0764146	Germany	Granted	03-MAY-201
0764146	Spain	Granted	03-MAY-201
0764146	UK	Granted	03-MAY-201
191201	India	Granted	28-APR-201
2888260	Japan	Granted	03-MAY-201
0365103	Korea	Granted	03-MAY-201
2134681	Russian Fed	Granted	03-MAY-201
408105	Taiwan	Granted	11-MAY-201

Invention: Improved Method for Production of Phenol and Acetone by Decomposition of Cumene

Hydroperoxide

rrydiopeioxide			
Patent No.			Expiration
(Application No.)	Country	Status	Date
6,201,157	US	Granted	10-JAN-2020
ZL00819286.3	China	Granted	19-DEC-2020
EP1248759	EP	Granted	
EP1248759	Belgium	Granted	19-DEC-2020
EP1248759	France	Granted	19-DEC-2020
EP1248759	Germany	Granted	19-DEC-2020
EP1248759	Italy	Granted	19-DEC-2020
EP1248759	Netherlands	Granted	19-DEC-2020
EP1248759	Romania	Granted	19-DEC-2020
EP1248759	Spain	Granted	19-DEC-2020
EP1248759	ÛK	Granted	19-DEC-2020
200070	India	Granted	19-DEC-2020
3441723	Japan	Granted	19-DEC-2020
527418	Korea	Granted	19-DEC-2020

Invention: Method for Purification of Acetone

Patent No.			Expiration
(Application No.)	Country	Status	Date
6,303,826	US	Granted	19-JAN-2020

Invention: Cumene Synthesis Process Using Purified Benzene and Propylene Feedstock Streams

Patent No.			Expiration
(Application No.)	Country	Status	Date
6,355,851	US	Granted	22-JUL-2019

Invention: Method for Reducing Methylbenzofuran Levels in High Purity Phenol

Patent No.			Expiration
(Application No.)	Country	Status	Date
6,388,144	US	Granted	15-JUN-2021
209324	India	Granted	26-MAR-2022
549559	Korea	Granted	26-MAR-2022
1229663	Taiwan	Granted	07-APR-2022

Invention: Method for Recovery of Phenol from Aqueous Streams

Patent No.		·	Expiration
(Application No.)	Country	Status	Date
6,620,980	US	Granted	12-APR-2022

Invention: Optimization of Caustic Usage

Patent No.			Expiration
(Application No.)	Country	Status	Date
6,667,178	ŲS	Granted	18-MAY-2022
ZL02801539.8	China	Granted	26-MAR-2022
(02723625.6)	EP	Pending	
203,773	India	Granted	26-MAR-2022
3711110	Japan	Granted	26-MAR-2022
543580	Korea	Granted	26-MAR-2022
593253	Taiwan	Granted	08-APR-2022

Invention: Method for Removal of Acetol from Phenol

Patent No.			Expiration
(Application No.)	Country	Status	Date
7,002,048	US	Granted	12-APR-2022
(200580026831.5)	CN	Granted	
(05712610.4)	EP	Pending	
(588/CHENP/2007)	India	Pending	
(2007-525594)	Japan	Pending	
(2007-7005426)	Korea	Pending	
(0501000828)	Thailand	Pending	
1261587	Taiwan	Granted	05-FEB-2025
(2008-7023910)	Korea	Pending	

Invention: Method for Removal of Acetol from Phenol

Patent No.			Expiration
(Application No.)	Country	Status	Date
7,034,192	US	Granted	09-AUG-2024
(200580008935.3)	China	Pending	·
(05712667.4)	EP	Pending	
(3486/CHENP/2006)	India	Pending	
(2007-504949)	Japan	Pending	
(0501000767)	Thailand	Pending	
1266642	Taiwan	Granted	05-FEB-2025

Invention: Calcium Chloride Purification

Patent No.			Expiration
(Application No.)	Country	Status	Date
7,037,480	US	Granted	22-Oct-2024

Invention: Water Diluted Cumene Hydroperoxide Solutions

Patent No.			Expiration
(Application No.)	Country	Status	Date
7,049,474	US	Granted	12-SEP-2020

**PHENOL** 

Invention: Method and Apparatus for In-Process Handling of Cumene Hydroperoxide with Improved

Safety

Patent No.	_		Expiration Date
(Application No.)	Country	Status	Date
7,126,029	US	Granted	2-JUL-2025
(200580003804.6)	China	Pending	
(05705088.2)	EP	Pending	
(2816/CHENP/2006)	India	Pending	
(2006-552118)	Japan	Pending	
(2006-552118)	Korea	Allowed	
(0501000163)	Thailand	Pending	
1264431	Taiwan	Granted	17-JAN-2025

Invention: Decomposition of Cumene Oxidation Product

Patent No.		SERVICE OF THE PROPERTY OF THE	Expiration
(Application No.)	Country	Status	Date
7,166,752	US	Granted	11-OCT-2011
(09/865,190)	US	Pending	

Invention: Process for Recovery of Cumene Hydroperoxide Decomposition Products by Distillation

