

Docket No. 02-PCS-413 (160)

Form PTO-1595 (Rev. 07/05)
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

SMC Electrical Company, Inc.

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: EATON CORPORATION

Internal Address: _____

Street Address: EATON CENTER

1111 SUPERIOR AVENUE

City: CLEVELAND

State: OHIO

Country: US Zip: 44114

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) May 17, 2007

- Assignment Merger
- Security Agreement Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other _____

4. Application or patent number(s):

This document is being filed together with a new application.

A. Patent Application No.(s)

10/522,792

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Eaton Corporation

Internal Address: _____

Street Address: 1000 Cherrington Parkway

City: Moon Township

State: PA Zip: 15108

Phone Number: 412.893.3769

Fax Number: 412.893.5937

Email Address: Martin.J.Moran@eaton.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- Authorized to be charged by credit card
- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

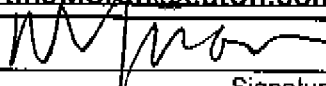
8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 05-0275

Authorized User Name Eaton Corporation

9. Signature:



Signature

November 23, 2009

Date

Martin J. Moran, Reg. No. 26,694
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

10

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

GH \$40.00 050275 10522792

Assignment

THIS ASSIGNMENT is entered into as of the 17th day of May 2007, by and between SMC ELECTRICAL COMPANY, INC., a West Virginia corporation of 6072 Ohio River Road, Huntington, West Virginia 25702, (being referred to herein as "Assignor") and EATON CORPORATION, an Ohio Corporation of 1111 Superior Avenue, Cleveland, Ohio 44114 (being referred to herein as "Assignee").

WITNESSETH:

WHEREAS, the Assignor is the owner of United States Patent Application No. 10/522,792 filed on June 28, 2005 (the "Patent Application"); and

WHEREAS, the Assignee desires to acquire the entire right, title and interest of SMC in and to the Patent Application and any and all inventions disclosed therein

NOW, THEREFORE, for valuable consideration, receipt of which is hereby acknowledged, Assignor hereby sells, assigns and transfers to Assignee the Assignor's entire right, title and interest (including rights to recover for past infringement) in and to the Patent Application, the inventions disclosed therein, and to any and all patents and patent rights for the United States of America and all countries foreign thereto, including rights of priority under the International Convention of Paris (1883) as amended, which may be granted thereon, and requests the Director - U.S. Patent and Trademark Office to recognize that the Patent Application is now owned by Assignee. In addition to, and not in limitation of the foregoing, Assignor hereby sells, assigns and transfers to the Assignee the Assignor's entire right, title, and interest (including rights to recover for past infringement) in and to the patents and patent applications identified in attached Exhibit "A." Exhibit "A" sets forth the responsibilities as between Assignor and Assignee for further prosecuting and maintaining each such patent or

patent application on and after May 17, 2007. Assignor will execute without further consideration all papers reasonably deemed necessary by Assignee to vest title in Assignee and Assignee's successors and assigns.

IN WITNESS WHEREOF, Assignor and Assignee have executed this Assignment, by proper persons duly authorized.

ASSIGNOR:

SMC Electrical Products, Inc.

Attest: *Oline Feamy*

David Whitt
By: David Whitt
Title: President
Date: *MAY 17, 2007*

ASSIGNEE:

EATON CORPORATION

Attest: _____

By: _____
Title: _____
Date: _____

Attest: _____

By: _____
Title: _____
Date: _____

(Legalization not required for recording but is prima facie evidence of execution under 35 U.S.C. §261)

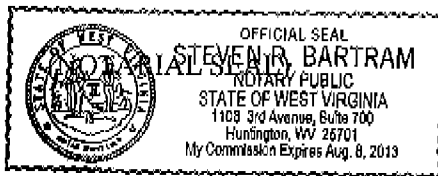
STATE OF WEST VIRGINIA

COUNTY OF CABELL, TO-WIT:

I, STEVEN R. BARTRAM, a Notary Public in and for the County and State aforesaid, do hereby certify that David Whitt, as President of SMC ELECTRICAL PRODUCTS, INC., whose name is signed to the writing hereto annexed, bearing date the 17th day of, May, 2007, has this day acknowledged the same before me in my said County, to be the act and deed of said corporation.

Given under my hand this 17th day of May 2007.

My commission expires AUGUST 8, 2013



[Signature]
Notary Public

STATE OF _____

COUNTY OF _____, TO-WIT:

I, _____, a Notary Public in and for the County and State aforesaid, do hereby certify that _____, as _____ of Eaton Corporation, whose name is signed to the writing hereto annexed, bearing date the _____ day of May, 2007, has this day acknowledged the same before me in my said County to be the act and deed of said corporation.

Given under my hand this _____ day of _____, 2007.

My commission expires _____.

(NOTARIAL SEAL)

Notary Public

patent application on and after May 17, 2007. Assignor will execute without further consideration all papers reasonably deemed necessary by Assignee to vest title in Assignee and Assignee's successors and assigns.

