

Form PTO-1595 (Rev. 03-09)
OMB No. 0651-0027 (exp. 03/31/2009)

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1. Name of conveying party(ies)

Robert Kraus
Simon Schwalenberg
Steffen Strauss
Michael Weis

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 2009-10-26/2009-10-29/2009-11-02/

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☒ Other Correction of serial number

2. Name and address of receiving party(ies)

Name: OSRAM Gesellschaft mit beschraenkter Haftung

Internal Address: _____

Street Address: Hellabrunner Str. 1

City: Muenchen

State: _____

Country: DE Zip 81543

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

12/230,361

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Viering, Jentschura & Partner

Internal Address: _____

Street Address: 3770 Highland Avenue, Suite 203

City: Manhattan Beach

State: California Zip 90266

Phone Number: 415-670-9081

Fax Number: (617) 849-5451

Email Address: vjp-us@vjp.de

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40

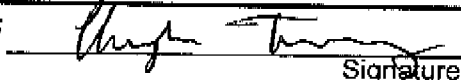
- ☒ Authorized to be charged to deposit account
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8. Payment Information

Deposit Account Number 504666

Authorized User Name Christopher J. Frerking

9. Signature:


Signature

December 2, 2009
Date

Christopher J. Frerking
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PATENT
REEL: 023590 FRAME: 0940

FORM PTO-1595 (Modified) (Rev. 08-05) OMB No. 0551-0027 (exp. 5/30/2008) PDR/REV05		Docket No.: 2007P14519US U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office	
10-06-2008 103528331			
To the Director of the United States Patent and Trademark Office: Please indicate the documents or the new address(es) below.			
1. Name of conveying party(ies): Robert KRAUS Simon SCHWALENBERG Steffen STRAUB Michael WEIS 10.1.08		2. Name and address of receiving party(ies): Name: <u>OSRAM Gesellschaft mit beschränkter Haftung</u> Internal Address: _____ Street Address: <u>Hellabrunner Strasse 1</u> City: <u>München</u> State: _____ Country: <u>Germany</u> ZIP: <u>81543</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Additional names(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input type="checkbox"/> No			
3. Nature of conveyance/Execution Date(s): Execution Date(s): <u>9/24/2008 & 9/28/2008</u> <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Joint Research Agreement <input type="checkbox"/> Government Interest Assignment <input type="checkbox"/> Executive Order 9424, Confirmatory License <input type="checkbox"/> Other			
4. Application or patent number(s): A. Patent Application No.(s) <u>12/230,260</u> Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		<input type="checkbox"/> This document is being filed together with a new application. B. Patent No.(s)	
5. Name and address to whom correspondence concerning document should be mailed: Name: <u>Carlo S. Bessone</u> Internal Address: <u>OSRAM SYLVANIA Inc.</u> Street Address: <u>100 Endicott Street</u> City: <u>Danvers</u> State: <u>MA</u> ZIP: <u>01923</u> Phone Number: <u>978-750-2876</u> Fax Number: _____ Email Address: _____		6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 1.21(h) & 3.41): <u>\$40.00</u> <input type="checkbox"/> Authorized to be charged by credit card <input checked="" type="checkbox"/> Authorized to be charged to deposit account <input type="checkbox"/> Enclosed <input type="checkbox"/> None required (government interest not affecting title)	
		8. Payment Information: a. Credit Card <u>18/02/2008</u> <u>154685</u> <u>12236258</u> <u>81</u> <u>Expiration Date</u> b. Deposit Account Number <u>154685</u> Authorized User Name <u>Carlo S. Bessone</u>	
9. Signature: <u>Carlo S. Bessone</u> <u>Carlo S. Bessone</u> Name of Person Signing		September 29, 2008 Date Total number of pages including cover sheet, attachments, and document: <u>3</u>	

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U.S. Patent Appln. No.12/230,361

Docket No. P40484US

Filing Date: August 28, 2008

PATENT ASSIGNMENT

PARTIES TO THE ASSIGNMENT

Assignor(s): Robert KRAUS

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Hellabrunner Str. 1

81543 Muenchen

Federal Republic of Germany

U.S. Patent Appln. No.12/230,361

Docket No. P40484US

Filing Date: August 28, 2008

AGREEMENT

WHEREAS, ASSIGNOR(S) (listed above) are inventor(s) of an invention entitled
"CONNECTING ELEMENT" for which:

a non-provisional application for United States Letters Patent:

☐ was executed on even date preparatory to filing (each inventor should sign this Assignment on the same day as he/she signs the Declaration and Power of Attorney); or

☒ was filed on August 28, 2008 and accorded U.S. Serial No. 12/230,361; or

☐ will be filed without this executed PATENT ASSIGNMENT. ASSIGNOR hereby authorizes and requests ASSIGNEE'S legal representatives, the attorneys associated with Customer No. _____, to insert below in this document this APPLICATION's U.S. Serial Number and filing date, when known:

U.S. Serial No. _____

filed on _____

WHEREAS, ASSIGNEE (listed above), a corporation of the Federal Republic of Germany is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefore in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S) hereby sell, assign and transfer unto ASSIGNEE its successors and assigns, the entire right, title and interest in and to said invention and improvements, said application and any and all letters patent which may be

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granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues, re-examinations and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNOR(S) had this assignment, transfer and sale not been made. ASSIGNOR(S) hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNOR(S) agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters patent on said invention, for litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefore.

29/10/2009
Date

Robert Kraus
Name 1st Inventor: Robert KRAUS

U.S. Patent Appln. No. 12/230,361

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Filing Date: August 28, 2008

2.11.09
DateSchwalbe
Name 2nd Inventor: Simon SCHWALENBERG

U.S. Patent Appln. No.12/230,361

Docket No. P40484US

Filing Date: August 28, 2008

2.11.09
Date

Steffen Strauss
Name 3rd Inventor: Steffen STRAUSS

U.S. Patent Appln. No. 12/230,361

Docket No. P40484US

Filing Date: August 28, 2008

6.10.08
DateM. Weis
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