11401161011. 1 100000 1	OI I COOVER	of carrierie rigaroporoxido becompecimen	caaca co
Patent No.			Expiration
(Application No.)	Country	Status	Date
7,186,866	US	Granted	14-NOV-2025
(PCT/US06/043848)	PCT	Completed	
200680042369.2	China	Pending	
(06827726.8)	Europe	Pending	
t/b/a	India	Pending	
(2008-541241)	Japan	Pending	
(2008-7011173)	S. Korea	Pending	
(95136938)	Taiwan	Pending	
(0601005548)	Thailand	Pending	

Invention: Method for Determination of Organic Hydroperoxides

Patent No.		2	Expiration
(Application No.)	Country	Status	Date
(11/001,719)	US	Response to OA filed	

Invention: Process for Production of Biodiesel from High Acid Feed

1111 O 1111 O 111 1 1 O 0 0 0 1 0 1 1 1 O 0 0 0 1 0 1						
Patent No.			Expiration			
(Application No.)	Country	Status	Date			
(11/431,287)	US	Response to OA filed				

# **SOLID SUPER ACID PATENTS**

## UNDER CONTRACT WITH UOP

Invention: Catalyst for Hydrocarbon Conversion and Conversion Process Utilizing the Same

Patent No.			Expiration
(Application No.)	Country	Status	Date
4,956,519	US	Granted	13-NOV-2009

Invention: Liquid Phase Isomerization of Alkanes

Γ	Patent No.			Expiration
L	(Application No.)	Country	Status	Date
Γ	5,019,671	US	Granted	10-JUL-2009

Invention: Solid-Acid Alkylation Catalyst Compositions For Alkylation Processes

Patent No. (Application No.)	Country	Status	Expiration Date
5,212,136	US	Granted	27-NOV-2011
5,214,017	US	Granted	27-NOV-2011

Invention: Solid Superacid Alkylation Catalyst Compositions and Alkylation Method Using the Same

Patent No.			Expiration
(Application No.)	Country	Status	Date
5,493,067	US	Granted	12-NOV-2013

Invention: Hydrocarbon Isomerization Using Solid Superacid Catalysts Comprising Platinum Metal

Patent No.			Expiration
(Application No.)	Country	Status	Date
5,516,964	US	Granted	21-JAN-2014

Invention: Solid Superacid Catalysts Comprising Platinum Metal

Patent No.			Expiration
(Application No.)	Country	Status	Date
5,629,257	US	Granted	13-MAY-2014
0666109	Belgium	Granted	20-JAN-2015
2140700	Canada	Granted	20-JAN-2015
0666109	Germany	Granted	20-JAN-2015
0666109	France	Granted	20-JAN-2015
0666109	UK	Granted	20-JAN-2015
0666109	Italy	Granted	20-JAN-2015
3846914	Japan	Granted	23-JAN-2015
0666109	Netherlands	Granted	20-JAN-2015

Invention: Liquid Phase Isomerization of Alkanes

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Patent No.		·	Expiration
(Application No.)	Country	Status -	Date
5,648,590	US	Granted	15-JUL-2014
0408318	Belgium	Granted	10-JUL-2010
2020054	Canada	Granted	28-JUN-2010
0408318	Germany	Granted	10-JUL-2010
0408318	France	Granted	10-JUL-2010
0408318	UK	Granted	10-JUL-2010
0408318	Italy	Granted	10-JUL-2010
2799902	Japan	Granted	09-JUL-2010
0408318	Netherlands	Granted	10-JUL-2010

Invention: Solid Superacid Catalyst Comprising Group VII Metal and Having Ho Less than -18

PATENT REEL: 023544 FRAME: 0284

SUPER SOLID ACID

Patent No.			Expiration
(Application No.)	Country	Status	Date
5,780,383	US	Granted	14-JUL-2015
0365147	France	Granted	20-SEP-2009
0365147	UK	Granted	20-SEP-2009
2942573	Japan	Granted	21-SEP-2009
0365147	Netherlands	Granted	20-SEP-2009

Invention: Isomerization of Hydrocarbons with Solid Superacid Catalyst at Supercritical or Near Critical

Patent No.		A CONTRACTOR OF THE CONTRACTOR	Expiration
(Application No.)	Country	Status	Date
0532153	Belgium	Granted	01-JUN-2012
0532153	Germany	Granted	01-JUN-2012
0532153	France	Granted	01-JUN-2012
0532153	UK	Granted	01-JUN-2012
0532153	Italy	Granted	01-JUN-2012
0532153	Netherlands	Granted	01-JUN-2012

# MISCELLANEOUS CHEMICAL PATENTS

Invention: Hydrocracking Using Solid Superacid Catalysts Comprising Platinum Metal (Assigned to

"Sun Company, Inc. (R&M)")

Patent No.			Expiration
(Application No.)	Country	Status	Date
5,494,569	US	Granted	21-JAN-2014

Invention: Naphtha Upgrading Using Solid Superacid Catalysts Comprising Platinum Metal (Assigned to "Sun Company, Inc. (R&M)")

(00. <u>9</u> 0 0 0	· · · · · · · · · · · · · · · · · · ·	(1.10.11)		
Patent No.			Expiration	
(Application No.)	Country	Status	Date	
5,494,571	US	Granted	21-JAN-2014	

**PATENT** 

1/1

**REEL: 023544 FRAME: 0286**