IN WITNESS WHEREOF, Assignor and Assignee have executed this Assignment, by proper persons duly authorized.

ASSIGNOR:

SMC Electrical Products, Inc.

Attest: _____

By: David Whitt
Title: President
Date: _____

ASSIGNEE:

EATON CORPORATION

Attest: Eric W. Blundell

James A. Sternweiss
By: JAMES A. STERNWEISS
Title: VICE PRESIDENT - EATON ELECTRICAL INC.
Date: 5/17/2007

Attest: Eric W. Blundell

Jerry R. Whitman
By: JERRY R. WHITMAN
Title: AUTHORIZED REPRESENTATIVE
Date: 5/17/2007

(Legalization not required for recording but is prima facie evidence of execution under 35 U.S.C. §261)

STATE OF _____,

COUNTY OF _____, TO-WIT:

I, _____, a Notary Public in and for the County and State aforesaid, do hereby certify that David Whitt, as President of SMC ELECTRICAL PRODUCTS, INC., whose name is signed to the writing hereto annexed, bearing date the ____ day of May, 2007, has this day acknowledged the same before me in my said County, to be the act and deed of said corporation.

Given under my hand this ____ day of May 2007.

My commission expires _____.

(NOTARIAL SEAL)

Notary Public

STATE OF Pennsylvania,

COUNTY OF Beaver, TO-WIT:

I, Susan D. Liptak, a Notary Public in and for the County and State aforesaid, do hereby certify that James A. Sternweis, as authorized representative of Eaton Corporation, whose name is signed to the writing hereto annexed, bearing date the 17th day of May, 2007, has this day acknowledged the same before me in my said County to be the act and deed of said corporation.

Given under my hand this 17th day of May, 2007.

My commission expires October 5, 2007.

COMMONWEALTH OF PENNSYLVANIA
Notary Seal
(NOTARIAL SEAL)
Susan D. Liptak, Notary Public
Hopewell Twp., Beaver County
My Commission Expires Oct. 5, 2007
Member, Pennsylvania Association Of Notaries

Susan D. Liptak
Notary Public

STATE OF Pennsylvania

COUNTY OF Beaver, TO-WIT:

I, Susan D. Liptak, a Notary Public in and for the County and State aforesaid, do hereby certify that Jerry L. Whitaker, as Authorized Representative of Eaton Corporation, whose name is signed to the writing hereto annexed, bearing date the 17th day of May, 2007, has this day acknowledged the same before me in my said County to be the act and deed of said corporation.

Given under my hand this 17th day of May, 2007.

My commission expires October 5, 2007.

Susan D. Liptak
Notary Public

(NOTARIAL SEAL)
COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Susan D. Liptak, Notary Public
Hopewell Twp., Beaver County
My Commission Expires Oct. 5, 2007
Member, Pennsylvania Association Of Notaries

Patent Title: Low Voltage, Two Level, Six-pulse Induction Motor Controller Driving
 A Medium of High Voltage, Three of More-Level AC Inverter Bridge

File No	Cur	Status	Application No.	Application Date	Grant No.	Grant Date	Status Remarks	Next Annulity Date	Patent Responsibility
PA396	US	ABD	806899.355	31-Jul-02				none	none
PA551	US	ABD	80493.817	12-Mar-03				none	none
F171922	PCT	NAT	PCT/2003/019887	31-Jul-03	WIQ 2004/015851	19-Feb-04	PCT Phase concluded	none	none
AA396	US	PEN	106522.782	28-Jun-05			Awaiting a first Examination Report	31-Jul-08	Eaton
F171901	AU	PEN	2003265296	31-Jul-03			Awaiting a first Office Action	31-Jul-07	Eaton
F171907	BR	PEN	PI 0313364-8	31-Jul-03			Awaiting a first Office Action	31-Jul-07	SMC
F171902	CA	PEN	2493586	31-Jul-03			A request for examination must be filed before 31-Jul-08	31-Jul-07	Eaton
F171918	CN	PEN	02918248.3	31-Jul-03			Chinese application has been allowed. Final fees due 21-Jun-07 have been paid by SMC.	31-Jul-08	Eaton
F171903	EP	PEN	03188748.0	31-Jul-03			Awaiting a first Office Action	31-Jul-07	Eaton
F171904	JP	PEN	2004-827579	31-Jul-03			Awaiting a first Office Action	Due upon grant	SMC
F171905	KR	PEN	10-2005-7001688	31-Jul-03			A request for examination must be filed before 31-Jul-08	Due upon grant	SMC
F171910	MX	PEN	PA/02/03/51001099	31-Jul-03			Awaiting a first Office Action	Due upon grant	Eaton
F171948	SG	PAT	200500316-5	31-Jul-03	109742	28-Feb-07	Patented	31-Jul-07	SMC
F171918	ZA	PAT	2005/0561	31-Jul-03	2005/0561	30-Nov-05	Patented	31-Jul-07	SMC

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EXHIBIT
A